Protection from falling, collision and impact

K1 Stairs, ladders and ramps
K2 Protection from falling
K3 Vehicle barriers and loading bays
K4 Protection from collision with open windows, skylights and ventilators
K5 Protection against impact from and trapping by doors
MAIN CHANGES IN THE 2004 EDITION
This edition of Approved Document K, Protection from falling, collision and impact, replaces the 1992 edition. The main changes are:

Requirement K1
Stairs, ladders and ramps:
  a. the scope has been extended to cover access to areas of the building used only for maintenance.
  b. the guidance on spiral and helical stairs had been simplified to refer only to BS 5395-2.

Requirement K2
Protection from falling: the scope has been extended to cover guarding of areas used for maintenance and guarding to light wells and other sunken areas when connected to a building.

Requirement K3
Vehicle barriers and loading bays: the scope has been extended to cover safety aspects of the design of loading bays.

Requirement K4
Protection from collision with open windows etc: new requirement to reduce risk of collisions with open windows, skylights and ventilators.

Requirement K5
Protection against impact from and trapping by doors: new requirement to reduce the risk of injury when using, for example, certain types of sliding or powered doors and gates.

MAIN CHANGES MADE BY THE 2010 AMENDMENTS
This 1998 edition incorporating the 2000 and 2010 amendments reflects the changes made as a result of the Building Regulations 2010 and Building (Approved Inspectors etc) Regulations 2010. The changes mainly reflect regulation number changes as a result of re-ordering. There have been no amendments to the substantive requirements in Schedule 1 (i.e. Parts A to P) of the Building Regulations. Please note the simplification of the definition of ‘room for residential purposes’ in regulation 2 of the Building Regulations 2010. Please also note that L1(c) has now become regulation 40.

APPROVED DOCUMENTS
The following documents have been approved and issued by the First Secretary of State for the purpose of providing practical guidance with respect to the requirements of the Building Regulations 2010.

Approved Document A: Structure
2004 edition incorporating 2010 amendments

Approved Document B (Fire safety) – Volume 1: Dwellinghouses
2006 edition incorporating 2010 amendments

Approved Document B (Fire safety) – Volume 2: Buildings other than dwellings
2006 edition incorporating 2007 and 2010 amendments

Approved Document C: Site preparation and resistance to contaminants and moisture

Approved Document D: Toxic substances
2003 edition incorporating 2002 and 2010 amendments

Approved Document E: Resistance to the passage of sound
2004 edition incorporating 2004 and 2010 amendments

Approved Document F: Ventilation
2010 edition incorporating further 2010 amendments

Approved Document G: Sanitation, hot water safety and water efficiency
2010 edition incorporating further 2010 amendments

Approved Document H: Drainage and waste disposal
2002 edition incorporating 2010 amendments

Approved Document J: Combustion appliances and fuel storage systems
2010 edition incorporating further 2010 amendments

Approved Document K: Protection from falling collision and impact

Approved Document L1A: Conservation of fuel and power – New dwellings
2010 edition incorporating further 2010 amendments

Approved Document L1B: Conservation of fuel and power – Existing dwellings
2010 edition incorporating further 2010 and 2011 amendments

Approved Document L2A: Conservation of fuel and power – New buildings other than dwellings
2010 edition incorporating further 2010 amendments

Approved Document L2B: Conservation of fuel and power – Existing buildings other than dwellings
2010 edition incorporating further 2010 and 2011 amendments

Approved Document M: Access to and use of buildings
2004 edition incorporating 2010 amendments

Approved Document N: Glazing – safety in relation to impact, opening and cleaning

Approved Document P: Electrical safety – Dwellings
2006 edition incorporating 2010 amendments

Approved Document to support regulation 7: Materials and workmanship
1999 edition incorporating 2010 amendments
Contents

Use of guidance

Use of guidance

The Approved Documents

Limitation on requirements

Materials and workmanship

The Workplace (Health, Safety and Welfare) Regulations 1992

K1 Stairs, ladders and ramps

The Requirement K1

Guidance

Guidance

Performance

Introduction

Definitions

Section 1: Stairs and ladders

Steepness of stairs – rise and going

Alternative approach

Construction of steps

Headroom

Width of flights

Length of flights

Landings

Special stairs

Tapered treads

Spiral and helical stairs

Alternating tread stairs

Fixed ladders

Handrails for stairs

Guarding of stairs

Access for maintenance purposes

Section 2: Ramps

Pedestrian and Vehicle barriers

The Requirements K2/3

Guidance

Guidance

Performance

Section 3: Guards and barriers

Pedestrian guarding

Guarding of areas used for maintenance

Vehicle barriers

Loading bays

Protection from falling, collision and impact

Protection from falling, collision and impact

Protection from collision with open windows, etc.

The Requirement K4

Guidance

Performance

Section 4: Protection from collision

Introduction to provisions

Projecting parts

Spaces used only for maintenance

Protection against impact and trapping by doors

The Requirements K5

Guidance

Performance

Section 5: Protection from impact

Introduction to provisions

Safety features

Standards referred to

DIAGRAMS

1. Measuring rise and going

2. Measuring headroom

3. Reduced headroom for loft conversions

4. Dividing flights

5. Change of direction

6. Landings next to doors

7. Cupboard onto landing

8. Measuring tapered treads

9. Alternating tread stair

10. Ramp design

11. Guarding design

12. Typical locations for containment

13. Barrier siting

14. Barrier design

15. Loading bay

16. Marking by a barrier

17. Marking by a surface

18. Doors with vision panels

TABLE

1. Rise and going
THE APPROVED DOCUMENTS

The Building Regulations 2010 (SI 2010/2214), which came into operation on 1 October 2010, replace the Building Regulations 2000 and consolidate all subsequent revisions to those regulations. This document is one of a series that has been approved and issued by the Secretary of State for the purpose of providing practical guidance with respect to the requirements of Schedule 1 to and Regulation 7 of the Building Regulations 2010 for England and Wales.

At the back of this document is a list of all the documents that have been approved and issued by the Secretary of State for this purpose.

Approved Documents are intended to provide guidance for some of the more common building situations. However, there may well be alternative ways of achieving compliance with the requirements. Thus there is no obligation to adopt any particular solution contained in an Approved Document if you prefer to meet the relevant requirement in some other way.

Other requirements

The guidance contained in an Approved Document relates only to the particular requirements of the Regulations which the document addresses. The building work will also have to comply with the requirements of any other relevant paragraphs in Schedule 1 to the Regulations.

There are Approved Documents which give guidance on each of the parts of Schedule 1 and on Regulation 7.

LIMITATION ON REQUIREMENTS

In accordance with Regulation 8, the requirements in Parts A to K and N of Schedule 1 to the Building Regulations do not require anything to be done except for the purpose of securing reasonable standards of health and safety for persons in or about buildings (and any others who may be affected by buildings or matters connected with buildings).

MATERIALS AND WORKMANSHIP

Any building work which is subject to the requirements imposed by Schedule 1 to the Building Regulations should, in accordance with Regulation 7, be carried out with proper materials and in a workmanlike manner.

You may show that you have complied with Regulation 7 in a number of ways: These include the appropriate use of a product bearing CE marking in accordance with the Construction Products Directive (89/106/EEC) as amended by the CE Marking Directive (93/68/EEC), or a product complying with an appropriate technical specification (as defined in those Directives), a British Standard, or an alternative national technical specification of any state which is a contracting party to the European Economic Area which, in use, is equivalent, or a product covered by a national or European certificate issued by a European Technical Approval issuing body, and the conditions of use are in accordance with the terms of the certificate. You will find further guidance in the Approved Document supporting Regulation 7 on materials and workmanship.

Independent certification schemes

There are many UK product certification schemes. Such schemes certify compliance with the requirements of a recognised document which is appropriate to the purpose for which the material is to be used. Materials which are not so certified may still conform to a relevant standard.

Many certification bodies which approve such schemes are accredited by UKAS.

Technical specifications

Building Regulations are made for specific purposes: health and safety, energy conservation and the welfare and convenience of disabled people. Standards and technical approvals are relevant guidance to the extent that they relate to these considerations. However, they may also address other aspects of performance such as serviceability, or aspects which although they relate to health and safety are not covered by the Regulations.

When an Approved Document makes reference to a named standard, the relevant version of the standard is the one listed at the end of the publication. However, if this version has been revised or updated by the issuing standards body, the new version may be used as a source of guidance provided it continues to address the relevant requirements of the Regulations.

The appropriate use of a product which complies with a European Technical Approval as defined in the Construction Products Directive will meet the relevant requirements.

The Department intends to issue periodic amendments to its Approved Documents to reflect emerging harmonised European Standards. Where a national standard is to be replaced by a harmonised European Standard, there will be a co-existence period during which either standard may be referred to. At the end of the co-existence period the national standard will be withdrawn.

---

1 As implemented by the Construction Products Regulations 1991 (SI 1991/1620).
2 As implemented by the Construction Products (Amendment) Regulations 1994 (SI 1994/3051).
THE WORKPLACE (HEALTH, SAFETY AND WELFARE) REGULATIONS 1992


The Workplace (Health, Safety and Welfare) Regulations 1992 apply to the common parts of flats and similar buildings if people such as cleaners and caretakers are employed to work in these common parts. Where the requirements of the Building Regulations that are covered by this part do not apply to dwellings, the provisions may still be required in the situations described above in order to satisfy the Workplace Regulations.

Mixed use development

In mixed use developments part of a building may be used as a dwelling while another part has non-domestic use. In such cases, if the requirements of this part of the Regulations for dwellings and non-domestic use differ, the requirements for non-domestic use should apply in any shared parts of the building.
The Requirement K1

This Approved Document deals with the following Requirement from Part K of Schedule 1 to the Building Regulations 2010.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Limits on application</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stairs, ladders and ramps</td>
<td>Requirement K1 applies only to stairs, ladders and ramps which form part of the building.</td>
</tr>
</tbody>
</table>

K1. Stairs, ladders and ramps shall be so designed, constructed and installed as to be safe for people moving between different levels in or about the building.

Where necessary reference should be made to Approved Document B: Fire safety, and Approved Document M: Access and facilities for disabled people.

Note: Attention is drawn to the Workplace (Health, Safety and Welfare) Regulations 1992

Compliance with Building Regulation requirement K1 and, where appropriate, requirement M2 where it relates to stairs and ramps would, in accordance with Section 23(3) of the Health and Safety at Work, etc. Act 1974, prevent the service of an improvement notice with regard to the requirements of Regulation 17 of the Workplace (Health, Safety and Welfare) Regulations 1992 which relate to permanent stairs, ladders and ramps on pedestrian traffic routes within the workplace premises, including those used to give access for maintenance to parts of the workplace premises.
Performance

In the Secretary of State’s view the requirement K1 will be met by the use of stairs, ladders and ramps in appropriate circumstances to afford reasonable safety between levels in the following buildings:

- dwellings where the difference in level is more than 600mm;
- other buildings where the change of level is two or more risers (or 380mm if not part of a stair).

An acceptable level of safety can be achieved by different standards of provision, depending on the circumstances; for example, in a public building the standard of provision may be higher than in a dwelling, to reflect the lesser familiarity and greater number of users.

Where access is required only for the purpose of maintenance, greater care can be expected from those gaining access, and it would be reasonable that less demanding provisions could satisfy the requirement.

Introduction

0.1 This document describes some ways of meeting the requirement.

Section 1 gives guidance on aspects of geometry of stairs, special stairs and guarding of stairs.

Section 2 gives guidance on ramps and guarding of ramps.

0.2 The requirement does not apply to means of access outside a building unless the access is part of the building; for example, the requirement does not apply to steps on land leading to a building, but does apply to entrance steps which are part of the building.

0.3 Where access routes:

- form part of a means of escape in case of fire, reference should be made to Approved Document B: Fire safety;
- are intended as a means of access for disabled people, reference should be made to Approved Document M: Access and facilities for disabled people.

0.4 In places where a stair or ramp forms part of the means of access within assembly buildings, such as sports stadia, arenas, theatres, cinemas, etc., it should conform to the guidance in Part K: Protection from falling, collision and impact. However, where steps are part of the gangways serving areas for spectators or there needs to be special consideration given to guarding in spectator areas, then reference should be made to relevant guidance such as:

- for new assembly buildings, BS 5588-6:1991 Fire precautions in the design, construction and use of buildings. Code of Practice for places of assembly;
- for work to existing assembly buildings, Guide to Fire Precautions in Existing Places of Entertainment and Like Premises, Home Office 1990;

Definitions

0.5 The following meanings apply to terms throughout this Approved Document.

Alternating tread stair A stair with paddle shaped treads with the wide portion alternating from one side to the other on consecutive treads (see paragraphs 1.22–1.24).

Containment A barrier that prevents people falling from one floor to the storey below (see Diagrams 11 and 12).

Flight The part of a stair or ramp between landings that has a continuous series of steps or a continuous slope (for the widths and lengths of flights see paragraphs 1.11–1.14).

Going The horizontal dimensions from front to back of a tread less any overlap with the next tread above (for measurement of the going on tapered treads see paragraphs 1.18–1.20).

Helical stair A stair that describes a helix round a central void (see paragraph 1.21).

Ladder A means of access to another level formed by a series of rungs or narrow treads on which a person normally ascends or descends facing the ladder (see paragraphs 1.25 and 1.26).

Ramp A slope steeper than 1 in 20 designed to conduct a pedestrian or wheelchair user from one level to another (see Section 2).

Rise The height between consecutive treads (see paragraphs 1.1–1.6).

Spiral stair A stair that describes a helix round a central column (see paragraph 1.21).

Stair A succession of steps and landings that makes it possible to pass on foot to other levels.

Tapered tread A step in which the nosing is not parallel to the nosing of the step or landing above it (see paragraphs 1.18–1.20).
Steepness of stairs – rise and going

1.1 The requirement will be satisfied if, in a flight, the steps all have the same rise and the same going to the dimensions shown in 1.3 or comply with 1.4 and 1.5.

1.2 Three categories of stairs are considered in this Approved Document:

‘Private’ intended to be used for only one dwelling.

‘Institutional and assembly’ serving a place where a substantial number of people will gather.

‘Other’ in all other buildings.

1.3 Indication of the practical limits for rise and going, for each category of stair which satisfies the requirements, is given below.

a. Private stair: Any rise between 155mm and 220mm used with any going between 245mm and 260mm; or Any rise between 165mm and 200mm used with any going between 223mm and 300mm.

b. Institutional and assembly stair: Any rise between 135mm** and 180mm** used with any going between 280mm and 340mm.

c. Other stair: Any rise between 150mm** and 190mm** used with any going between 250mm and 320mm.

1.4 Table 1 gives the maximum rise and minimum going for the three stair categories.

Table 1 Rise and going

<table>
<thead>
<tr>
<th>Category</th>
<th>Maximum rise (mm)</th>
<th>Minimum going (mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Private stair</td>
<td>220†</td>
<td>220†</td>
</tr>
<tr>
<td>2. Institutional and assembly</td>
<td>180**</td>
<td>280*</td>
</tr>
<tr>
<td>3. Other stair</td>
<td>190**</td>
<td>250</td>
</tr>
</tbody>
</table>

Note:
† The maximum pitch for private stair is 42°.
* If the area of a floor of the building is less than 100m², the going may be reduced to 250mm.

** For maximum rise for stairs providing the means of access for disabled people, reference should be made to Approved Document M: Access and facilities for disabled people.

1.5 The normal relationship between the dimensions of the rise and going is that twice the rise plus the going (2R + G) should be between 550mm and 700mm.

Diagram 1 shows how to measure the rise and going (for steps with tapered treads, see also paragraphs 1.18–1.20).

1.6 In assembly buildings, the gangways may need to be at different pitches to maintain sightlines for spectators and this may affect the main stairs, etc.

The maximum pitch for gangways for seated spectators is 35°.

Alternative approach

1.7 The requirement for steepness of stairs can be met by following the relevant recommendations in BS 5395-1:1977 Stairs, ladders and walkways. Code of practice for the design of straight stairs.

Construction of steps

1.8 Steps should have level treads. Steps may have open risers, but treads should then overlap each other by at least 16mm. For steps in buildings providing the means of access for disabled people reference should be made to Approved Document M, Access and facilities for disabled people.

1.9 All stairs which have open risers and are likely to be used by children under 5 years should be constructed so that a 100mm diameter sphere cannot pass through the open risers.
Headroom

1.10 A headroom of 2m is adequate on the access between levels (see Diagram 2). For loft conversions where there is not enough space to achieve this height, the headroom will be satisfactory if the height measured at the centre of the stair width is 1.9m reducing to 1.8m at the side of the stair as shown in Diagram 3.

Diagram 2 Measuring headroom

Diagram 3 Reduced headroom for loft conversions

Width of flights

1.11 No recommendations for minimum stair widths are given. Designers should bear in mind the requirements for stairs which:

a. form part of means of escape. Reference should be made to Approved Document B: Fire safety;
b. provide access for disabled people. Reference should be made to Approved Document M: Access and facilities for disabled people.

Length of flights

1.13 The number of risers in a flight should be limited to 16 if a stair serves an area used as a shop or for assembly purposes.

For gangways of shallow pitch that are used in assembly buildings reference should be made to BS 5588-6:1991 and to the Guide to Safety in Sports Grounds and Guide to Fire Precautions in Existing Places of Entertainment and Like Premises.

1.14 Stairs having more than 36 risers in consecutive flights should make at least one change of direction between flights of at least 30° (see Diagram 5).

Diagram 4 Dividing flights

Diagram 5 Change of direction

Landings

1.15 Landings should be provided at the top and bottom of every flight. The width and length of every landing should be at least as great as the smallest width of the flight. The landing may include part of the floor of the building.

1.16 To afford safe passage landings should be clear of permanent obstruction. A door may swing across a landing at the bottom of a flight but only if it will leave a clear space of at least 400mm across the full width of the flight (see...
Diagram 6. Doors to cupboards and ducts may open in a similar manner over a landing at the top of a flight (see Diagram 7). For means of escape requirements reference should be made to Approved Document B: Fire safety.

1.17 Landings should be level unless they are formed by the ground at the top or bottom of a flight. The maximum slope of this type of landing may be 1 in 20 provided that the ground is paved or otherwise made firm.

1.18 For steps with tapered treads the going should be measured as follows:

a. if the width of flight is narrower than 1m measure in the middle; and
b. if the width of flight is 1m or wider measure 270mm from each side.

The requirement will be satisfied if the rise and going complies with advice in paragraphs 1.1 to 1.5.

The going of tapered treads should measure at least 50mm at the narrow end (see Diagram 8).

1.19 Where consecutive tapered treads are used a uniform going should be maintained.

1.20 Where a stair consists of straight and tapered treads the going of the tapered treads should not be less than the going of the straight flight – these treads should satisfy paragraphs 1.1 to 1.5.

Stairs designed to BS 585-1:1989 Wood stairs. Specification for stairs with closed risers for domestic use, including straight and winder flights and quarter or half landings, will offer reasonable safety.
Alternating tread stairs

1.22 This type of stair is one of a number of stair types designed to save space. The general pattern of steps has alternate handed steps with part of the tread cut away; the user relies on familiarity and regular use for reasonable safety (see Diagram 9).

1.23 Alternating tread stairs should only be installed in one or more straight flights for a loft conversion and then only when there is not enough space to accommodate a stair satisfying paragraphs 1.1 to 1.17 above. It should only be used for access to one habitable room, together if desired with a bathroom and/or a WC. This WC must not be the only WC in the dwelling.

1.24 Steps should be uniform with parallel nosings. The stair should have handrails on both sides and the treads should have slip resistant surfaces. The tread sizes over the wider part of the step should be in line with dimensions shown in Table 1 with a maximum rise of 220mm and a minimum going of 220mm. The provisions stated in paragraph 1.9 will apply.

Diagram 9 Alternating tread stair

See paras 1.22–1.24

With this type of stair the going is measured between alternate nosings

Handrail (both sides)

Minimum going 220mm

Maximum rise 220mm

Fixed ladders

1.25 A fixed ladder should have fixed handrails on both sides and should only be installed for access in a loft conversion and then only when there is not enough space without alteration to the existing space to accommodate a stair which satisfies paragraphs 1.1 to 1.17. It should be used for access to only one habitable room. Retractable ladders are not acceptable for means of escape. For reference see Approved Document B: Fire safety.

1.26 Stairs, ladders and walkways in industrial buildings should, as appropriate, be designed and constructed in accordance with BS 5395-3:1985 Stairs, ladders and walkways. Code of practice for the design of industrial stairs, permanent ladders and walkways, or BS 4211:1987 Specification for ladders for permanent access to chimneys, other high structures, silos and bins.

Handrails for stairs

1.27 Stairs should have a handrail on at least one side if they are less than 1m wide. They should have a handrail on both sides if they are wider. Handrails should be provided beside the two bottom steps in public buildings and where stairs are intended to be used by people with disabilities. See Approved Document M: Access and facilities for disabled people. Elsewhere handrails need not be provided beside the two bottom steps.

In all buildings handrail height should be between 900mm and 1000mm measured to the top of the handrail from the pitch line or floor.

Handrails can form the top of a guarding if the heights can be matched.

Guarding of stairs

1.28 Flights and landings should be guarded at the sides (see Diagram 11):

a. in dwellings – when there is a drop of more than 600mm;

b. in other buildings – when there are two or more risers.

1.29 Except on stairs in a building which is not likely to be used by children under 5 years the guarding to a flight should prevent children being held fast by the guarding. The construction should be such that:

a. a 100mm sphere cannot pass through any openings in the guarding; and

b. children will not readily be able to climb the guarding.

1.30 The height of the guarding itself should be as shown in Diagram 11.

Access for maintenance purposes

1.31 Where frequent access for maintenance will be required (e.g. at least once per month), provisions such as those suggested for private stairs in dwellings in this Approved Document, or the guidance in BS 5395-3 on industrial stairs and ladders, will satisfy the requirement.

1.32 Where access will be required less frequently it may be appropriate to use portable ladders, etc. Provisions for safe use of such temporary means of access are not covered by Building Regulations, but they are covered by the Construction (Design and Management) Regulations 1994.
Section 2: Ramps

2.1 Steepness To permit safe passage the steepest slope of ramp that should be used is 1:12.

2.2 Headroom All ramps and landings should have a clear headroom throughout of at least 2m (see Diagram 10).

2.3 Width There is no recommendation for minimum ramp widths, except for ramps which form means of escape; for reference, see Approved Document B: Fire safety. For ramps providing access for disabled people see Approved Document M: Access and facilities for disabled people.

2.4 Obstruction of ramps Ramps should be clear of permanent obstructions.

2.5 Handrails Ramps that are less than 1m wide should have a handrail on at least one side. They should have a handrail on both sides if they are wider. There is no need to have handrails if the rise of the ramp is 600mm or less.

Handrails should be at a height of between 900mm and 1000mm. They should give firm support and allow a firm grip. Handrails can form the top of the guarding if the heights can be matched. For handrails on ramps providing access for disabled people see Approved Document M: Access and facilities for disabled people.

2.6 Landings Ramps should be provided with landings (see paragraphs 1.15–1.17).

2.7 Guarding Ramps and their landings should be guarded at their sides in the same way as stairs (see paragraphs 1.28–1.30).

Diagram 10 Ramp design

See paras 2.1–2.7

Height of guarding as for stairs

Maximum slope 1 in 12

Length of landings to be at least equal to the width of the ramp
This Approved Document deals with the following Requirements from Part K of Schedule 1 to the Building Regulations 2010.

### Requirements K2 and K3

#### Protection from falling

**K2.** (a) Any stairs, ramps, floors and balconies and any roof to which people have access, and

(b) any light well, basement area or similar sunken area connected to a building, shall be provided with barriers where it is necessary to protect people in or about the building from falling.

**Vehicle barriers and loading bays**

**K3.** (1) Vehicle ramps and any levels in a building to which vehicles have access, shall be provided with barriers where it is necessary to protect people in or about the building.

(2) Vehicle loading bays shall be constructed in such a way, or be provided with such features, as may be necessary to protect people in them from collision with vehicles.

Note: **Attention is drawn to the Workplace (Health, Safety and Welfare) Regulations 1992**

Compliance with Building Regulation requirement K2 would, in accordance with Section 23(3) of the Health and Safety at Work, etc. Act 1974, prevent the service of an improvement notice relating to guarding with regard to the requirements for protection from the risk of falling a distance likely to cause personal injury in Regulation 13 of the Workplace (Health, Safety and Welfare) Regulations 1992.

Compliance with Building Regulation requirement K3 (2) would, in accordance with Section 23(3) of the Health and Safety at Work, etc. Act 1974, prevent the service of an improvement notice relating to the design of loading bays under Regulation 17 of the Workplace (Health, Safety and Welfare) Regulations 1992.

### Guidance

#### Performance

In the Secretary of State’s view the requirements of K2 and K3 will be met if, in order to reduce the risk to the safety of people in and about buildings:

- a. pedestrian guarding is provided in dwellings which is capable of preventing people from being injured by falling from a height of more than 600mm; and

- b. pedestrian guarding is provided in other buildings which is capable of preventing people from falling more than the height of two risers (or 380mm, if not part of a stair);

- c. vehicle barriers are provided which are capable of resisting or deflecting the impact of vehicles;

- d. loading bays are provided with an adequate number of exits or refuges which enable people to avoid being struck or crushed by vehicles.

An acceptable level of safety can be achieved by different standards of provision for guarding, depending on the circumstances; for example, in a public building the standard of provision may be higher than in a dwelling, to reflect the lesser familiarity and greater number of users.

For areas where access is required only for the purpose of maintenance, greater care can be expected from those gaining access, and it would be reasonable that less demanding provisions could satisfy the requirement.
Pedestrian guarding

3.1 Siting Guarding should be provided where it is reasonably necessary for safety to guard the edges of any part of a floor (including the edge below an opening window), gallery, balcony, roof (including rooflights and other openings), any other place to which people have access and any light well, basement area or similar sunken area next to a building. Guarding should also be provided in vehicle parks, but not on any ramps used only for vehicle access. Guarding need not be provided to such places as loading bays where it would obstruct normal use.

3.2 Design Any wall, parapet, balustrade or similar obstruction may serve as guarding. Guarding should be at least the height shown in Diagram 11. Guarding should be capable of resisting at least the horizontal force given in BS 6399-1:1996. Where glazing is used in the guarding, reference should be made to Approved Document N: Glazing – safety in relation to impact, opening and cleaning.

For further guidance on design of barriers and infill panels, reference should be made to BS 6180:1995 Code of practice for protective barriers in and about buildings.

Diagram 11 Guarding design

<table>
<thead>
<tr>
<th>Building category and location</th>
<th>Height (h)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Single family dwellings</td>
<td>900mm for all elements</td>
</tr>
<tr>
<td>Stairs, landings, ramps, edges of internal floors</td>
<td></td>
</tr>
<tr>
<td>External balconies and edges of roof</td>
<td>1100mm</td>
</tr>
<tr>
<td>Factories and warehouses (light traffic)</td>
<td>900mm</td>
</tr>
<tr>
<td>Stairs, ramps</td>
<td></td>
</tr>
<tr>
<td>Landings and edges of floor</td>
<td>1100mm</td>
</tr>
<tr>
<td>Residential, institutional, educational, office, and public buildings</td>
<td>900mm for flights otherwise 1100mm</td>
</tr>
<tr>
<td>Assembly</td>
<td>800mm (h1)</td>
</tr>
<tr>
<td>530mm in front of fixed seating</td>
<td></td>
</tr>
<tr>
<td>All other locations</td>
<td>900mm for flights elsewhere 1100mm (h2)</td>
</tr>
<tr>
<td>Retail</td>
<td>900mm for flights otherwise 1100mm</td>
</tr>
<tr>
<td>Glazing in all buildings</td>
<td>800mm</td>
</tr>
<tr>
<td>At opening windows except roof windows in loft extensions, see Approved Document B1</td>
<td></td>
</tr>
<tr>
<td>At glazing to changes of level to provide containment (see Diagram 12)</td>
<td>Below 800mm</td>
</tr>
</tbody>
</table>
3.3 Where buildings are likely to be used by children under 5 years the guarding should prevent children being held fast by the guarding. The construction should be such that a 100mm sphere cannot pass through any opening in the guarding and so that children will not readily be able to climb it. Horizontal rails for such guarding should be avoided.

Diagram 12 Typical locations for containment

Guarding of areas used for maintenance

3.4 Where frequent access for maintenance will be required (e.g. at least once per month), provisions such as those suggested for dwellings in this Approved Document (see Diagram 11) will satisfy the requirement.

3.5 Where access for maintenance will be required less frequently, it may be appropriate to use temporary types of guarding or warning notices. Provisions for such measures are not covered by Building Regulations, but they are covered by the Construction (Design and Management) Regulations 1994.

3.6 Information on signs is given in the Health and Safety (Signs and Signals) Regulations 1996.

Vehicle barriers

3.7 Siting: If vehicles have access to a floor, roof or ramp which forms part of a building, barriers should be provided to any edges which are level with or above the floor or ground or any other route for vehicles (see Diagram 13).

3.8 Design: Any wall, parapet, balustrade or similar obstruction may serve as a barrier. Barriers should be at least the height shown in Diagram 14 and should be capable of resisting forces set out in BS 6399-1:1996 Loading for buildings. Code of practice for dead and imposed loads.

Diagram 14 Barrier design

Loading bays

3.9 Design: Loading bays should be provided with at least one exit point from the lower level (preferably near the centre of the rear wall). Wide loading bays (e.g. those for three or more vehicles) should be provided with at least two exit points, one being at each side. Alternatively, a refuge should be provided which people can use to avoid being struck or crushed by a vehicle (see Diagram 15).
The Requirement K4

This Approved Document deals with the following Requirements from Part K of Schedule 1 to the Building Regulations 2010.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Limits on application</th>
</tr>
</thead>
<tbody>
<tr>
<td>Protection from collision with open windows, etc.</td>
<td>Requirement K4 does not apply to dwellings.</td>
</tr>
</tbody>
</table>

Where necessary, reference should be made to Approved Document B: Fire safety, for guidance on clear dimensions of escape routes; and Approved Document M: Access and facilities for disabled people, for guidance on hazards on access routes.

Note: Attention is drawn to the Workplace (Health, Safety and Welfare) Regulations 1992

Compliance with Building Regulation requirement K4 would, in accordance with Section 23(3) of the Health and Safety at Work, etc. Act 1974, prevent the service of an improvement notice with regard to the requirements for projecting windows, skylights and ventilators covered by regulation 15(2) of the Workplace (Health, Safety and Welfare) Regulations 1992.

Guidance

Performance

In the Secretary of State's view, requirement K4 will be met if windows, skylights and ventilators can be left open without danger of people colliding with them. This could be achieved by:

a. installing windows, etc. so that projecting parts are kept away from people moving in and around the building; or

b. installing features which guide people moving in or about the building away from any open window, skylight or ventilator.

In special cases, such as spaces used only for maintenance purposes, greater care can be expected by those gaining access and it would be reasonable that less demanding provisions could satisfy the requirement.
Section 4: Protection from collision

Introduction to provisions

4.1 This Approved Document sets out some ways of complying with the requirement for windows, skylights and ventilators.

Projecting parts

4.2 Parts of windows, skylights and ventilators that project either internally or externally more than about 100mm horizontally into spaces which are used by people moving in or about the building should be:

a. not less than 2m above the ground or floor when in any fixed position; or

b. marked by a feature such as a barrier or rail about 1100mm high to prevent people walking into the projecting part (see Diagram 16); or

c. marked by provision of surfaces with strong tactile differences or by suitable landscaping features, so that people are guided away from them (see Approved Document M and Diagram 17).

Spaces used only for maintenance

4.4 In spaces which are used infrequently, and only for the purpose of maintenance, provisions such as clear marking of the projecting part to make it easy to see will satisfy the requirement.

Diagram 16 Marking by a barrier

See para 4.2

Diagram 17 Marking by a surface

See para 4.2

Cobbles and very slight change of level
The Requirement K5

This Approved Document deals with the following Requirement from Part K of Schedule 1 to the Building Regulations 2010.

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Limits on application</th>
</tr>
</thead>
<tbody>
<tr>
<td>Protection against impact from and trapping by doors</td>
<td>Requirement K5 does not apply to:</td>
</tr>
<tr>
<td>K5. (1) Provision shall be made to prevent any door or gate:</td>
<td>(a) dwellings or</td>
</tr>
<tr>
<td>(a) which slides or opens upwards, from falling onto any person; and</td>
<td>(b) any door or gate which is part of a lift.</td>
</tr>
<tr>
<td>(b) which is powered, from trapping any person.</td>
<td></td>
</tr>
<tr>
<td>(2) Provision shall be made for powered doors and gates to be opened in the event of a power failure.</td>
<td></td>
</tr>
<tr>
<td>(3) Provision shall be made to ensure a clear view of the space on either side of a swing door or gate.</td>
<td></td>
</tr>
</tbody>
</table>

Where necessary, reference should be made to: Part B Fire Safety (B1: Means of escape has additional guidance for doors on escape routes) and Part M Access and facilities for disabled people (M2: Access and use has additional guidance on the design of internal and external doors).

Note: Attention is drawn to the Workplace (Health, Safety and Welfare) Regulations 1992

Compliance with Building Regulation requirement K5 would, in accordance with Section 23(3) of the Health and Safety at Work, etc. Act 1974, prevent the service of an improvement notice with regard to the requirements for doors and gates in Regulation 16 of the Workplace (Health, Safety and Welfare) Regulations 1992.

Guidance

Performance

In the Secretary of State's view, requirement K5 will be satisfied if measures are taken to prevent the opening and closing of doors and gates presenting a safety hazard.
Introduction to provisions

5.1 This Approved Document sets out some ways of complying with the requirement for suitable construction of doors and gates.

Safety features

5.2 Provision of features such as the following will satisfy the requirement:

a. doors and gates on main traffic routes and those which can be pushed open from either side should have vision panels unless they are low enough to see over (typically 900mm for a person in a wheelchair). Guidance on the size of vision panels suitable for use by disabled people is given in Approved Document M (see Diagram 18);

b. sliding doors and gates should have a stop or other effective means to prevent them coming off the end of the track. They should also have a retaining rail to prevent them falling should the suspension system fail or the rollers leave the track;

c. upward opening doors and gates should be fitted with a device to stop them falling in a way that could cause injury;

d. power operated doors and gates should have:
   - safety features to prevent injury to people who are struck or trapped (such as a pressure sensitive door edge which operates the power switch);
   - a readily identifiable and accessible stop switch;
   - provision for manual or automatic opening in the event of a power failure where and when necessary for health or safety.
Standards referred to

BS 585-1:1989
Wood stairs. Specification for stairs with closed risers for domestic use, including straight and winder flights and quarter and half landings. AMD 6510 1990. Obsolescent.

BS 4211:1987
Specification for ladders for permanent access to chimneys, other high structures, silos and bins. AMD 7064 1992.
(Withdrawn and superseded by BS4211:1994, Withdrawn and superseded by BS 4211:2005 Specification for permanently fixed ladders.)

BS 5395-1:1977
(Withdrawn and superseded by BS 5395-1:2000 Stairs, ladders and walkways. Code of practice for the design, construction and maintenance of straight stairs and windows.)

BS 5395-2:1984

BS 5395-3:1985

BS 5588-6:1991

BS 6180:1995

BS 6399-1:1996
MAIN CHANGES IN THE 2004 EDITION

New guidance has been included for access for
This edition of Approved Document K, Protection from falling, collision and impact, replaces the
1992 edition. The main changes are:

Requirement K1
Stairs, ladders and ramps:
- the scope has been extended to cover access to areas of the building used only for maintenance.
- the guidance on spiral and helical stairs had been simplified to refer only to BS 5395-2.

Requirement K2
Protection from falling: the scope has been extended to cover guarding of areas used for maintenance and guarding to light wells and other sunken areas when connected to a building.

Requirement K3
Vehicle barriers and loading bays: the scope has been extended to cover safety aspects of the design of loading bays.

Requirement K4
Protection from collision with open windows etc: new requirement to reduce risk of collisions with open windows, skylights and ventilators.

Requirement K5
Protection against impact from and trapping by doors: new requirement to reduce the risk of injury when using, for example, certain types of sliding or powered doors and gates.

MAIN CHANGES MADE BY THE 2010 AMENDMENTS

This 1998 edition incorporating the 2000 and 2010 amendments reflects the changes made as a result of the Building Regulations 2010 and Building (Approved Inspectors etc) Regulations 2010. The changes mainly reflect regulation number changes as a result of re-ordering. There have been no amendments to the substantive requirements in Schedule 1 (i.e. Parts A to P) of the Building Regulations. Please note the simplification of the definition of ‘room for residential purposes’ in regulation 2 of the Building Regulations 2010. Please also note that L1(c) has now become regulation 40.

APPROVED DOCUMENTS

The following documents have been approved and issued by the First Secretary of State for the purpose of providing practical guidance with respect to the requirements of the Building Regulations 2010.

- Approved Document A: Structure
  2004 edition incorporating 2010 amendments
- Approved Document B (Fire safety) – Volume 1: Dwellinghouses
  2006 edition incorporating 2010 amendments
- Approved Document B (Fire safety) – Volume 2: Buildings other than dwellinghouses
  2006 edition incorporating 2007 and 2010 amendments
- Approved Document C: Site preparation and resistance to contaminants and moisture
  2004 edition incorporating 2002 and 2010 amendments
- Approved Document D: Toxic substances
- Approved Document E: Resistance to the passage of sound
  2003 edition incorporating 2004 and 2010 amendments
- Approved Document F: Ventilation
  2010 edition incorporating further 2010 amendments
- Approved Document G: Sanitation, hot water safety and water efficiency
  2010 edition incorporating further 2010 amendments
- Proposed Document H: Drainage and waste disposal
  2002 edition incorporating 2010 amendments
- Approved Document J: Combustion appliances and fuel storage systems
  2010 edition incorporating further 2010 amendments
- Approved Document K: Protection from falling collision and impact
- Approved Document L1A: Conservation of fuel and power – New dwellings
  2010 edition incorporating further 2010 amendments
- Approved Document L1B: Conservation of fuel and power – Existing dwellings
  2010 edition incorporating further 2010 and 2011 amendments
- Approved Document L2A: Conservation of fuel and power – New buildings other than dwellings
  2010 edition incorporating further 2010 amendments
- Approved Document L2B: Conservation of fuel and power – Existing buildings other than dwellings
  2010 edition incorporating further 2010 and 2011 amendments
- Approved Document M: Access to and use of buildings
  2004 edition incorporating 2010 amendments
- Approved Document N: Glazing – safety in relation to impact, opening and cleaning
- Approved Document P: Electrical safety – Dwellings
  2006 edition incorporating 2010 amendments
- Approved Document to support regulation 7: Materials and workmanship
  1999 edition incorporating 2010 amendments
Protection from falling, collision and impact

K1 Stairs, ladders and ramps
K2 Protection from falling
K3 Vehicle barriers and loading bays
K4 Protection from collision with open windows, skylights and ventilators
K5 Protection against impact from and trapping by doors

ONLINE VERSION