
NHS Information
Standards Board

Subject:

**Data Standards: PbR
Commissioning for Out of Area
Treatments (OATs) and charge-
exempt Overseas Visitors**

Implementation Date: **Immediate**

DATA SET CHANGE CONTROL PROCEDURE

This paper gives notification of changes to be included in the NHS Data Dictionary and the NHS CDS Manual as appropriate. These will be consolidated into the publications in due course.

Summary of Changes:

Changes to data standards in the NHS Data Dictionary to support the following Payment by Results (PbR) requirements introduced from April 2005:

- Out of Area Treatments (now 'Non-Contract Activity') to be funded by the patient's responsible PCT rather than by the Trust's main commissioner
 - TDH00 to be used for charge-exempt overseas visitors in the Organisation Code (Code of Commissioner) data field of the Commissioning Data Set CDS messages.
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The NHS Information Standards Board (ISB) is responsible for approving information standards. The ISB output related to the assurance and sign-off of this standard can be found at <http://www.isb.nhs.uk>

Data Set Change Notices are located at: <http://www.connectingforhealth.nhs.uk/dscn>

The output for the DSCN is located at: http://www.isb.nhs.uk/docs/cp756manddscn_output.doc

NHS Data Model and Dictionary Service

Reference:	Change Request 756
Version No:	1.6
Subject:	Changes to Non-Contract Activity (Out of Area Treatments) and Overseas Visitors
Type of Change:	Update to NHS Data Dictionary
Effective Date:	1 September 2005
Reason for Change:	Payment by Results (PbR) introduced changes to the funding for patients who need emergency treatment when away from home. Changes to data standards are required to support Commissioning Data Sets so that the rules of Payment by Results and non-contracted activity can be applied by the Secondary Uses Service (SUS).

Background:

Payment by Results (PbR) introduced changes for the funding of patients who need emergency treatment when away from home. These changes were introduced by Payment by Results - replacing Out Of Area Treatment (OATs) with Non-Contract Activity (NCAs) Gateway ref 4813. This was further outlined in Gateway ref 5690 (letter to all PCT Directors of Finance, dated 24 October 2005).

OATs were associated with the previous allocations based system. NCAs refer to the new invoice-based system.

Part of the Secondary Uses Service (SUS) has been constructed to support PbR. An overview is provided in Operation of Secondary Uses Service (SUS) to support Payment by Results (version 1.1, August 2005). This describes how the changes relating to non-contracted activity should be applied via the SUS.

<http://www.dh.gov.uk/PolicyAndGuidance/OrganisationPolicy/FinanceAndPlanning/NHSFinancialReforms/fs/en>

This DSCN updates the data standards for the identification of Non-Contracted Activity (NCA) and charge-exempt overseas patients.

The commissioner for NCA is now the responsible commissioner (responsible Primary Care Trust), previously it had been the NHS Trust's main commissioner.

The commissioner for charge-exempt overseas patients remains the NHS Trust's main (host) commissioner. However, due to the operation of SUS, it is recommended that the Organisation Code (Code of Commissioner) should be completed with TDH00 for Overseas Visitors subject to reciprocal health agreements. Activity that is given the TDH00 code will be captured centrally, sent to the provider's host commissioner to pay, and copied to North East Leeds PCT. North East Leeds PCT will use the Postcode and Admission Method fields to determine whether funding is covered by reciprocal agreements.

Any changes to the identification of the commissioner for Non-Contract Activity should be agreed between the Trust and main commissioner, including agreeing a suitable effective date for the change to support commissioning. If it is not possible for a provider to implement this immediately, refer to 'Operation of Secondary Uses Service (SUS) to support Payments by Results' para 5.3 for guidance (url above). The Addressing Grid will not be used for the identification of commissioner for Payment by Results.

Queries on financial aspects should be referred to financial-flow@dh.gsi.gov.uk

For data standards enquiries contact datastandards@cfh.nhs.uk

Commissioner-based waiting time returns should reflect the change in guidance for NCAs; the activity should be attributed to the responsible PCT not the main (host) PCT.

Summary of changes:

Class Definitions

[NHS SERVICE AGREEMENT](#)

Change to Description

Data Elements

[ORGANISATION CODE \(CODE OF COMMISSIONER\)](#)

Change to Description

[OVERSEAS VISITORS STATUS CLASSIFICATION](#)

Change to Description

Supporting Information

[OUT OF AREA TREATMENT](#)

Change to Supporting Information

Name:

Date: 9 November 2005

Sponsor: DH Payment by Results

Note: New text is indicated with blue highlighting. Deleted text is indicated by a strikethrough. Within the Diagrams deleted classes and relationships are red, changed items are blue and new items are green.

NHS SERVICE AGREEMENT

Change to Class: Change to Description

A formal agreement between a commissioner ORGANISATION and one or more provider ORGANISATIONS for the provision of patient care services.

Services may be commissioned in four ways:

- * Nationally, arranged through the National Specialist Commissioning Advisory Group (NSCAG);
- * Primary Care Trusts acting collectively through regional or sub-regional arrangements for Specialised Services,
- * At Primary Care Trust level for Out of Area Treatments, for certain limited services commissioned directly by the Primary Care Trust (e.g. screening services) and for Primary Care Trusts acting collectively;
- * By Primary Care Trusts, acting individually or as part of a commissioning consortia.

The costs of a Service Agreement accrue to the organisation responsible for commissioning the treatment. ~~For Out of Area Treatment, costs accrue to the NHS Trust's main commissioner (normally the PCT with the highest value of Service Agreements with the NHS Trust).~~ For Commissioning Data Set messages the commissioner is identified in the ORGANISATION CODE (CODE OF COMMISSIONER) field.

This class is also known by these names:

Context	Alias
plural	NHS SERVICE AGREEMENTS

ORGANISATION CODE (CODE OF COMMISSIONER)

Change to Data Element: Change to Description

Format/length:	an5
HES item:	PURCODE
National Codes:	
Default Codes:	

Notes:

ORGANISATION CODE (CODE OF COMMISSIONER) is the same as the attribute ORGANISATION CODE. This is the ORGANISATION

CODE of the ORGANISATION commissioning health care. This should always be the ORGANISATION CODE of the original commissioner for Commissioning Data Sets to support Payment by Results.

~~This is the ORGANISATION CODE of the ORGANISATION commissioning health care. The organisation code of the Primary Care Trust should be recorded in the ORGANISATION CODE (CODE OF COMMISSIONER) field. Commissioning responsibility for individual patients rests with the Primary Care Trust (PCT) with whom the patient is registered. This means that patients registered with a GP in one PCT area may reside in a neighbouring or other area but remain the responsibility of the PCT with whom their GP of registration is associated. PCTs are also responsible for non-registered patients who are resident within their boundaries.~~

~~The ORGANISATION CODE of the Primary Care Trust which is the NHS Trust's main commissioner (normally the Primary Care Trust with the highest value of Service Agreements with the NHS Trust) is recorded for Out Of Area Treatments including activity where the OVERSEAS VISITORS STATUS CLASSIFICATION is National Code 1 'Exempt from payment - subject to reciprocal health agreement' or 2 'Exempt from payment - other'. For Non-Contract Activity (NCA), previously called Out Of Area Treatments (OATs), for English registered patients the ORGANISATION CODE (CODE OF COMMISSIONER) is the responsible Primary Care Trust.~~

~~For Specialised Services, the ORGANISATION CODE required would be that of the commissioning consortium. The code may be the ORGANISATION CODE of the 'lead' commissioner.~~

For Non-Contract Activity (NCA) on patients from Wales, Scotland and Northern Ireland (devolved administrations) the ORGANISATION CODE (CODE OF COMMISSIONER) is the commissioner from the devolved administration. See NHS ORGANISATION CODES TABLES for a description of ORGANISATION CODES. Where specialised services are commissioned by Health Commission Wales the code W01HC should be used.

~~Where episodes are funded directly by the National Specialist Commissioning Advisory Group (NSCAG) the code YDD82 should be used. Charge-exempt overseas patients are identified by the OVERSEAS VISITORS STATUS CLASSIFICATION where the National Code is either 1 'Exempt from payment - subject to reciprocal health agreement' or 2 'Exempt from payment - other'. Charge-exempt overseas patient activity is funded via the main (host) commissioner - normally the Primary Care Trust with the highest value of service agreements with the NHS Trust. However, for activity that is 'exempt from payment - subject to reciprocal health agreement' TDH00 should be used in the ORGANISATION CODE (CODE OF COMMISSIONER) field. The code for the Overseas country should be given in the POSTCODE field. Activity that is given the TDH00 code will be captured centrally by the Secondary Uses Service (SUS), sent to the provider's host commissioner to pay, and copied to North East Leeds PCT. Activity under the 'exempt from payment - other' category eg infectious diseases, should be given the ORGANISATION CODE (CODE OF COMMISSIONER) of the main commissioner as the activity is not required by North Leeds PCT.~~

~~Commissioning responsibility for individual patients rests with the Primary Care Trust (PCT) with whom the patient is registered. This means that patients registered with a GP in one PCT area may reside in a neighbouring or other area but remain the responsibility of the PCT with whom their GP of registration is associated. PCTs are also responsible for non-registered patients who are resident within their boundaries.~~

For patients from the Channel Islands, Gibraltar and the Isle of Man it is recommended that TDH00 should be used in the ORGANISATION CODE (CODE OF COMMISSIONER). The code for the Overseas country should be given in the POSTCODE field.

~~Where activity is commissioned by a Welsh Local Health Board the ORGANISATION CODE required is that of the Local Health Board. Where specialised services are commissioned by Health Commission Wales the code W01HC should be used. For overseas chargeable patients and private patients the ORGANISATION CODE (CODE OF COMMISSIONER) should be VPP00.~~

For Specialised Services, the ORGANISATION CODE (CODE OF COMMISSIONER) required would be that of the commissioning consortium. The code may be the ORGANISATION CODE of the 'lead' commissioner or a valid pseudo organisation code registered by the National Administrative Codes Service (NACS).

<http://www.nhsia.nhs.uk/nacs/pages/default.asp>

~~See NHS Administrative Codes for a description of ORGANISATION CODES. For episodes funded directly by the National Specialist Commissioning Advisory Group (NSCAG) the code YDD82 should be used. Charge-exempt overseas visitors who require services covered by NSCAG arrangements are funded through NSCAG.~~

This data element is also known by these names:

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Context	Alias
plural	ORGANISATION CODES (CODE OF COMMISSIONER)

OVERSEAS VISITORS STATUS CLASSIFICATION

Change to Data Element: Change to Description

Format/length:	n1
HES item:	
National Codes:	Click on the attribute tab to display the attribute that contains the National Codes.
Default Codes:	8 - Not applicable (not an overseas visitor) 9 - Charging rate not known

Notes:

~~IGA forms for overseas visitors should be sent to Leeds Health Authority, the agency acting on behalf of the Department of Health.~~
IGA forms for overseas visitors should be sent to North East Leeds PCT, the agency acting on behalf of the Department of Health. This enables the financial side of reciprocal and international agreements to be supported. It also helps to monitor the treatment of overseas visitors and associated levels of expenditure. ~~Costs for exempt overseas visitors should be covered by Out Of Area Treatments.~~

Where the transmission of Commissioning Data Sets identifies reciprocal agreement overseas patients by the use of TDH00 in the ORGANISATION CODE (CODE OF COMMISSIONER), the Secondary Uses Service (SUS) will send the activity to the provider's host commissioner to pay and copy it to North East Leeds PCT - alleviating the need to send a separate IGA form.

The OVERSEAS VISITOR STATUS may change while the PATIENT is being treated. All such changes should be recorded so that charges for treatment can be revised accordingly

This data element is also known by these names:

Context	Alias
plural	OVERSEAS VISITORS STATUS CLASSIFICATIONS

OUT OF AREA TREATMENT

Change to Supporting Information: Change to Supporting Information

Out Of Area Treatment

~~Out Of Area Treatment is delivered and identified by a SERVICE PROVIDED UNDER AGREEMENT.~~ Out Of Area Treatment is known as Non-Contract Activity (NCA) from 1 April 2005.

~~Out Of Area Treatments are covered by NHS SERVICE AGREEMENTS between a 'main commissioner' and the Trust providing treatment. They cover:~~ Out Of Area Treatments are covered by NHS SERVICE AGREEMENTS between a commissioner and the Trust providing treatment. The commissioner is identified by the ORGANISATION CODE (CODE OF COMMISSIONER).

Out Of Area Treatments cover:

- * PATIENTS registered with a GP or resident in an English Primary Care Trust with which the NHS Trust has no Service Agreement for that treatment or for that service;
- * PATIENTS registered with a GP or resident in Wales, Scotland or Northern Ireland who are not covered by a Service Agreement with the NHS Trust;
- * Overseas visitors

Queries on financial aspects should be referred to financial-flow@dh.gsi.gov.uk

For data standards enquiries contact datastandards@cfh.nhs.uk