

Sponsoring Organisation:	Implementation Date: 31 st December 2007
Department of Health	Subject: Central Return: Referral To Treatment Summary Patient Tracking List
MANDATING DATA SET CHANGE NOTICE	
<p>This paper mandates the introduction of a national dataset and central return. The Information Standards Board (ISB) approved the standard for this dataset at its meeting on 24 October 2007.</p> <p>The burden of collection has been agreed by the Review of Central Returns Steering Committee (ROCR) – ROCR No: ROCR/OR/0208. This is not approved by Monitor for Foundation Trusts at time of publication.</p>	
<p>Summary: This notice introduces the Referral To Treatment Summary Patient Tracking List.</p> <p>This is a weekly return collected via the Unify2 system on a Provider-Commissioner basis, reporting information captured at midnight on the preceding Sunday. Provider organisations are required to submit data relating to patients whose referral-to-treatment period has ended within the preceding 7 days, and those who are at risk of breaching the 18 Weeks target. Providers are required to sign off their returns to commissioners by midday on Thursday of every week. Commissioners are subsequently required to sign off the data submitted by each of their Providers by midday on Friday of the same week. The introduction of this standard will affect:</p> <ul style="list-style-type: none">• Providers• Commissioners• Suppliers of IT systems <p>Voluntary collections for the parts of the return relating to admitted patients began in July 2007, becoming mandatory in August 2007 following the approval of ROCR. Mandatory collections for all parts of the return begin on the week ending 6th January 2008.</p> <p>Please note: A Data Set Change Notice further clarifying the scope of the 18 Weeks Referral to Treatment target is being prepared and will be submitted to ISB for assurance in 2008.</p> <p>Note: This DSCN is in two parts: Part 1 provides detailed policy information needed to implement the change Part 2 provides the definitional, technical and modelling detail that will be included in the NHS Data Dictionary – Change Proposal CP 881</p>	
Impact of Change:	
Service: Major	System Suppliers: Minor
<p>The Information Standards Board (ISB) is responsible for approving information standards. The ISB output related to the assurance and sign-off of this standard can be found at – www.isb.nhs.uk/docs/18ptloutput.pdf</p> <p>The ISB submission documents relating to the approval of this standard can be found at – www.isb.nhs.uk/docs/18ptlsubmission.pdf</p>	

DATA SET CHANGE NOTICE

Reference No:	DSCN 42/2007 ROCR/OR/0208
Version No:	2.1
Subject:	Referral To Treatment Summary Patient Tracking List
Type of Change:	Introduction of a new central return
Implementation Date:	31 st December 2007
Business Justification:	To support monitoring and delivery of DH PSA target 13 – “By 2008, no-one will have to wait longer than 18 weeks from GP referral to hospital treatment...”.
Effect on other Information Standards:	None

Introduction

The purpose of this DSCN is to inform the service of the Department of Health (DH) mandate for the provision of data relating to the Referral To Treatment (RTT) Summary Patient Tracking List (PTL).

The RTT Summary PTL will collect information from provider organisations on patients who are either at risk of breaching the 18 Weeks RTT target, or who have finished their RTT pathway within the last seven days. Commissioner organisations will be able to view PTL data organised by provider organisations.

The PTL provides a prospective viewpoint, and so can act as a planning tool for managing patient waiting lists in a way that the (retrospective) monthly RTT data collection cannot.

Purpose

The standard will be used to monitor performance against achieving the 18 week target at all levels of the NHS and in the DH. Using a commonly agreed summary collection allows for ease of comparison across organisations for commissioners, Strategic Health Authorities (SHAs) and the DH.

PTL data will be used to influence policy decisions relating to the 18 week target by both SHAs and the DH. The data will also be used by Primary Care Trusts (PCTs) to inform current performance, and allow them to make more informed decisions about future commissioning needs. Data can be shared with research teams for specific audit or research projects to identify characteristics of high/poor performance on delivering 18 weeks. Furthermore the data will help to inform the redesign of pathways to support delivery of more efficient and streamlined care.

The standard will be used for the following purposes:

- At patient level, to identify groups of patients who may be at risk of waiting longer than 18 weeks; to enable intervention which may include the commissioning of care from an alternative provider.
- At aggregate level to inform PCTs of numbers waiting and length of wait to support commissioning and performance management decisions.

- To inform process review for areas of high waits or large backlog of patients on 18 week pathways.
- To inform the DH of progress towards reducing waiting times in line with achieving the 18 week target by end 2008.
- To inform DH policy for investment in services

Background

Delivering an 18 week patient pathway from GP referral to the start of treatment by the end of 2008 is a key objective for the NHS. The commitment is set out in DH PSA target 13: "By 2008, no-one will have to wait longer than 18 weeks from GP referral to hospital treatment...".

The target requires commissioners and providers to closely manage their patients through RTT pathways. Patient Tracking Lists (PTLs) are an established mechanism used by the NHS to prospectively manage patients' waiting times, and are accepted by the NHS as a crucial part of delivering the 18 week target.

To enable performance management of the 18 week target, the NHS and the DH need aggregate summary information on the groups of patients most at risk of breaching the 18 Week target. A standardised national mechanism is the best solution to achieve the collection and sharing of this information.

This return will inform DH and commissioner organisations about:

- the number of RTT periods which ended within the preceding 7 days, and the proportion that breached the 18 Weeks target;
- the number of patients approaching their 18 Weeks breach date for whom no date for first treatment has been scheduled, or for whom the scheduled treatment date is beyond the 18 Weeks breach date.

The PTL was developed through collaboration with eight pilot sites. Details are available at <http://www.18weeks.nhs.uk/public/default.aspx?main=true&load=ArticleViewer&ArticleId=947>

Details of Change

The national 18 Week RTT Summary PTL is intended to collect a set of performance information about patients on RTT pathways that are nearing their breach date in relation to the 18 week target. It provides a structure which enables the most "at risk" patients to be clearly identified.

The data will be collected via the Unify2 internet data collection tool. Queries about this tool should be made via e-mail to the dedicated Unify2 mailbox unify2@dh.gsi.gov.uk

A copy of the upload form is included in the Appendix.

Part two of this DSCN contains the definitional, technical, and modelling detail that will be included in the NHS Data Dictionary.

Scope

The data will be collected from provider organisations in the first instance. Providers will be required to submit data to the DH split by commissioner. This data will be used to create commissioner-based returns. PCTs will be required to sign off their data for each provider, and will have the opportunity to add or amend data before signing it off. PCTs also have the

responsibility for ensuring that any data relating to activity commissioned from the independent sector is included in their return.

The data will cover all patients who fall into either of the following two groups:

- patients on RTT pathways who are within 8 weeks of passing their 18 Weeks breach date, or whose RTT period clock start date is unknown, or who have already passed their 18 Weeks breach date;
- patients who have completed their RTT pathway during the PTL reporting period.

Section 1 of the PTL relates to patients on RTT pathways for whom no decision to admit for treatment has been made, and who either:

- do not have an agreed future appointment at which the intention is to commence treatment;
- have such an agreed future appointment, but this appointment is scheduled beyond their 18 Weeks breach date.

Section 1 should include patients for whom no decision about whether to treat via a hospital provider spell has been made.

Section 2 of the PTL relates to patients on RTT pathways for whom a decision to admit for treatment has been made, and either:

- do not have an appointment date;
- have an appointment date which is scheduled beyond their 18 Weeks breach date.

Section 3 of the PTL relates to patients who have completed their RTT period during the last seven days. Admitted and non-admitted patients are reported separately. For both groups, patients are reported as either:

- having commenced treatment before their 18 weeks RTT breach date;
- having commenced treatment on or after their 18 weeks RTT breach date;
- having an unknown RTT clock start.

Out of Scope

Any pathways that are not subject to the 18 weeks RTT target are out of scope. The 18 Week RTT Summary PTL does not cover all components of a PTL that providers may wish to develop for their own use.

Timescales

Provider organisations are required to submit and sign off their returns by noon on Thursday each week. Commissioner organisations are required to sign off their return by noon on Friday each week. The first full PTL return for week ending 6 January 2008 is due to be signed off by providers on 10 January 2008 and by commissioners on 11 January 2008.

The PTL reporting period begins at one minute past midnight on the Monday of each week, and ends at midnight on the Sunday of that week. Parts 1 and 2 of the PTL report on patients waiting for treatment at the end of the reporting period. Part 3 of the PTL relates to patients whose RTT period ended during the reporting period.

PTL Reporting Timescales Example

<i>PTL report</i>	<i>Reporting period start</i>	<i>Reporting period end</i>	<i>Provider submission and sign-off deadline</i>	<i>Commissioner sign-off deadline</i>
week ending 1 June 2008	1 minute past midnight, Monday 26 May 2008	Midnight, Sunday 1 June 2008	Noon, Thursday 5 June 2008	Noon, Friday 6 June 2008

Sponsor Details

Nick Chapman, National Director 18 weeks & RSU Account Director, Department of Health.

Further Information and Support

Further information is available by e-mail to data18weeks@dh.gsi.gov.uk

Technical queries should be sent to the dedicated Unify2 mailbox unify2@dh.gsi.gov.uk

Appendix

Unify 2 Weekly 18 Week PTL – Upload Template

Sample PTL Upload Form, to be completed by providers for each commissioner

Weekly 18 Week PTL								
SECTION 1: 18 WEEK - WEEKLY RTT PTL - PATIENTS WITHOUT A DECISION TO ADMIT FOR TREATMENT								
(required from January 2008)	Patients with an unknown clock start	Patients who will breach in 6-8 weeks	Patients who will breach in 4-6 weeks	Patients who will breach in 2-4 weeks	Patients who will breach in 1-2 weeks	Patients who will breach in 0-1 weeks	Number of patients who passed their breach date in the last 7 days	Total number of patients whose breach date has already passed
Number of patients who are untreated and either do not have an agreed treatment date or do not have a treatment date within target time.	0	0	0	0	0	0	0	0
SECTION 2: 18 WEEK - WEEKLY RTT PTL - PATIENTS WITH A DECISION TO ADMIT FOR TREATMENT								
(required from 1 July 2007)	Patients with an unknown clock start	Patients who will breach in 6-8 weeks	Patients who will breach in 4-6 weeks	Patients who will breach in 2-4 weeks	Patients who will breach in 1-2 weeks	Patients who will breach in 0-1 weeks	Number of patients who passed their breach date in the last 7 days	Total number of patients whose breach date has already passed
Number of patients who are untreated and either do not have a TCI date, or do not have a TCI date within target time.	0	0	0	0	0	0	0	0
SECTION 3: PATIENTS TREATED IN THE LAST WEEK								
	Number of patients treated last week with an unknown clock start	Number of patients treated within 18 weeks	Number of patients not treated within 18 weeks					
Non Admitted Patients treated in the last 7 days (required from January 2008)	0	0	0					
Admitted patients treated in the last 7 days (required from 1 July 2007)	0	0	0					
SECTION 4: COMMENTARY								

Change Request

NHS Connecting for Health

NHS Data Model and Dictionary Service

Reference:	Change Request 881
Version No:	1.0
Subject:	Referral to Treatment Summary Patient Tracking List
Type of Change:	Changes to NHS Data Standards
Effective Date:	31 December 2007
Reason for Change:	Introduction of Referral to Treatment Summary Patient Tracking List into Central Return Data Sets

Background:

The NHS Improvement Plan stated "By 2008, no-one will have to wait longer than 18 weeks from GP referral to hospital treatment." This target will require Commissioners and Providers to closely manage their patients through Referral To Treatment Pathways.

This Data Set Change Notice introduces a national 18 Week Referral To Treatment Summary Patient Tracking List, submitted via UNIFY2, which collects a set of performance information about patients on Referral To Treatment Pathways, focusing on those patients that may potentially breach the 18 week target.

The 18 week Referral To Treatment Summary Patient Tracking List has three sections:

- Patients with a DECISION TO ADMIT for treatment who are still awaiting treatment
- Patients without a DECISION TO ADMIT for treatment who are still awaiting treatment
- Patients with a REFERRAL TO TREATMENT PERIOD END DATE in the last 7 days.

For admitted patients (waiting list patients with a decision to admit for treatment, or treated patients whose referral to treatment period was ended by a Hospital Provider Spell), data collection started on a voluntary basis (prior to Review Of Central Returns approval) on 1 July 2007. ROCR approval has since been obtained for a period of one year from August 2007 (ROCR/OR/0208). For non-admitted patients (waiting list patients without a decision to admit for treatment, or treated patients whose referral to treatment period was ended by an event other than a Hospital Provider Spell), the collection will commence from 6 January 2008.

Note that MONITOR have NOT approved this data collection for Foundation Trusts. However a significant number have already submitted data and it may be agreed locally that the data should be exchanged in the format of the Referral To Treatment Summary Patient Tracking List, between commissioners and provider Foundation Trusts.

Data submitted for the Referral To Treatment Summary Patient Tracking List by providers, will be 'signed off' by Commissioners; this sign-off signifies acceptance and ownership of the data, and does not necessarily indicate that data has been validated by the Commissioner and deemed to be correct.

Once data, which includes Referral To Treatment data items, is flowing through Commissioning Data Set version 6, and a period of monitoring has taken place to audit and compare submissions with Secondary Uses Service generated statistics to ensure consistency, the Department of Health will consider removing the requirement to submit this Referral To Treatment Summary Patient Tracking List Data Set. The requirement to submit the Inpatient and Outpatient Stocks and Flows Central Return Data Sets will also be reviewed at this time. These reviews are likely to take place in the 2009/10 financial year.

Guidance on the measurement of Referral To Treatment Periods, 18 week clock rules, and Frequently Asked Questions, are all available from the Department of Health 18 week website, at <http://www.18weeks.nhs.uk/public/default.aspx> . Additional Frequently Asked Questions about 18 weeks are also available from the NHS Data Model and Dictionary website, at

http://www.connectingforhealth.nhs.uk/systemsandservices/data/datamodeldictionary/help/faqs-07/index_html .

Summary of changes:

Data Elements

REFERRAL TO TREATMENT PERIOD BREACH DATE	New Data Element
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (NON-ADMITTED PATIENTS)	New Data Element
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (PATIENTS WITH A DECISION TO ADMIT)	New Data Element
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (NON-ADMITTED PATIENTS)	New Data Element
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (PATIENTS WITH A DECISION TO ADMIT)	New Data Element
REFERRAL TO TREATMENT PERIOD BREACH TIME BAND	New Data Element
REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)	New Data Element
REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (UNKNOWN START DATE)	New Data Element
REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT WITHIN LAST 7 DAYS (WITHIN 18 WEEKS)	New Data Element
REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)	New Data Element
REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (UNKNOWN START DATE)	New Data Element
REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (WITHIN 18 WEEKS)	New Data Element
REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (NON-ADMITTED PATIENTS)	New Data Element
REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (PATIENTS WITH A DECISION TO ADMIT)	New Data Element

Dataset

REFERRAL TO TREATMENT SUMMARY PATIENT TRACKING LIST DATA SET	New Dataset
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Supporting Information

REFERRAL TO TREATMENT SUMMARY PATIENT TRACKING LIST DATA SET OVERVIEW	New Supporting Information
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Date: 20 December 2007

Sponsor: Nick Chapman, 18 Weeks National Director & RSU Account Director, Department of Health

Note: New text is shown with a blue background. Deleted text is crossed out. Within the Diagrams deleted classes and relationships are red, changed items are blue and new items are green.

REFERRAL TO TREATMENT SUMMARY PATIENT TRACKING LIST DATA SET

Change to Dataset: New Dataset

REFERRAL TO TREATMENT SUMMARY PATIENT TRACKING LIST DATA SET

Referral to Treatment Summary Patient Tracking List to support delivery of 18 week waiting times

Referral To Treatment Summary Patient Tracking List Data Set Overview

Data Set Data Elements
Organisation and Reporting Period
REPORTING PERIOD START DATE
REPORTING PERIOD END DATE
ORGANISATION CODE (CODE OF PROVIDER)
ORGANISATION CODE (CODE OF COMMISSIONER)
Part 1A - NON-ADMITTED PATIENTS - Not yet breached 18 weeks target: Patients without a DECISION TO ADMIT for treatment, who are either untreated or have not had their clock stopped for another reason, and either do not have an agreed future APPOINTMENT with an anticipated REFERRAL TO TREATMENT PERIOD STATUS of 30, or do not have an agreed future APPOINTMENT with an anticipated REFERRAL TO TREATMENT PERIOD STATUS of 30 earlier than the REFERRAL TO TREATMENT PERIOD BREACH DATE.
REFERRAL TO TREATMENT PERIOD BREACH TIME BAND
REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (NON-ADMITTED PATIENTS)
Part 1B - NON-ADMITTED PATIENTS - Breached 18 weeks target: Patients without a DECISION TO ADMIT for treatment, who are either untreated or have not had their clock stopped for another reason, and who have breached the 18 weeks target date.
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (NON-ADMITTED PATIENTS)
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (NON-ADMITTED PATIENTS)
Part 2A - ADMITTED PATIENTS - Not yet breached 18 weeks target: Patients with a DECISION TO ADMIT for treatment, who either do not have an OFFERED FOR ADMISSION DATE with an anticipated REFERRAL TO TREATMENT PERIOD STATUS of 30, or do not have an OFFERED FOR ADMISSION DATE with an anticipated REFERRAL TO TREATMENT PERIOD STATUS of 30 earlier than the REFERRAL TO TREATMENT PERIOD BREACH DATE.
REFERRAL TO TREATMENT PERIOD BREACH TIME BAND
REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (PATIENTS WITH A DECISION TO ADMIT)
Part 2B - ADMITTED PATIENTS - Breached 18 weeks target: Patients with a DECISION TO ADMIT for treatment, who are either untreated or have not had their clock stopped for another reason, and who have breached the 18 week target date.
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (PATIENTS WITH A DECISION TO ADMIT)
REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (PATIENTS WITH A DECISION TO ADMIT)
Part 3 - Patients treated in the last week (or whose REFERRAL TO TREATMENT PERIOD ended for other reasons).
REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (UNKNOWN START DATE)
REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (WITHIN 18 WEEKS)
REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)
REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (UNKNOWN START DATE)
REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT WITHIN LAST 7 DAYS (WITHIN 18 WEEKS)
REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)

REFERRAL TO TREATMENT SUMMARY PATIENT TRACKING LIST DATA SET OVERVIEW

Change to Supporting Information: New Supporting Information

Referral To Treatment Summary Patient Tracking List Data Set Overview

Referral to Treatment Summary Patient Tracking List to support delivery of 18 week waiting times

The national 18 Week Summary Patient Tracking List is intended to collect a set of performance information about PATIENTS with active REFERRAL TO TREATMENT PERIODS that are nearing the 18 week target date. Its main purpose is to focus on those PATIENTS that may potentially breach the 18 week target, providing a structure which enables the most 'at risk' PATIENTS to be clearly identified. The 18 Week Referral To Treatment Summary Patient Tracking List does not cover all the components of a Patient Tracking List that individual Providers and Commissioners may wish to develop and share - especially at PATIENT level. The sharing of any extended data sets between Providers and Commissioners is subject to local arrangements. Examples of patient-level data sets developed during piloting of this central return, are available from the Department of Health 18 week website (address below).

For most PATIENTS the start of a REFERRAL TO TREATMENT PERIOD begins with a GP REFERRAL REQUEST to a CONSULTANT in secondary care. In addition this data set also covers REFERRAL REQUESTS to CONSULTANTS from:

- GENERAL DENTAL PRACTITIONERS (GDP)
- GENERAL PRACTITIONERS (Medical or Dental) with a Special Interest (GPwSIs)
- Optometrist
- Orthoptists
- Accident and Emergency Departments (where patients are transferred to an elective pathway)
- Minor injuries units (where patients are transferred to an elective pathway)
- Walk in centres (WICs) (where patients are transferred to an elective pathway)
- Genito-urinary medicine clinics
- National Screening Programmes (for non-malignant conditions) Specialist NURSES or allied health professionals where Primary Care Trusts have approved these mechanisms locally.

Referrals to NURSE consultants and allied health professionals are currently out of scope for 18 weeks Referral To Treatment monitoring. A Data Set Change Notice clarifying the scope of the 18 Weeks Referral To Treatment target is being prepared for intended publication in 2008.

Guidance on the measurement of Referral To Treatment Periods, 18 week clock rules, and Frequently Asked Questions, are all available from the Department of Health 18 week website, at <http://www.18weeks.nhs.uk/public/default.aspx>. Additional Frequently Asked Questions about 18 weeks are also available from the NHS Data Model and Dictionary website, at http://www.connectingforhealth.nhs.uk/systemsandservices/data/datamodeldictionary/help/faqs-07/index_html.

The Referral to Treatment Summary Patient Tracking List is in three parts, as follows:

Parts 1A and 1B: Patients where the intent is to treat in an outpatient setting (including patients where it has not yet been decided whether to admit for treatment or treat in outpatients)

Part 1A should be completed for PATIENTS without a DECISION TO ADMIT for treatment, who have not had an ACTIVITY that ends the REFERRAL TO TREATMENT PERIOD (such as their first definitive treatment, a decision to start active monitoring, or who did not attend their first APPOINTMENT)

AND either

a. do not have a future APPOINTMENT where the anticipated REFERRAL TO TREATMENT PERIOD STATUS is 30

OR

b. do have a future APPOINTMENT where the anticipated REFERRAL TO TREATMENT PERIOD STATUS is 30, but not earlier than the REFERRAL TO TREATMENT PERIOD BREACH DATE.

Part 1B should be completed for PATIENTS without a DECISION TO ADMIT for treatment, who have not had an ACTIVITY that ends the REFERRAL TO TREATMENT PERIOD (such as their first definitive treatment, a decision to start active monitoring, or who did not attend their first APPOINTMENT)

AND

whose REFERRAL TO TREATMENT PERIOD BREACH DATE has been reached.

Note that parts 1A and 1B of the 18 Week Referral To Treatment Summary Patient Tracking List are required for submission from 6 January 2008 onwards.

Parts 2A and 2B: Patients where the intent is to admit for treatment

Part 2A should be completed for PATIENTS with a DECISION TO ADMIT for treatment, who have not had an ACTIVITY that ends the REFERRAL TO TREATMENT PERIOD (such as their first definitive treatment, a decision to start active monitoring, or who did not attend their first APPOINTMENT)

AND either

a. do not have an agreed OFFERED FOR ADMISSION DATE with an anticipated REFERRAL TO TREATMENT PERIOD STATUS of 30

OR

b. do have an agreed OFFERED FOR ADMISSION DATE with an anticipated REFERRAL TO TREATMENT PERIOD STATUS of 30, but not earlier than the REFERRAL TO TREATMENT PERIOD BREACH DATE.

Part 2B should be completed for PATIENTS with a DECISION TO ADMIT for treatment, who have not had an ACTIVITY that ends the REFERRAL TO TREATMENT PERIOD (such as their first definitive treatment, a decision to start active monitoring, or who did not attend their first APPOINTMENT)

AND

whose REFERRAL TO TREATMENT PERIOD BREACH DATE has been reached.

Note that Parts 2A and 2B of the 18 Week Referral To Treatment Summary Patient Tracking List are required for submission from July 2007 onwards.

Part 3 - Patients with a clock stop in the last week (who have either been treated, or whose REFERRAL TO TREATMENT PERIOD ended for other reasons).

This section should be completed for PATIENTS with a REFERRAL TO TREATMENT PERIOD END DATE within the last 7 days.

Note that within Part 3 of the 18 Week Referral To Treatment Summary Patient Tracking List, the three data elements relating to admitted PATIENTS are required for submission from July 2007 onwards; the other three data elements relating to non-admitted PATIENTS are required for submission from 6 January 2008 onwards.

Full guidance on the completion and submission of the 18 Week Referral To Treatment Summary Patient Tracking List, including calculation of waiting times, is available from the Department of Health 18 week website at: <http://www.18weeks.nhs.uk/public/default.aspx?main=true&load=ArticleViewer&ArticleId=947>

REFERRAL TO TREATMENT PERIOD BREACH DATE

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD BREACH DATE

Format/length:	see DATE
HES item:	
National Codes:	
Default Codes:	

Notes:

This is the DATE that a PATIENT would breach the 18 week wait target for undergoing an ACTIVITY which would end the REFERRAL TO TREATMENT PERIOD.

The REFERRAL TO TREATMENT PERIOD BREACH DATE is the 127th day after the REFERRAL TO TREATMENT PERIOD START DATE of a REFERRAL TO TREATMENT PERIOD.

This data element is also known by these names:

Context	Alias
plural	REFERRAL TO TREATMENT PERIOD BREACH DATES

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (NON-ADMITTED PATIENTS)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (NON-ADMITTED PATIENTS)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

For PATIENTS with no DECISION TO ADMIT for treatment, this is the number of REFERRAL TO TREATMENT PERIODS during the REPORTING PERIOD with no REFERRAL TO TREATMENT PERIOD END DATE, where the REFERRAL TO TREATMENT PERIOD BREACH DATE was reached during the 7 days before the REPORTING PERIOD END DATE.

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (PATIENTS WITH A DECISION TO ADMIT)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (PATIENTS WITH A DECISION TO ADMIT)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

This is the number of REFERRAL TO TREATMENT PERIODS during the REPORTING PERIOD with no REFERRAL TO TREATMENT PERIOD END DATE, where a DECISION TO ADMIT for treatment has been made, and where the REFERRAL TO TREATMENT PERIOD BREACH DATE was reached during the 7 days before the REPORTING PERIOD END DATE.

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (NON-ADMITTED PATIENTS)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (NON-ADMITTED PATIENTS)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

For PATIENTS with no DECISION TO ADMIT for treatment, this is the total number of REFERRAL TO TREATMENT PERIODS during the REPORTING PERIOD with no REFERRAL TO TREATMENT PERIOD END DATE, where the REFERRAL TO TREATMENT PERIOD BREACH DATE has been reached.

This includes PATIENTS counted in REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (NON-ADMITTED PATIENTS) as well as those patients whose REFERRAL TO TREATMENT PERIOD BREACH DATE was reached more than 7 days ago.

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (PATIENTS WITH A DECISION TO ADMIT)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED TOTAL (PATIENTS WITH A DECISION TO ADMIT)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

This is the total number of REFERRAL TO TREATMENT PERIODS during the REPORTING PERIOD with no REFERRAL TO TREATMENT PERIOD END DATE, where a DECISION TO ADMIT for treatment has been made, and the REFERRAL TO TREATMENT PERIOD BREACH DATE has been reached.

This includes PATIENTS counted in REFERRAL TO TREATMENT PERIOD BREACH DATE PASSED IN LAST 7 DAYS (PATIENTS WITH A DECISION TO ADMIT) as well as those PATIENTS whose REFERRAL TO TREATMENT PERIOD BREACH DATE was reached more than 7 days ago.

REFERRAL TO TREATMENT PERIOD BREACH TIME BAND

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD BREACH TIME BAND

Format/length:	an7
HES item:	
National Codes:	
Default Codes:	

Notes:

The time band for the REFERRAL TO TREATMENT SUMMARY PATIENT TRACKING LIST DATA SET in weeks. These are the time bands of the number of weeks until the REFERRAL TO TREATMENT PERIOD BREACH DATE.

Permitted values are as below:

unknown	Patients with an unknown REFERRAL TO TREATMENT PERIOD START DATE
>6-8	Patients who will breach in more than 6 and up to and including 8 weeks
>4-6	Patients who will breach in more than 4 and up to and including 6 weeks
>2-4	Patients who will breach in more than 2 and up to and including 4 weeks
>1-2	Patients who will breach in more than 1 and up to and including 2 weeks
0-1	Patients who will breach in 0 and up to and including 1 week

Guidance for calculating days until breach:

The REFERRAL REQUEST RECEIVED DATE is day zero in the REFERRAL TO TREATMENT PERIOD. Therefore:

Patients who are reported in the more than 6 and up to and including 8 weeks time band are those who have 43 to 56 days until breach at the REPORTING PERIOD END DATE

Patients who are reported in the more than 4 and up to and including 6 weeks time band are those who have 29 to 42 days until breach at the REPORTING PERIOD END DATE

Patients who are reported in the more than 2 and up to and including 4 weeks time band are those who have 15 to 28 days until breach at the REPORTING PERIOD END DATE

Patients who are reported in the more than 1 and up to and including 2 weeks time band are those who have 8 to 14 days until breach at the REPORTING PERIOD END DATE

Patients who are reported in the 0 and up to and including 1 week time band are those who have 0 to 7 days until breach at the REPORTING PERIOD END DATE

This data element is also known by these names:

Context	Alias
plural	REFERRAL TO TREATMENT PERIOD BREACH TIME BANDS

REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

The number of REFERRAL TO TREATMENT PERIODS completed during the REPORTING PERIOD where the REFERRAL TO TREATMENT PERIOD END DATE was more than 18 weeks after the REFERRAL TO TREATMENT PERIOD START DATE, and the ACTIVITY which ended the REFERRAL TO TREATMENT PERIOD was a Hospital Provider Spell.

REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (UNKNOWN START DATE)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT IN LAST 7 DAYS (UNKNOWN START DATE)

Format/length:	n6
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HES item:
National Codes:
Default Codes:

Notes:

The number of REFERRAL TO TREATMENT PERIODS completed during the REPORTING PERIOD where the REFERRAL TO TREATMENT PERIOD START DATE is unknown, and the ACTIVITY which ended the REFERRAL TO TREATMENT PERIOD was a Hospital Provider Spell

REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT WITHIN LAST 7 DAYS (WITHIN 18 WEEKS)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD COMPLETED BY ADMITTED PATIENT WITHIN LAST 7 DAYS (WITHIN 18 WEEKS)

Format/length: n6
HES item:
National Codes:
Default Codes:

Notes:

The number of REFERRAL TO TREATMENT PERIODS completed during the REPORTING PERIOD where the REFERRAL TO TREATMENT PERIOD END DATE was within 18 weeks of, or exactly 18 weeks from, the REFERRAL TO TREATMENT PERIOD START DATE, and the ACTIVITY which ended the REFERRAL TO TREATMENT PERIOD was a Hospital Provider Spell.

REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (NOT WITHIN 18 WEEKS)

Format/length: n6
HES item:
National Codes:
Default Codes:

Notes:

The number of REFERRAL TO TREATMENT PERIODS completed during the REPORTING PERIOD where the REFERRAL TO TREATMENT PERIOD END DATE was more than 18 weeks after the REFERRAL TO TREATMENT PERIOD START DATE, and the ACTIVITY which ended the REFERRAL TO TREATMENT PERIOD was not a Hospital Provider Spell.

REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7

DAYS (UNKNOWN START DATE)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (UNKNOWN START DATE)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

The number of REFERRAL TO TREATMENT PERIODS completed during the REPORTING PERIOD where the REFERRAL TO TREATMENT PERIOD START DATE is unknown, and the ACTIVITY which ended the REFERRAL TO TREATMENT PERIOD was not a Hospital Provider Spell.

REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (WITHIN 18 WEEKS)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD COMPLETED BY NON-ADMITTED PATIENT IN LAST 7 DAYS (WITHIN 18 WEEKS)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

The number of REFERRAL TO TREATMENT PERIODS completed during the REPORTING PERIOD where the REFERRAL TO TREATMENT PERIOD END DATE was within 18 weeks of, or exactly 18 weeks from, the REFERRAL TO TREATMENT PERIOD START DATE, and the ACTIVITY which ended the REFERRAL TO TREATMENT PERIOD was not a Hospital Provider Spell.

REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (NON-ADMITTED PATIENTS)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (NON-ADMITTED PATIENTS)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

For PATIENTS with no DECISION TO ADMIT for treatment, the number of REFERRAL TO TREATMENT PERIODS during

the REPORTING PERIOD with no REFERRAL TO TREATMENT PERIOD END DATE, reported by REFERRAL TO TREATMENT PERIOD BREACH TIME BAND.

That is, for patients with no DECISION TO ADMIT for treatment, the number of REFERRAL TO TREATMENT PERIODS where there is either:

- a. no future APPOINTMENT for a PLANNED ACTIVITY where the planned ACTIVITY has a REFERRAL TO TREATMENT PERIOD STATUS of 30

OR

- b. a future APPOINTMENT for a PLANNED ACTIVITY where the planned ACTIVITY has a REFERRAL TO TREATMENT PERIOD STATUS of 30, but the APPOINTMENT DATE is not before the REFERRAL TO TREATMENT PERIOD BREACH DATE.

REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (PATIENTS WITH A DECISION TO ADMIT)

Change to Data Element: New Data Element

REFERRAL TO TREATMENT PERIOD INCOMPLETE WITHIN TIMEBAND NUMBER (PATIENTS WITH A DECISION TO ADMIT)

Format/length:	n6
HES item:	
National Codes:	
Default Codes:	

Notes:

This is the number of REFERRAL TO TREATMENT PERIODS during the REPORTING PERIOD with no REFERRAL TO TREATMENT PERIOD END DATE, where a DECISION TO ADMIT for treatment has been made, reported by REFERRAL TO TREATMENT PERIOD BREACH TIME BAND.

That is the number of REFERRAL TO TREATMENT PERIODS where there is either:

- a. no OFFERED FOR ADMISSION DATE relating to an ELECTIVE ADMISSION LIST ENTRY for a PLANNED ACTIVITY where the planned ACTIVITY has a REFERRAL TO TREATMENT PERIOD STATUS of 30

OR

- b. an OFFERED FOR ADMISSION DATE relating to an ELECTIVE ADMISSION LIST ENTRY for a PLANNED ACTIVITY where the planned ACTIVITY has a REFERRAL TO TREATMENT PERIOD STATUS of 30 exists, but the OFFERED FOR ADMISSION DATE is not before the REFERRAL TO TREATMENT PERIOD BREACH DATE.

For enquiries about this Data Set Change Notice, please email datastandards@nhs.net