



HM TREASURY

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29 April 2005

Dear HIA

HIA 03/05

Internal Audit Policy Forum – Managing the risks to successful delivery

A meeting for internal auditors has been arranged in Treasury on **Monday 6th June 2005**, the meeting will start at 10:30 and lunch will be provided.

The NAO report in October 2004 "*Managing Risks to Improve Public Services*" found that departments had made good progress in putting in place the machinery to manage risk better, however there were five key aspects of risk management which they felt could contribute to better public services and increased efficiency. This meeting will consider those recommendations and look at how Internal Audit can best engage in the policy making process.

The meeting will also provide an opportunity for auditors to hear about some of the tools being developed for policy makers and to hear from audit colleagues about their approach to the audit of policy.

An agenda and a booking form are attached. Please return booking forms to Gwin Trinh <mailto:gwin.trinh@hm-treasury.gsi.gov.uk> [020 7270 5174] by **Friday 27 May 2005**.

Yours Sincerely

Doreen Barker
Assurance Control and Risk



INVESTOR IN PEOPLE

**The Internal Audit Policy Forum – Managing the Risk to Successful Delivery
Monday 6th June 2005**

HM Treasury, London: Auditorium

Please use St James Park entrance, off Horse Guards Rd

Time	Topic	Presenter
10:00	Refreshments available	
10:35	Introductions	Chris Butler ACR
10:45 – 11:15	Managing Risks to Improve Public Services Background to the main recommendations from the NAO report. How can IA best engage in the early stages of the policy making process?	Dr Theresa Crowley NAO
11:15 – 11:45	Good Practice The DOH Policy Hub plus Policy Collaborative	Tim Baxter Dept of Health
11:45 – 12:15	Good Practice The partnership between policy and delivery – The DEFRA Delivery Strategy	Jon Lowi DEFRA
12:15 – 13:00	RM Tools for Policy Makers Ensuring that policy decisions are underpinned by a good understanding of risk – the tool for policy makers	Dr Edmund Hughes ACR
13:00 – 13:30	Lunch [Coffee/Tea available in meeting room during afternoon]	
13:30 – 14:15	Regularity Impact Assessment The role of RIAs in the policy process Overview of the main elements Different ways of delivering regularity outcomes [based on the report “Imaginative thinking for Better Regulation”]	Rachel Russell Alice Barrs Regulatory Impact Unit, Cabinet Office
14:15 – 15:30	Examples of Good Practice Steve and Heather will talk about their approach to the audit of policy, and John will cover how DTI has looked to embed risk management into policy work and how this will link to future internal audit work	DfES IA - Steve Philpotts; Treasury IA - Heather Miller DTI IA – John Coubrough
15:30 – 16:30	Managing Risks with Delivery Partners a) Understanding the risks of working with partner organisations – early engagement; assessment of risks and collaborative working – areas for improvement b) Working with partners – policy & audit considerations	Frances Scarff OGC Keith Morgan NS&I HIA
16:30	Next steps & Closure	ACR

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The following auditors would like to attend the above meeting:

Name	Department	Telephone	Email	Special requirements e.g. dietary or access