

DTI 2004-05 Economic and Social Research Programme – list of all projects

Strategy Unit.....	3
Cross-cutting research.....	3
Use of ONS data base: Seed funding.....	4
Use of ONS data base: Methodological studies.....	5
CCP.....	6
High Level Strategic Research.....	6
Forward Looking Research.....	7
Information Required for Specific Policy Measures.....	7
Evaluation and Monitoring.....	9
Other.....	9
Innovation Group.....	10
Employment Relations.....	11
Cross-cutting and Strategic.....	11
An Adaptable Labour Market.....	13
High Performance Workplaces.....	14
WLB and Working Time.....	16
Equality, Diversity & Discrimination.....	19
Awareness, Compliance and Enforcement.....	21
Collective Employment Rights.....	22
Enablers.....	23
Cross Cutting.....	24
Small Business Service.....	25
General and Cross Cutting SME Research.....	25
Theme 1: Building an Enterprise Culture.....	25
Theme 2: Encouraging a More Dynamic Start-Up Market.....	26
Theme 3: Building the Capability for Small Firm Business Growth.....	26
Theme 4: Improving Access to Finance for Small Businesses.....	27
Theme 5: Encouraging More Enterprise in Disadvantaged Communities and Under-Represented Groups.....	27

Theme 6: Improving Small Businesses' Experience of Government Services.....	28
Theme 7: Developing Better Regulation and Policy .....	28
OST – Science and Engineering Base Group .....	29
Funding, Policy and Corporate Affairs .....	29
Exploitation .....	29
OST – Transdepartmental Science and Technology.....	30
Foresight.....	30
International.....	30
Energy and Environment Research Programme .....	33
Energy Markets .....	34
Women and Equality .....	34
Projects.....	34
Business Support .....	37
Surveys.....	37
Evaluation.....	37
Skills .....	38
Fit With Research Strategy A: What Factors Affect Demand for Workforce Development in Business? .....	38
Fit With Research Strategy B: What is the Relationship between Workforce Development and Productivity .....	41
Fit With Research Strategy C: Management and Leadership .....	42
Fit With Research Strategy D: Organisational Learning, HPW and Work Redesign.....	43
Regional Policy .....	44
(a) REGIONS SUB-GROUP RESEARCH .....	44
(b) REP PSA RESEARCH .....	45
(c) REGIONS SUB-GROUP RESEARCH .....	46
(d) REP PSA RESEARCH BUDGET .....	47
UK Trade and Investment .....	49
Europe and World Trade .....	49

**Strategy Unit**

*Cross-cutting research*

<b>Study</b>	<b>Key Aims</b>	<b>DTI Contact</b>	<b>Timing/Status</b>
<b>Evaluation of Regulation</b>	To develop a methodology to assess the impact of regulation (esp cumulative impact and interaction between regulations, joint project with SBS)	Ulrike Hotopp	Contracted in December 2004, to report End March 2005
<b>Defining an optimal level of security of energy supply</b>	Security of supply is a research priority. In addition the project will investigate market failure and justification for Government and development of methodology	Tera Alas	Contracted in December 2004 to report in March 2005
<b>Conditions for truly competitive gas markets in the EU</b>	to identify the potential barriers to the development of truly competitive gas markets on the Continent (and globally) and, where appropriate, ways in which the UK Government could influence the political, regulatory and market outcomes in order to maximise the benefits of competitive markets to UK consumers.	Tera Alas	Call for tender sent out in January 2005 to report end of March 2005
<b>Policy interaction at the local level – Business support and economic development</b>	Policies and initiatives designed to support enterprise in deprived communities and to regenerate local areas are largely assessed in isolation and from only one or two perspectives. This study would aim to give a clearer sense of the interaction and effects of European, national, regional and local initiatives from the perspective of local people, businesses and other stakeholders who are intended to benefit from the spending or activities. Initiatives might include EQUAL funding, City Growth Strategies, Enterprise Areas, BIDS, under-served markets, and the activities of RDAs, BLOs, and JobCentre Plus.	Ian Drummond	Contract signed in December 2004, to report end of March 2005
<b>Econometric advice to</b>	To provide economists in DTI with high quality and timely	Michael Hodson	Contracted in

Study	Key Aims	DTI Contact	Timing/Status
<b>DTI economists as required on a call down contract</b>	econometric advice.	(contract co-ordination Fernando Galindo-Rueda)	December 2004
<b>Cross-cutting econometric evaluation</b>	Provide comparative econometric analysis of the impact of selected past business support programmes.	Heather Booth di Giovanni	Due to start in September 2004
<b>Stages of growth and sources of business knowledge</b>	To investigate sources of knowledge used in strategic business decisions at key stages of business growth activities	Heather Booth di Giovanni	Call for tender in August 2004
<b>Review of Literature about the Role of External Knowledge and Expertise at Key Stages of Business Growth and Development</b>	The purpose of this literature review is to draw together theory and evidence about the extent to which business performance trajectories are likely to be subject to potential influence through these channels, and about the circumstances in which support of this type is most likely to achieve the expected policy impact.	Heather Booth di Giovanni	Call for tender sent in January, to report in March.

*Use of ONS data base: Seed funding*

Study	Key Aims	DTI Contact	Timing/Status
<b>Matching Plant-level data from the ABI/ARD with the Employee Skills Surveys, 1999 &amp; 2001</b> Richard Harris, Newcastle	To analyse the impact of weighting data in matched dataset. Analyse how training and skills impact on plant level productivity.	Ulrike Hotopp	Report October 2004 – held up will report early March 2005
<b>Ralf Martin, LSE Using the ARD and related</b>	The aim of this project is to enable the usage of the Annual Respondent’s Database (ARD) and related datasets such as	Ulrike Hotopp	Report March 2005

Study	Key Aims	DTI Contact	Timing/Status
<b>datasets for research on energy efficiency and pollution reduction</b>	the Quarterly Fuels Inquiry (QFI) as source of evidence base for the following policy areas: <ul style="list-style-type: none"> <li>· Reduction of greenhouse gas emissions and other pollution by UK businesses</li> <li>· Transformation of the UK energy system from a mainly fossil fuel based centralised system to a more diversified (and therefore more secure) system based on renewable energy sources.</li> </ul>		
<b>Pari Patel, SPRU Adoption of e-commerce technology. Do network and learning externalities matter?</b>	Analyse the adoption of e-commerce as a function of firm characteristics. Matching ARD, CIS and BERD.	Ulrike Hotopp	Reported October 2004
<b>Peter Wright, Nottingham Job reallocation and labour market adjustment: A proposal to analyse linked employer – employee data for the UK</b>	Explore whether the IDBR is useful for analysis of job creation and destruction. Matching the NES to IDBR.	Ulrike Hotopp	Reported October 2004

*Use of ONS data base: Methodological studies*

Study	Key Aims	DTI Contact	Timing/Status
<b>Methodological study of econometric implications of data linking. 1 –2 PhD student @ ONS</b>	To examine the methodological and policy implications of linked datasets including measurement error and information loss from non-matched observations	Ulrike Hotopp	Mid October 04 to end March 05

CCP

*High Level Strategic Research*

Study	Key Aims	DTI Contact	Timing/Status
<b>Competition Peer Review</b>	Research to assess progress against the PSA target on the competition regime.	A. Newland	Complete for 2004.
<b>Competition Case Studies</b>	Case studies to examine the limits to promoting competition and the conditions under which enhancing it is beneficial in different markets. The aim is to examine areas where competition has been less successful, and draw lessons from comparisons with the success stories.	A. Newland	Success stories completed. Published as DTI economics paper.
<b>Competition forum economists' group research project</b>	The study aims to look for the benefits, pitfalls and policy lessons from using competition to achieve other policy objectives through a series of case studies from Government Departments	A. Newland	Final report due July 2005
<b>International Comparison of Consumer Regimes</b>	Study to establish best practice in consumer policy regimes. This study will be done in a number of phases, the first phase concentrating on best practice in consumer awareness raising..	Amy Newland, C. Williams, T. Sims, A. Rees (Research Group).	Phase 1 is to be completed by October 2005.
<b>Consumer Empowerment and Productivity</b>	Study of the impact that consumer empowerment has on productivity to justify and develop an effective consumer strategy. To examine the channels of impact on productivity and develop the economic rationale on the consumer side.	C. Murray	Literature review and report commissioned and completed Nov 2004

*Forward Looking Research*

Study	Key Aims	DTI Contact	Timing/Status
<b>Identification of Dysfunctional Markets</b>	Review of indicators that could be used to identify markets with potential competition problems	A. Rees	Completed
<b>Misleading Price Advertising</b>	Focuses on comparative price advertising where prices are compared with different suppliers or past prices from the same suppliers and aim to identify when this can be misleading and the implications for competition.	C. Murray	Completed
<b>Review of Utility Regulation</b>	Analyses of utilities regulation across Europe and compare to the UK regime in order to identify key areas for future development.	Edward Blades	Completed Autumn 2004
<b>Redress</b>	Research will examine how consumer determinant is recovered. Research would, for example, provide information on how people actually get redress at present, including what use is made of the small claims court.	L. Prosper/M. Bond	Completed.
<b>Consumer Trend</b>	High-level overview of consumer trends focussing on areas that may need CCP policy steer going forward.	C. Murray	Short internal document produced
<b>Energy Watch/postwatch</b>	Joint project with HM Treasury on effectiveness of sectoral consumer representation. Jointly commissioned (with NAO) a report on energywatch and Postwatch by PricewaterhouseCopers, as part of the project.	Edward Blades	

*Information Required for Specific Policy Measures*

Study	Key Aims	DTI Contact	Timing/Status
<b>Monitoring Indebtedness</b>	In order to look at changes in household debt levels over time DTI is considering contributing funds to support a cross government initiative to carry out a wealth and asset survey.	E. Corsellis	Not yet started - awaiting decision on

Study	Key Aims	DTI Contact	Timing/Status
			funding
<b>Current level of over-indebtedness</b>	A survey of 10,000 individual (carried out by MORI) to examine current level of over-indebtedness and look at the profile of individuals who have high debt levels.	E. Cosellis/N. Scott	Survey completed. Report due Feb 2005
<b>Unfair Commercial Practices Directive</b>	To understand the legal implications of this directive and the changes that this would mean for the UK, in particular surrounding the interaction with contract law.	D. Jayasinghe	Work underway -due for completion in April 2005
<b>Consumer credit Licence holders</b>	MORI survey to determine the number of consumer credit license holders	A Walker-Smith	Completed
<b>Debt and savings levels</b>	Contribution to DWP led research looking at saving and debt levels	Carol Murray	Starting Jan 05
<b>Surveys of consumer behaviour</b>	Catherine Waddams (UEA and ESRC Centre for Competition Policy) is carrying out research looking at how consumers gain information and make switching decisions.	Amy Newland/Carol Murray	Due to be completed in Spring 2005
<b>Impact of the proposed EU Services Directive: The Business Services sector</b>	To collect data on the impact of the proposed EU Directive on Services in the Internal Market on the business services sector in the UK using a case study approach, to help inform the UK's position with respect to the proposed Directive.	Emma Campbell	Started in January 2005. Due to be completed by end May 2005.
<b>Impact of the proposed EU Services Directive: The Construction industry</b>	To collect data on the impact of the proposed EU Directive on Services in the Internal Market on the construction sector in the UK using a case study approach, to help inform the UK's position with respect to the proposed Directive.	Emma Campbell	Started in January 2005. Due to be completed by end May 2005.

*Evaluation and Monitoring*

Study	Key Aims	DTI Contact	Timing/Status
<b>Ex-Post Evaluation of Mergers</b>	Evaluation of past cases that were referred to the competition commission to assess whether problems identified by OFT/CC did occur in practice. This will provide a useful tool for assessing criteria for market investigations etc.	A. Pygram	To be completed Jan/Feb 2005
<b>Competition Commission Stakeholder Survey</b>	As part of the ongoing process of evaluating the success of the competition regime this survey aimed to find out how CC's stakeholders rate various aspects of the CC's work	D. Robinson	Completed
<b>Evaluation of Competition Regime</b>	Evaluation of different aspects of the competition regime.	A. Rees	To be started 2005/6
<b>Ex-Post Evaluation of Regulation</b>	Ongoing evaluation of regulation. e.g. Evaluation of recent changes to consumer credit legislation due in 2005/6		To be carried on as required.  Consumer credit evaluation in 05/06.

**Other**

Study	Key Aims	DTI Contact	Timing/Status
<b>Fireworks survey and database</b>	Annual collection of firework injury statistics from all A&E departments in the UK	D. Southerland	Due for completion 18/02/05
<b>Reclined Cradles testing</b>	Product Safety testing	P. Hale	Completed
<b>Product recall</b>	Examination of product recall procedures	G. Bartlett	Completed

**Innovation Group**

<b>Study</b>	<b>Key Aims</b>	<b>DTI Contact</b>	<b>Timing/Status</b>
<b>Contribution to ESRC Evolution of Business Knowledge Research Programme</b>	Research to understand the ways in which businesses acquire, create and apply knowledge. DTI funding is primarily going to support projects on the measurement and management of intangible assets.	Neil Golborne	Underway. Due to end December 2006
<b>Econometrics of Standardisation</b>	Generation of a data set of UK technical standards and to estimate the role of standards as indicators of technology diffusions and its contribution to economic growth in manufacturing industry and the role of standards and their vintage structure in the propensity for and intensity of innovation in the UK.	Ray Lambert	Underway. Reporting Autumn 2004
<b>Consultancy for Evaluation of CARAD</b>	Analyses of knowledge transfer from Aerospace R&D to other sectors, using patent and literature bibliometrics	Margaret Dennis	Scope of the project under review.
<b>Early Pilot for CIS4</b>	Qualitative testing of some basic concepts in the innovation survey, with a small postal and telephone survey.	Rob Stones	Completed.
<b>Community Innovation Survey 4</b>	Part of a Europe wide survey to generate a large and representative data base of UK innovation activities, investments and outcomes, in the context of a national system of innovation.	Rob Stones	Project board and team established. Data collection and validation systems in planning phase. Questionnaire in preparation. Survey to launch in March 2005. Summary data to be published early 2006.

**Employment Relations  
Cross-cutting and Strategic**

<b>TITLE</b>	<b>Key Aims</b>	<b>DTI contact</b>	<b>Timing/STATUS</b>
Fifth Workplace Employment Relations Survey (WERS 2004)	Survey of employers and employees to map workplace employment relations in Britain and changes over time; To inform policy development and stimulate and inform debate and practice; and, To provide a comprehensive and statistically reliable database on British workplace employment relations, which is made publicly available and easily accessible.	Barbara Kersley & Carmen Alpin	Ongoing (contracted)
WERS 2004 Small Grants Fund (*)	Intended to have a general strand of around £65k and a high performance strand of around £25k	Barbara Kersley	On hold until 2005/06
WERS ABI Link (Partnership: the bottom line)	To establish the feasibility of matching the data from the WERS98 with hard financial data from the ONS Annual Business Enquiry, ultimately with the aim of exploring the effect of employment relations practices on financial performance.	Carmen Alpin	Ongoing (contracted)
British Social Attitudes Survey 2003	EMAR hold the BSAS data from 1984 to 2003, which is used for ad-hoc briefing purposes on a variety of employment relations' matters. DTI is contractually committed to funding the employment relations module	Harjinder Kaur	Ongoing (contractually complete)
British Social Attitudes Survey 2004	Employment relations module – 40 questions.	Carmen Alpin, Harjinder Kaur	Ongoing (contractually complete)

TITLE	Key Aims	DTI contact	Timing/STATUS
British Social Attitudes Survey 2005	DTI will fund 40 questions for BSAS 2005. In addition to the “core module” on trade union recognition and representation at work, management-employee relations, work/ job commitment, trust and participation/consultation which will be asked of the whole sample, there will be an additional “optional module”, the subject of which is to be decided. Main fieldwork will be carried out over the summer of 2005. The preliminary dataset will be received in December 2005 and it is expected that the BSAS 2005 report will be published in November 2006.	Harjinder KAur	On-going (contracted)
Linking employer and employee datasets	This proposal, from Alex Bryson, Policy Studies Institute, is for an international one-day conference to look at the methodological issues around the linking of employer-employee survey and administrative databases (perhaps focused around a specific issue e.g. management practice and productivity measures, job satisfaction, attitudes to trade unions, flexible labour practices and, family-friendly).		To be contracted
Influencing the European Employment Research Agenda	DTI’s approach to emerging European employment research agenda has been mostly reactive, focusing on defending UK ‘lines in the sand’ such as working time. We have been less effective at shaping the emerging policy and research agenda or in influencing the thinking of colleagues in other member states. As we seek to drive the Kok/Lisbon reform strategy forward we need to be more pro-active and strategic in our approach.	Grant Fitzner	In-house
MPW Position Paper and Analytical Report	EMAR have been tasked with preparing a Position Paper and accompanying Analytical Report on the Maximising Potential in the Workplace agenda –employment relations, skills and equality at work. Incomplete drafts of both reports have been prepared and, after some further editing, will be circulated for comment. We aim to publish both reports in February 2005.	Grant Fitzner	In-house

**An Adaptable Labour Market**

Title	Key Aims	DTI contact	Timing/Status
Review of research into the effects of employment legislation	Academic reviews of the impact of employment legislation.	Beatrice Parrish	Ongoing (contracted)
Labour Market Flexibility Small Grants Fund (*)	To develop the evidence base and encourage debate on labour market flexibility issues, through small research grants of up to £10k. Proposals were selected on the basis of their contribution to the evidence base and their relevance to UK government policy makers.	Jonathan Gershlick	Ongoing (Contracted)
Better Employment Regulation Advisory Panel (*)	To ensure that policy decisions are made in the context of the latest evidence from academic experts. The panel would be made up of academic experts, government officials and special advisors. It would meet about 4 times a year.	Beatrice Parrish	To Be Tendered
Impact of Employment Regulations – in-house analysis of statistics and research results. (*)	Summarises the impact of employment regulations since 1997 using the latest evidence from official statistics and the results of EMAR research. Will involve the analysis of statistics and research results, primarily by a member of the economics team.	Beatrice Parrish	Ongoing (In-House)
Job Separations: a survey of workers who have recently left an employer	Based on the Labour Force Survey telephone survey, this project provides information about individual dismissals, redundancies and voluntary separations. It also provides information about periods of tenure and notice periods of people terminating employment.	Heidi Grainger and Heather Holt	Ongoing (contractually complete)

Title	Key Aims	DTI contact	Timing/Status
UK Labour Market Flexibility Index	<p>We are currently commissioning research (under the Labour Market Flexibility Small Grants Fund) extending the UK and regional aggregate labour market flexibility indicators developed by Dr Vassilis Monastiriotis at Royal Holloway. This will provide an annual time series from 1979 onwards. The overall index breaks down into three elements: (1) production function flexibility, which includes labour-input flexibility and is proxied by indicators reflecting internal, external, numerical and functional flexibility; (2) labour cost flexibility which includes wage flexibility, unemployment flexibility, and union flexibility; and (3) supply-side flexibility which includes indicators of labour mobility.</p> <p>This second project will allow us to further refine the index, by introducing a trajectory and medium-term target, once the updated and extended annual time series is available, and agreement has been reached with HM Treasury over methodology.</p>	Jonathan Gershlick	To be contracted

### High Performance Workplaces

Title	Key Aims	DTI Contact	Timing/Status
Business benefits of Equal Opportunities	A multivariate analysis of WERS98 in order to test for associations between the presence of equal opportunities policies and practices, as well as measures of business performance.		Ongoing (contracted)

Title	Key Aims	DTI Contact	Timing/Status
High Performance Workplace Conference 2005 (£200K is from UK EU Presidency budget*)	High profile international event.	Grant Fitzner	Ongoing (Contracted)
Assessing HPW practices in the UK @ <b>Note:</b> £27K to be paid by EMAR in 2005/6 budget	This project has two main elements: (i) a financial and technical contribution to the Work Foundation's 2004 Work & Enterprise Survey of UK establishments; and (ii) the preparation of a major study examining private sector high performance workplaces in the UK, drawing on at least the first two Work & Enterprise Surveys, and possibly a third in 2005.	Simon Hough	Contracted (Work Foundation)
Small, flexible and family-friendly working arrangements	This project will examine the extent to which small, private sector employers are using flexible working arrangements, other family friendly working practices, information sharing and consultation, teamwork, and other features of high performance workplace practices as a means of attracting and retaining labour. The key aim of the study is to establish the inhibitors and enablers for small business in developing such working practices.	Nicola Hosfield	Contracted

**WLB and Working Time**

Title	Key Aims	DTI contact	Timing/Status
Second Work-Life Balance study (WLB2)	The study was a follow-up to the DfEE's 2000 WLB baseline survey on: a) employer provision of work-life balance practices and policies; b) employee take-up of and demand for these initiatives; and, the impact of employers' provisions.	Stephen Taylor	Published & Finished
Employers survey on support for working parents	The survey provided information about employers' awareness of the new statutory leave entitlements introduced under the Employment Relations Act 1999; employers' leave provision for working parents, employers' provision of flexible working arrangements to accommodate employees child parenting responsibilities; and, perceptions of costs and benefits.	Carmen Alpin	Ongoing (Contractually Complete)
Survey of how Parents in Employment Balance Work, Children and Home	The main aim of the study was to provide a statistically representative picture of working parents' views and preferences about employment leave arrangements to facilitate support for their children. The study was based on a telephone survey of 1,000 parents in employment across Great Britain and the fieldwork was carried out in late 2000.	Barbara Kersley	Ongoing (Contractually Complete)

Title	Key Aims	DTI contact	Timing/Status
Evaluation of the Work-Life Challenge Fund	This study was commissioned by the DfES and passed to DTI as a result of machinery of government changes. This study comprised an analysis of the consultant reports (baseline, intermediate and final) and in-depth survey work with a sample of employers from the first three rounds of the Challenge Fund. Work-life balance audits were undertaken for Round 3 employers. Staff panel surveys were undertaken 12 months apart to measure the impact of the WLB-CF intervention on staff attitudes towards work and their employer.	Wayne Diamond	Published & Finished
First Flexible Working Employee Survey (Early intelligence on experience of the new rights for working parents’)	New rights for working parents were introduced in April, including paid paternity and adoption leave and the duty to consider requests for flexible working. The 2003 Work-Life Balance (WLB) Survey provided a baseline position and a further WLB survey may be carried out in 2006 to measure change and the extent to which it can be attributed to legislation (as a starting point for a review of the duty to consider).	Heidi Grainger / Heather Holt	Published & Finished
Effects of the Working Time Regulations: a survey of workers	This study provided robust statistical information about the impact of the Working Time Regulations on workers; including, the number of individuals who have opted out of the 48-hour limit; whether they are covered by a workforce agreement; and whether night workers have been offered health assessments.	Heidi Grainger	Published & Finished

Title	Key Aims	DTI contact	Timing/Status
How Employers Manage Absences	The Employment Relations Act introduced new statutory rights to parental leave and time off for emergencies. The topic was explored through a series of case studies, covering establishments of varying sizes in a number of sectors. The study identified employer responses to both planned absences (e.g. maternity leave) and unplanned absences (e.g. time off for domestic emergencies), as well as absences of various lengths (between one day and 40 weeks), costs and the different strategies adopted by employers.	Barbara Kersley	Published & Finished
Support for working parents: Review of the international evidence (*)	Commissioned literature review	Jonathan Gershlick / Steven Taylor	To Be Tendered or Withdrawn
Second Flexible Working Employee Survey (*)	To investigate how many employees are aware of the right to request flexible working and how many actually get this.	Heidi Grainger	Ongoing (Contracted)
Fathers and the First Year	This is an EOC-managed project that DTI is funding. The overall aim of this project is to explore what policy measures would encourage fathers to take leave to look after their young children, aged under one year of age.	Stephen Taylor	Contracted (EOC)

**Equality, Diversity & Discrimination**

Title	Key Aims	DTI Contact	Timing/status
Part time workers and productivity: secondary analysis (with the WEU) (LFS & BHPS)	To identify/quantify whether part-time workers are disadvantaged in terms of pay and training against full-time ones, to explore the reasons for a possible difference in composition. To test whether part-time legislation has made any difference.	Diane Houston (WEU) Jonathan Gershlick (EMAR)	Ongoing (Contracted)
Effectiveness of the US and Canadian statutory regimes for regulating unfair labour practices	This study provided an outline of the current US and Canadian statutory regimes for regulating unfair labour practices and a critical assessment of their effectiveness.	John McQueeney	Published & Finished
Further analysis of WERS98 data on discrimination	Towards the tail end of 2001/2, EMAR commissioned a descriptive analysis of the 1998 WERS, concentrating on the data on equal opportunities, etc. It provided useful background for the Article 13 work, including the RIA.	John McQueeney	Published & Finished
Employers Age Discrimination Practices Benchmark Survey	To explore the extent to which age or age-related criteria do employers for recruitment/training and other employment decisions use. To examine employers' practices in the areas of recruitment, selection, training, promotion, redundancy, retirement, and dismissals.	Nicola Hosfield	Ongoing (Contracted)
Employers' recruitment and promotion decisions: the age dimension	To explore the age dimension of recruitment and promotion criteria and decisions.	Nicola Hosfield	Ongoing (Contracted)
Fair Treatment at Work pilot survey (*)	To establish the feasibility of conducting a periodic survey of people's perceptions of (unfair) discrimination using the ONS's LFS trailer survey.	Heidi Grainger	Ongoing (Contracted)

Title	Key Aims	DTI Contact	Timing/status
The race and ethnic dimension in Employment Tribunal cases: secondary analysis of SETA (*)	Combining the findings from SETA 2003 with administrative data to provide a systematic mapping of the ethnic dimension of ET tribunal cases.	Wayne Diamond	Ongoing (In-House)
Review of judicial decisions in race discrimination cases: documentary analysis (*)	This study will provide for a review of judicial decisions in race discrimination cases to be carried out either by an academic or labour law specialist. The sample is a random sample of recent cases from RITAS database. The Chairman's decisions will be retrieved to provide the basis for a documentary analysis.	Wayne Diamond	Tendered
Review of the most effective means of tackling systemic racial discrimination among employers.	<p>Review:</p> <ul style="list-style-type: none"> <li>• how Government, and in particular DTI, can provide useful and appropriate information and support to employers in relation to race equality issues.</li> <li>• the most effective means of raising awareness of race equality policies and practice among SMEs.</li> <li>• the effectiveness of awards and recognition schemes in the context of promoting race equality and diversity within the private sector.</li> </ul>	Zubaida Haque	Ongoing (Contracted)

Title	Key Aims	DTI Contact	Timing/status
Survey of Applicants in Race Relations Act (SARRA)	An extension of the SETA 2003 survey to boost the sample of race discrimination cases. A quantitative follow-up survey of claimants involved in RRA cases using SETA survey instruments and methodology would provide a sound quantitative evidence base to improve our knowledge of the characteristics RRA cases.	Wayne Diamond	Contracted
The experiences of applicants in Race Discrimination Act #	Qualitative follow-up of claimants in race discrimination cases to explore their experience of their case and of the ET system.	Wayne Diamond	Tendered

**Awareness, Compliance and Enforcement**

Title	Key Aims	DTI Contact	Timing/Status
Second Individual Awareness, Knowledge And Exercise Of Employment Rights Survey (*)	Evidence to establish the effectiveness of individual rights awareness raising programmes, peoples awareness of ACAS, CABX, etc, the incidence of judiciable problems at work, how they are resolved. Identify vulnerable groups and sectors.	Steven Taylor	Tendered
Fourth Survey of Employment Tribunal Applications (SETA 2003)	Periodic benchmark survey to provide information of the characteristics of the parties involved in ET cases and provide a basis for an analysis of the determinants of outcomes.	Wayne Diamond	Published & Finished

Title	Key Aims	DTI Contact	Timing/Status
Third Periodic Survey of Employment Tribunal Applications- Survey of Representatives	cleaning and preparing the final dataset of the Third Periodic Survey of Employment Tribunal Applications for deposit in the ESRC Data Archive, updating the Findings from the 1998 Survey of Employment Tribunal Application	Wayne Diamond	Published & Finished
SETA 2003 Small Grants Fund (*)	Establish a small grants fund aimed at academics, including postgraduate students to be used to stimulate detailed secondary analysis of the findings from SETA; and will supplement the main findings report scheduled to be published in summer 2004.	Wayne Diamond	Tendered
Survey of Redundancy Practices	In 1992 the Employment Department conducted a survey of employers to fill information gaps about redundancy practice. The fieldwork for this follow-up survey was conducted from October 2000 to February 2001 and covered approximately 1200 employers. The survey collected information on redundancy arrangements, redundancy payments and consultation arrangements.	Carmen Alpin	Ongoing (Contractually Complete)

### Collective Employment Rights

Title	Key Aims	DTI Contact	Timing/Status
The Impact of the ERA 1999: the provision of arrangements for training and pensions in new collective agreements	Provide for an analysis of the content of new collective agreements focusing upon provisions relating to training, equal opportunities and pensions. A survey to ascertain the extent of current trade union involvement in these and more collective traditional collective bargaining issues.	Harjinder Kaur	Ongoing (Contracted)

Trade Union Membership National Statistic	This is an annual publication containing estimates of trade union membership from the LFS autumn figures. Estimates are presented for the number and proportion of people in employment who are trade union members in GB and the UK and for employees whose pay and conditions are affected by collective agreements.	Heidi Grainger	In-house
---	--	----------------	----------

**Enablers**

Title	Key Aims	DTI Contact	Timing/Status
EMAR seminars and events.	To date the 2004 ER lunchtime seminar series has been well received. We may wish to organise further seminars in 2005. We also typically have about three half-day research seminars a year.	Grant Fitzner	Ongoing
EMAR research publications	Employment research report series and other publications	Judith Mondry-Flesch	Ongoing

Title	Key Aims	DTI Contact	Timing/Status
ER Monitoring and Evaluation Plan	<p>The Monitoring and Evaluation Plan provides a framework for measuring the impact of ER regulations introduced since 1997 on employers (including small firms), individuals, the Exchequer and the wider economy. It allows the Department to work out which aspects of the regulations are working well and which aspects are working less well.</p> <p>The Monitoring and Evaluation Plan details the wide range of monitoring and evaluation activities being undertaken by ER, including monitoring official statistics, statistical analysis, surveys of employers, surveys of individuals, case studies of employers, literature reviews, and periodic socio-economic surveys such as the Workplace Employment Relations Survey (WERS), British Social Attitudes Survey (BSAS) and Survey of Employment Tribunal Applications (SETA).</p> <p>It is intended to publish an annual update of this evaluation and monitoring plan around the end of each financial year, to ensure that it remains timely and <b>accurate</b>.</p>	Beatrice Parrish	In-house

*Cross Cutting*

Study	Key Aims	DTI Contact	Timing Status
<b>High Performance Workplaces</b>	<p>Commissioning new UK research on high performance workplaces.</p> <p>1) Lynette Harris – High performance workplace guide for small business</p> <p>2) Work Foundation – Support for Work and Enterprise 2 and report on high performance workplaces</p>	Grant Fitzner	Research soon to be commissioned.

**Small Business Service**

*General and Cross Cutting SME Research*

Study <sup>1</sup>	Key Aims	DTI Contact	Timing/Status
<b>Small Business Survey</b>	To investigate the needs and concerns of SMEs. Findings from this survey also required to provide data on several of the success measures published in the Action Plan and PSA6 supporting measures.	Chris Parker	Proposed priority for 2004/05.
<b>Focus Groups</b>	To fund call-off contract to carry out projects on qualitative research topics that are of immediate policy concern. Completed projects include: <ul style="list-style-type: none"> <li>• ICT use in EMBs</li> <li>• Routes into self-employment</li> <li>• National Minimum Wage for 16 and 17 year olds</li> </ul>	Ian Drummond	Proposed priority for 2004/05.
<b>Case Studies</b>	Focus groups call-off contract with the aim to carry out up to five timely assessments of purported examples of good practice for development. Commissioned projects include: <ul style="list-style-type: none"> <li>• Study of ‘Sunday Times top 50 Employers’</li> </ul>	Ian Drummond	Proposed priority for 2004/.

*Theme 1: Building an Enterprise Culture*

Study	Key Aims	DTI Contact	Timing/Status
<b>Measuring the Longer-Term Impact of Enterprise Education and Promotion</b>	Initial methodological study in 2004/05 to review existing evidence and make recommendations about how to measure the impact of exposure to various enterprise education/promotion initiatives over time – on individuals, organisations and the economy.	Jo Mitchell	

<sup>1</sup> Bracketed entries refer to whether the project is from the Research programme [R], or evaluation [E]

*Theme 2: Encouraging a More Dynamic Start-Up Market*

Study	Key Aims	DTI Contact	Timing/Status
<b>'Myths and Legends' – Perceptions of Starting and Running a Business</b>	To identify and understand people's perceptions/assumptions about the potential risks/problems and rewards/benefits of starting a business.	David Purdy	Proposed priority for 2004/05

*Theme 3: Building the Capability for Small Firm Business Growth*

Study	Key Aims	DTI Contact	Timing/Status
<b>Achieving Smooth Succession – a Review of Transfer Issues</b>	A smooth succession of business ownership can be quite a challenge for smaller businesses, particularly in terms of gaining the right advice and adequate funding. Achieving a successful transfer can ensure continued growth of an individual business and, more widely, contribute to sustained growth in the economy. The aim of this research would be to provide an evidence base for a cross-departmental review of the barriers that might constrain business transfer.	David Purdy	Contracted to Durham Business School and NOP in August 2004. To inform Pre-Budget Report.
<b>Small Businesses in the Informal Economy</b>	A review of literature and other data sources to provide an overview of the current position; identify policy relevant issues; and, outline future issues for the policy and research agendas.	Caroline Berry	Contracted to University of Leicester in August 2004. Due to complete November 2004. To Inform Pre-Budget Report
<b>Evaluation of Investigating an Innovative Idea</b>	To test the economic rationale for this DTI product. Determine whether the product is achieving its objectives and/or needs to be modified. To provide evidence to enable the Investment Commitment to reach a judgement about whether to continue this product beyond the end of its pilot period.	Chris Parker/George Bramley	Proposed priority for 2004/05. Contracted to PACEC. Due to end October 2004.

*Theme 4: Improving Access to Finance for Small Businesses*

Study	Key Aims	DTI Contact	Timing/Status
<b>Mapping Study of Venture Capital Equity Funding Available in the Regions</b>	To identify private and public equity and quasi equity funds in England providing finance for SMEs and report on the nature and size of funds and scale of investments. Also to provide information on the extent of public supported equity funds and the mechanisms through which they are working with the market to stimulate sustainable sources of finance.	Helene Keller	Proposed priority for 2004/05
<b>Impact Evaluation of Government Venture Capital Funds (RVCF, ECF, EGF)</b>	To develop an evaluation strategy for programmes such as Regional Venture Capital Funds and the proposed Enterprise Capital Funds that will assess whether government venture capital interventions are achieving their objectives and having a positive effect on the supply of venture capital.	Helene Keller	Proposed priority for 2004/05

*Theme 5: Encouraging More Enterprise in Disadvantaged Communities and Under-Represented Groups*

Study	Key Aims	DTI Contact	Timing/Status
<b>Mainstreaming 'Targeted' Business Support</b>	Develop an understanding of the costs and benefits of targeted approaches to business support. To look for key themes in terms of best practice in adapting successful targeted initiatives into the work of mainstream providers.	David Purdy	Proposed priority for 2004/05. Contracted to Kingston University. Due to end September 2005.
<b>Completion of the Phoenix Development Fund Evaluation</b>	Crystallising what works and can be mainstreamed from the 96 demonstrator projects supported by the fund.	George Bramley	Proposed priority for 2004/05. Contracted to Friess Ltd. Due to end

Study	Key Aims	DTI Contact	Timing/Status
			November 2004.
<b>Policy Interaction in Deprived Areas</b>		Ian Drummond	Contracted with Hull University. Due to complete June 2005.

*Theme 6: Improving Small Businesses' Experience of Government Services*

Study	Key Aims	DTI Contact	Timing/Status
<b>Developing Common Measures of Impact</b>	To investigate the feasibility of developing common measures of impact, including customer satisfaction and experience, for the full range of government services for small businesses.	N/K	Proposed priority for 2004/05
<b>Local Business Services (Business Link Operators) Evaluation</b>	Study of brokerage practice amongst operators to identify common models and provide a baseline for future delivery. Explore whether different practices explain variation in performance. To assess the feasibility of using GVA matched comparison groups.	George Bramley	Proposed priority for 2004/05. Out to tender. Due to start October 2004.
<b>Awareness and Understanding of Business Link</b>	To measure awareness and understanding of Business Link at BLO level. To gain further information on perceptions of the Business Link brand at national and regional level.	Robert Toft	Proposed priority for 2004/05
<b>Customer Experience Amongst Business Link.gov Users</b>	Measurement of satisfaction and exploration of experience of users of Businesslink.gov (complementing on-line surveys and qualitative user research).	Robert Toft	Proposed priority for 2004/05

*Theme 7: Developing Better Regulation and Policy*

Study	Key Aims	DTI Contact	Timing/Status
<b>The Impact of Regulation on Small Businesses at Different</b>	Explores: the impact of different types of regulation and taxes at different stages in growth trajectories of new and small businesses; the extent to which regulatory thresholds	Ian Drummond	Proposed priority for 2004/05. Two stage project. .

<b>Stages in the Growth Trajectory [R]</b>	interact to act as a disincentive to business growth; the types of support and advice which businesses perceive would reduce the burden of regulation at different stages in their growth trajectory.		Stage 1 completed January 2005
--	---	--	-----------------------------------

### OST – Science and Engineering Base Group

#### *Funding, Policy and Corporate Affairs*

Study	Key Aims	DTI Contact	Timing/Status
<b>PSA Benchmarking of International Science Performance</b>	To benchmark the quantity and quality of the UK science base's output against a number of OECD countries over time using bibliometric data.	David Humphry	
<b>Science Knowledge Production Functions</b>	An experimental study that used the data from the PSA Benchmarking of International Science Performance project in econometric panel data models that explain the relationship between scientific output and funding inputs.	David Humphry	
<b>A Literature Review – Economies of Scale in the Production of Science</b>	A literature review in an area of current science policy debate – does having fewer larger universities lead to better outputs? Previous work in this area dated to the early 1990s and hence a fresh view is essential to inform debate.	David Humphry	

#### *Exploitation*

Study	Key Aims	DTI Contact	Timing/Status
<b>Evaluation of Science Budget Funded Knowledge Transfer Programmes (HEIF, SEC, UC, PSRE Fund and CMI)</b>	An interim evaluation of KT programmes funded from the OST's science budget, which were implemented during 1999-2001. The first stages of this evaluation were a plan and a review of the data from annual monitoring statements.	David Humphry	

**OST – Transdepartmental Science and Technology**

*Foresight*

Study	Key Aims	DTI Contact	Timing/Status
<b>Foresight Studies</b>	To look at the impact of future scientific and technological developments on the economy and society. To look at the ability of science and technology to solve particular problems.	David Humphry	

*International*

Both the below sources of information will also be important for analysing the portfolio of funding UK innovators can access in, for instance, the context of CO-SU/DTI work on analysing the ‘Knowledge Economy’.

Study	Key Aims	DTI Contact	Timing/Status
<b>Evaluation of the Impact of the EU Framework Programmes in the UK</b>	A comprehensive evaluation of the UK experience of FPs 4, 5 and the contracting stage of 6.	Emily Bourne	Due to be published end-July/early-August
<b>Targeted Review of the Added Value Provided by International R&amp;D Programmes</b>	Complementary to the evaluation. It seeks to learn from best practice from other large international collaborative R&D programmes, and their complementarity/substitution with domestic programmes. Available online at <a href="http://www.ost.gov.uk/ostinternational/fp7">www.ost.gov.uk/ostinternational/fp7</a>	Emily Bourne	Published at the end of May
<b>Project level: To provide an overarching national strategy for international engagement in R&amp;D. The project is yet to be</b>	The ten-year investment framework for science and innovation committed it to an evidence-based approach that will monitor UK strengths and weaknesses compared with the rest of the world, examine barriers to progress and identify appropriate actions. This work should ensure that UK actions take account of the changing international economic and research environment, that key initiatives and	David Bacon	Started in November 2004, to report January 2006

Study	Key Aims	DTI Contact	Timing/Status
<b>approved by the project sponsor.</b>	opportunities are identified and that UK priorities are agreed to ensure that international research structures meet our needs.		
<b>Subproject 1, Performance: This project is intended to reveal how the UK performs in international R&amp;D.</b>	It requires the identification and analysis of indicators which can be used to assess and monitor the UK's influence and impact through international engagement in R&D.	David Bacon	Contract placed April 2005, completion October 2005.
<b>Subproject 2, Benefits, Drivers and Benefits: This project identifies the factors at work in the UK that should be addressed to improve benefits to both UK researchers and international partners.</b>	Identify, clarify and so far as possible quantify drivers, barriers and benefits of UK engagement in international science, technology and research.	David Bacon	Contract placed April 2005, completion October 2005.
<b>Subproject 3, Global: This project will explore the impact of international Research &amp; Development engagement on influencing global policies.</b>	This project will identify UK contribution and provide evidence how the system of influence and 'cause and effect' works.	David Bacon	Contract placed April 2005, completion October 2005
<b>Subproject 4, Delivery: This project will compare the UK with</b>	Clearly to see the UK climate in terms of delivery of mechanisms for international collaboration and how the delivery mechanisms are marketed.	David Bacon	Contract placed April 2005, completion October

Study	Key Aims	DTI Contact	Timing/Status
<p><b>other countries and recommend ways to improve both the means to engage with collaborative research and the ways to promote and exploit its results.</b></p>			<p>2005</p>
<p><b>Subproject 5, Metrics: This project will identify a suite of metrics that are indicative of success or failure in competitive international research and exploitation.</b></p>	<p>Provide a robust suite of internationally respected metrics to assess UK performance in international engagement in science and technology.</p>	<p>David Bacon</p>	<p>Contract placed April 2005, completion October 2005</p>
<p><b>Subproject 6, Wildcard: This project aims to identify any potentially hidden issues and emerging circumstances which might invalidate assumptions or change perceptions about UK engagement in international research and R&amp;D.</b></p>	<p>Providing insight and innovation relating to unseen trends and fringe issues that may take on greater significance as international circumstances change.</p>	<p>David Bacon</p>	<p>Contract placed April 2005, completion October 2005</p>

**Energy and Environment Research Programme**

Study	Key Aims	DTI Contact	Timing/Status
<b>The Relative Extent of Energy Market Competition in the EU and G7: Annual Ranking Exercise</b>	Project to produce a ranking of the energy markets in the EU and G7 in terms of their competitiveness in order to inform DTI's energy PSA target by confirming the rankings for 2002 and producing provisional rankings for 2003 (dependent on data availability), using the methodology developed on behalf of the DTI in September 2003.	Emma Campbell	Completed October 2004. See <a href="http://www.dti.gov.uk/energy/gas_and_electricity/competitiveness_structure/index.shtml">http://www.dti.gov.uk/energy/gas_and_electricity/competitiveness_structure/index.shtml</a> .
<b>Research on Growth Rates in the Industrial Sectors of EU Emissions Trading Scheme (EU ETS)</b>	To develop a robust set of output and/or emissions growth rates covering sectors included in the EU ETS.	Ewa Kmietowicz	Started April 2004  The final report will feed into the negotiations on the national allocation plan (NAP).
<b>Gas Safety</b>	Calculation of the risks, costs and benefits of requiring the processing and blending of all future imports of gas into the UK to ensure it meets the requirements of UK Gas Safety Regulations.	Sally Williams	Started May 2004 Duration – 4 months.
<b>Implications of the EU ETS for Electricity Prices in Europe</b>	To consider the extent to which data can be relied upon as a good indication of future prices facing consumers, internalisation of carbon, as against other factors, is driving the changes in forward prices observed and whether the value of carbon apparently internalised in such markets is a good indication of the price of carbon we expect under the EUETS.	Adrian Gault	Final report produced July 2004.
<b>EU ETS Phase 1 Benchmarking – Allocations to New Entrants</b>	Allocations to new entrants.	Pete Roscoe	Started May 2004. Due to complete in September 2004.
<b>ETSAP Annex VIII –</b>	Energy Technologies Systems Analysis Project (ETSAP) final	Adrian Gault	Duration – 12 months

Study	Key Aims	DTI Contact	Timing/Status
<b>Final Year</b>	year of Annex VIII. Includes membership of the IEA programme; attending bi-annual workshops; written report and presentation thereof.		plus membership
<b>Evaluation of Other Member States' National Allocation Plans for the EU ETS</b>	To assess other member states National Allocation Plans against the criteria in the Directive, and in terms of their implications for the UK.	Adrian Gault	Has delivered 2 reports with one more due in the autumn.
<b>Options for the Allocation of Allowances for the Second Phase of the EU ETS</b>	To develop options for the allocation of allowances and other rules for the second phase of the EU ETS.	Pete Roscoe	Duration – 3 months
<b>Natural Analogues to the Storage of CO<sub>2</sub> in the Geological Environment (NASCENT) Project</b>	Project aims to investigate the impact of storing CO <sub>2</sub> at a range of different sites. By assessing the impact of natural accumulations in a variety of geological settings it will provide technical information of the fate of CO <sub>2</sub> over long (1000's of years) timescales.	Fran Spawls	Completed. See <a href="http://www.bgs.ac.uk/nascent/">http://www.bgs.ac.uk/nascent/</a>

### Energy Markets

Study	Key Aims	DTI Contact	Timing/Status
Gas Appliances – Pilot testing study	To assess the performance of a small selection of domestic gas appliances in relation to the quality (composition chemistry) of the gas supply. The results will inform future decisions on the scope and scale of a follow-up full scale exercise.	Christopher Mansfield	Commissioned May 2004. Completed November 2004.

### Women and Equality Projects

Study	Key Aims	DTI Contact	Timing/Status
<b>Get a Public Life</b>	The Ministers for Women led a national outreach campaign	Diane Houston	Published &

Study	Key Aims	DTI Contact	Timing/Status
	in 2002 to increase the number of women holding public appointments at a regional and national level. The research assessed the success of the outreach programme and also looked in depth at the barriers faced by women applying for public appointments.		Finished
<b>The cost of domestic violence</b>	The research estimates the cost of domestic violence for the state, employers and the men and women who are subjected to it. The estimate of costs provides an additional perspective for examining the devastating consequences of domestic violence for society as well as for victims.	Diane Houston	Published and Finished
<b>Prejudice and Discrimination Research</b>	Research on processes of discrimination. To go beyond surveys which simply document a hierarchy of discrimination in order to identify commonalities and processes relevant to all equity strands.	Diane Houston	Currently negotiating with TNS and Kent University. Expected to start March 2005
<b>Occupational Segregation and Choices at 16-19</b>	Examining young people's perceptions of job characteristics, their preferences for certain job characteristics and the efficacy of interventions designed to change job perceptions.	Diane Houston	Due to be completed in Oct 2005
<b>Key Indicators of Women's Position in Britain</b>	To provide a set of key indicators that would establish a benchmark against which progress in the position of women could be assessed over time.	Diane Houston	Published and Finished
<b>Individual incomes of men and women</b>	Work with DWP to produce an annual report on individual incomes	Diane Houston	Due to be published 17 <sup>th</sup> May 2005
<b>The Pay Gap in Part-Time Work</b>	To build up a shared understanding of the factors that are causing and maintaining the pay gap in part-time work, and to identify potential levers to impact on this sector.	Diane Houston	Finished and published
<b>Women returners Part</b>	Understanding how to maximise the potential of women	Diane Houston	Started Jan 2005

DTI economic and social Research programme – list of projects 2004/05

Study	Key Aims	DTI Contact	Timing/Status
<b>1</b>	returners to undertake high quality employment in areas of occupation segregation . This research consists of a literature review and secondary data analysis.		and will end March 2005
<b>Women returners Part 2</b>	Understanding how to maximise the potential of women returners to undertake high quality employment in areas of occupation segregation. Primary data collection to understand why women returning to work make the decisions they do.	Diane Houston	Due to be commissioned March 2005 and complete in September 2005

*Enablers*

Study	Key Aims	DTI Contact	Timing/Status
<b>Equality Research Network</b>	Gender Research Forum has been relaunched as ERN. Database of academics and professionals in equality fields has been updated.	Diane Houston	Ongoing
<b>Gender Briefings</b>	Quarterly analysis of Labour Force Survey to produce statistics on women and men in the workforce. Also produce an annual paper on minority ethnic women and men.	Diane Houston	Produced every quarter
<b>ESRC research Studentship</b>	Training links with the academic community	Diane Houston	
<b>Equality Research Group</b>	To bring together representatives in the equality strands to discuss issues and research	Diane Houston	Bi-annual meetings
<b>Occasional research seminars</b>	Dissemination of research findings	Diane Houston	

**Business Support**

*Surveys*

Study	Key Aims	DTI Contact	Timing/Status
Business Support cross-product survey of business participants	To collect monitoring information for business support products	Heather Booth di Giovanni	Completion by January
Business Support cross-product survey of intermediaries	Covering all intermediary groups who are either directly involved in delivering business support products or who are potential customers.	Heather Booth di Giovanni	
Business Support cross-product survey of non-participant businesses	To identify the needs of businesses who do not take part in business support programmes.	Heather Booth di Giovanni	
Stages of growth and sources of business knowledge	To investigate sources of knowledge used in strategic business growth and development, and how such knowledge needs are met.	Heather Booth di Giovanni	Commissioned to report end March 2005

*Evaluation*

Study	Key Aims	DTI Contact	Timing/Status
Evaluation of the industry forum initiatives			
Evaluation of the manufacturing Advisory Service	To inform evaluation of past		
Cross-cutting econometric evaluation	See Strategy unit for description	Heather Booth di Giovanni	Commissioned to report end March 2004

**Skills**

*Fit With Research Strategy A: What Factors Affect Demand for Workforce Development in Business?*

<b>Study</b>	<b>Key Aims</b>	<b>DTI Contact</b>	<b>Timing/Status</b>
<b>The Impact of Networks on the Learning and Skills Development of Businesses and how this Impacts on Business Performance</b>	To understand the role that networks play in the learning and skills development within businesses and how this impacts on business performance.	Maria Cody, Sam Hanna, Heather Booth Di Giovanni, Hooma Raza, David Campbell, George Bramley (SBS), Ian Drummond (SBS), Ian Drummond (SBS), Graham Telling, Alan Ward	due to be completed March 2005.
<b>Strengthening Regional Economic Development through Building RDA capacity in skills policy.</b>	An analysis of the RES, FRESAs and developments on these contained in Regional Skills Partnership documents and a development of the previous Regional Knowledge Economy analysis.	Sue Otter, Maria Cody, Sam Hanna, Edward Barker, Jim Mitchell, David Campbell	Initial findings presented September 2004, report to be published in December 2004
<b>Strengthening Regional Economic Development through building RDA capacity in skills policy</b>	To provide co-ordinated and agreed programme of skills policy support for RDAs, tailored to the need of each agency. To include in this programme a residential workshop for RDA staff on international best practice in workforce development.	Sue Otter, Maria Cody, Sam Hanna, Edward Barker, Jim Mitchell,	Workshop on January 2005

Study	Key Aims	DTI Contact	Timing/Status
<b>Employer Demand For Skills and High Value Added Strategies</b>	To use a combination of survey data and ‘matched plant’ analysis to understand how companies develop and implement higher value added, more skill intensive product strategies and the nature of any barriers that prevent them doing so.	Maria Cody	Started December 2003 and due to be completed February 2005.
<b>Multi-Sector Skills Project</b>	The National Employers Skill Survey 2003 will form the basis to allow the selection of up to six sectors or sub-sectors for an in-depth study of the demand for skills.	Jeremy Allen (BR), Christopher Moir (BRI), Maria Cody, Jim Mitchell, Sam Hanna,  BR sector managers: Andrew Watson (SEMTA), Yvonne Brady (e-skills UK), Geoff Moore (SEMTA), Chris Bowden (Automotive Skills), David Payne (Summit Skills), Jodi Truss (Proskills), Peter Christie (Energy & Utility Skills), Celia Mackie (Cogent),	Nine sectors selected for study and project being let by competitive tender. Evaluation meeting planned for 15 June 2004.

Study	Key Aims	DTI Contact	Timing/Status
		Russel Kerr (Skillfast UK)	
<b>What Motivates or Prevents Employers and Individual Workers from Investing in Level 3 qualifications?</b>	This looks at the softer issues around motivations or barriers for employers and individuals in the workplace to invest in level 3 skills and higher.	James Foster, Mick Wilson	Complete
<b>The Implications of Migration Policy on Intermediate Level Skill Weaknesses</b>	There is currently work starting on the possibility of expanding intermediate skills legal migration routes, and the DTI should examine the possibility of either participating in research in this area, or initiate work on intermediate skills, and possible regional migration.	John Baker	John Baker to advise on details of project to be undertaken.
<b>Investigating Whether SMEs have a Latent Demand for Graduates on a Regional or Sectoral Basis</b>	To understand why some small companies employ graduates and some do not, whether those that do are more successful, whether there are barriers to SMEs employing graduates and what, if anything, can be done about this.	Isoken Imaghdor, Maria Cody, Sam Hanna, Sue Otter, David Campbell	Awarded Aug 2004
<b>Occupational Segregation and Choices at 16-19</b>	To address the impact of occupational segregation on demand for skills, including skill shortages and gaps, and on productivity.	Diane Houston (WEU), Maria Cody, Sam Hanna  Will be related to work of EOC, Pat Langford (OST) and others	Started March 2004. Due to end December 2004.

*Fit With Research Strategy B: What is the Relationship between Workforce Development and Productivity*

Study	Key Aims	DTI Contact	Timing/Status
<b>Project Showing Whether National Training Award Winners have Superior Business Performance using the Benchmark Index</b>	To test evidence that companies that achieve excellence in training have superior business performance using the harder measures in the benchmark index. To test the new ‘people and performance module’ of the benchmark index.	Maria Cody, Sam Hanna, Alan Ward	Due to be completed September 2004.
<b>Establishing the Business Case and Best Practice Strategy for Retraining Women in the IT Sector</b>	This will use focus groups of women, representing different roles from a range of ICT organisations, and research, using government, academic, and commercial sources of information, to address issues of retraining women in the IT sector.	Maria Cody, Pauline Zielonka (BR), Diana Houston (WEU)	Started December 2003 and due to be completed at the end of June 2004. To be completed Dec 2004
<b>International Comparison of Non-Certified Training</b>	A study comparing the productivity gaps between the UK and the rest of Europe.	Maria Cody, David Campbell, Sam Hanna	Scoping study being undertaken Due to finish in September 2004 and results will be presented to the autumn meeting of SAG.
<b>Using National Training Award Winners to Demonstrate Tangible Benefits from Investment in Training</b>	Case studies showing a clear link between business performance and investment in training. This will complement the project that is exploring whether NTA winners achieve above average results on the hard measures in the benchmark index.		Proposal received from UK skills, who will competitively tender and manage the work on our behalf.

Study	Key Aims	DTI Contact	Timing/Status
<b>Investment in Level 3 Skills and Above</b>	Use 'rates of return analysis' to identify at level 3 which skills are most sought after by employers and why?	Maria Cody, Sam Hanna, Hooma Raza, David Campbell, Gary Clarkson, James Foster	Commissioned in Aug 2004
<b>Micro data analysis of DTI business support programmes, benchmark index</b>	Part of data linking. Currently the Benchmark index dataset is unlikely to be representative of the wider business population and we don't know how effective the data will be. To investigate whether the BI data could be better used by linking to IDBR or ARD.	Maria Cody, David Campbell, Heather Booth di Giovanni	

*Fit With Research Strategy C: Management and Leadership*

Study	Key Aims	DTI Contact	Timing/Status
<b>The Effects of Financial Institutions and Investor Behaviour on Management Practice</b>	To understand how financial institutions and investor behaviour impact on company management practice, including what impact they have on the adoption of modern management techniques and how UK compares with major overseas competitors.	Sam Hanna, Maria Cody, John Baker, Tim Mcroft (BFI), , Nick Munn (BFI)	Started January 2004.
<b>Realising Management Excellence 2004</b>	Will examine how employers currently define their demand for management skills and there understanding of the new skills they will need to meet future challenges, both now and in the future.	Maria Cody, John Baker, Audrey Linton, Petra Cook (CMI)	Fieldwork/research currently being let by competitive tender. Due to start March 2004 to be completed by October 2004.
<b>Inspired Leadership Index</b>	Number of practical key pointers on what makes for inspirational leadership that leads to exceptional results and enables businesses to learn from each other and draw on leading-edge practice.	John Baker, Audrey Linton, Nigel Crouch	Complete

Study	Key Aims	DTI Contact	Timing/Status
<b>100 Best Companies to work for</b>	Collection and use of data to support the 100 best companies to work for scoreboard. Use the data to promote best practice	John Baker, Audrey Linton, Nigel Crouch	Contracted
<b>Literature review on skills and innovation</b>	To consider: What is the mix of skills that are a condition for successful product or service innovation? How does the successful implementation of innovation impact on the demand for skills within companies?	Ben Marriott, Maria Cody	Call for tender November 2004
<b>Non-certified learning and its link to productivity</b>	Indepth assessment of the reliability of the datasets recommended as “flow” measures to measure the link between skills and productivity. Econometric modelling; improve and inform survey design and methodology		

*Fit With Research Strategy D: Organisational Learning, HPW and Work Redesign*

Study	Key Aims	DTI Contact	Timing/Status
<b>Skills and the ‘High Performance Workplace’ Including Providing Case Studies Illustrating Good Practice</b>	This research will use both WERS data and 100 Best Companies data to develop case studies showing the business benefits of implementation of HPW and change management issues.	Sam Hanna, Maria Cody, Sue Otter, Nigel Crouch, John McQueeney (ER)	Due to be completed ?
<b>Maximising potential of women returners to undertake high quality employment in areas of occupation segregation</b>	See Women’s Unit Research		

**Regional Policy****(a) REGIONS SUB-GROUP RESEARCH**

Study	Key Aims	DTI Contact	Timing/Status
Innovation and Knowledge Transfer	<p>The study seeks to identify the spatial distribution of activities related to the potential innovation and knowledge transfer functions of Higher Education Institutes in the greater South East. The focus is on developing an understanding of the pattern of linkages between institutions and firms through the following:</p> <ul style="list-style-type: none"> <li>• Graduate employment</li> <li>• Enterprise development or</li> <li>• Innovation effects</li> </ul> <p>(ECOTEC)</p>	Nick Hallett	Started Aug 2004 and results due January 2005
<b>Regional Impact of EU Technology programmes</b>	<p>The study is focused upon the practice of knowledge transfer both within and between regions and the extent to which spillovers emanate from initial investments supported through European funding. It also seeks to understand how such benefits might be maximised in the future.</p> <p>Supported under ESRC CASE programme. (Adrian Healy/Kevin Morgan Cardiff University)</p>	Nick Hallett	October 2006
<b>Regional Policy in the EU</b>	<p>Ongoing work by European Policies Research Centre at the University of Strathclyde led by Professor Yiull into comparative assessment of regional policy in the European Union. Proposed themes for the year include:</p> <ul style="list-style-type: none"> <li>• What targets do other countries use as incentives for regional bodies, including any targeting frameworks used; and</li> <li>• The determinants of funding allocations to regional bodies throughout the EU.</li> </ul> <p>(EPRC)</p>	Nick Hallett	Ongoing
<b>RDA SFIE</b>	To measure the effectiveness with which RDAs are currently	Nick Hallett	April 2005

Study	Key Aims	DTI Contact	Timing/Status
<b>operation</b>	administering the Selective Finance for Investment in England (SFIE) business support product (successor to Regional Selective Assistance) with a view to raising their levels of delegation above the current level of £2m. (Jonathan Whiticar)		
West Midlands Diversification & Modernisation Programme Impact Assessment	Rover Task force evaluation of the modernisation programme should be available soon. A report by Deloitte which focuses on the activities undertaken during Q3 and Q4 2003 to support the Diversification programme is available. <b>(Deloitte)</b>	Nick Hallett	One report available and another due soon.

**(b) REP PSA RESEARCH**

Study	Key Aims	DTI Contact	Timing/Status
<b>City Linkages (LSE)</b>	Inter-City Economic Linkages and Transmission Mechanisms	Nick Hallett	Due to report in March 2005

**(c) REGIONS SUB-GROUP RESEARCH**

Title	Key Aim	DTI Contact	Timing/Status
Evaluating the RDA's	<p>The proposed evaluation currently under discussion with the RDAs is expected to be in three stages:</p> <ul style="list-style-type: none"> <li>• Stage one will develop a framework and underpinning methodology to help RDAs to evaluate their impact – both individually and collectively – and to provide nationally consistent and comparative evaluation evidence</li> <li>• Stage two will operationalise stage one. It will provide mentoring assistance to RDAs and/or their consultants to implement the agreed framework and deliver their individual impact evaluations</li> <li>• Stage three will pull together the individual evaluations, investigate common themes, and provide an overarching evaluation report for all English regions</li> </ul>	Nick Hallett	Stage 1 to be commissioned soon
DTI Crosscutting econometric study	The core project is to provide econometric analysis of the impact of a number of business support programmes including Regional Selective Assistance. This could be supplemented by additional analysis to draw out the regional aspects of individual programmes. £25 k for stage 1 provided by Business Support Group.	Nick Hallett	Started Sept 2004
Structural and Cohesion Fund spending evaluation	<p>We do not know enough about the value for money of the very large numbers of projects funded by the Structural Funds.</p> <p>An evaluation was published in February 2004 (“Structural Funds - added value &amp; costs”). Structural Funds Regulations require an update of the mid term evaluation to be submitted by the end of 2005</p>	Nick Hallett	TBC
Economic support for Transport and planning	Call off contract with consultants being worked up. Consultant to be taken from framework contract which is being progressed in collaboration with Inland Revenue. This will allow short pieces of economic work to be progressed quickly	Nick Hallett	Responses to ITT shortlisted and selection to take place in New Year

Title	Key Aim	DTI Contact	Timing/Status
Regional distribution of industry and the gap in growth rates	Extent to which sectoral distribution explains the gap in GVA and productivity. Sectoral analysis can be useful in understanding regional productivity performance	Nick Hallett	TBC

**(d) REP PSA RESEARCH BUDGET**

Study	Key aims	DTI contact	Timing/status
Demonstration Project: Inactivity	<p>The REP team's work suggests that the number of people on Incapacity Benefit (IB) is the single biggest problem that afflicts the North East. The Demonstration project will be focused on Easington, an area in East Durham.</p> <ul style="list-style-type: none"> <li>• What options are available to IB claimants, Employers and GPs in Easington;</li> <li>• What information should be disseminated, where and how, particularly by GPs and within surgeries and possibly pharmacies;</li> <li>• Oversee the development of direct marketing of Incapacity Benefit claimants;</li> <li>• If significant extra funding can be found, an enhanced option would include buying in additional condition-management health services similar to those in the Pathways to Work pilots; and</li> <li>• Promulgate lessons to the wider region and other regions.</li> </ul>	Nick Hallett	??
Demonstration Project: Skills	The Learning Community Testbed (LCT) in Barnsley is being developed around a Neighbourhood Learning Net (NLN) which aims to engage with hard to reach groups by encouraging adults and families to become	Nick Hallett	Will begin on 1 October 2004.

Study	Key aims	DTI contact	Timing/status
	involved in learning through special events, word of mouth, local marketing and through existing networks. The NLN is also reshaping adult learning provision in Barnsley by getting providers to look at the quantity, quality and content of their provision, and requiring them to respond to emerging requirements quickly.		
Demonstration Project: Enterprise	<p>Merseyside Chambers of Commerce will establish a “Commission” of successful local entrepreneurs, who will identify measures that will address the underlying causes of low entrepreneurship.</p> <p>The REP team's engagement with this project focuses on:</p> <ul style="list-style-type: none"> <li>• Encouraging the Chambers and the Commission to make full use of all the available evidence when designing their policy interventions;</li> <li>• Using the project to test the REP policy propositions and as a means of demonstrating how the problems of low entrepreneurship can be tackled;</li> <li>• Ensuring that lessons from the project are properly evaluated and applied across all the under-performing regions.</li> </ul>	Nick Hallett	Funding for evaluation of project - timing not yet known
Innovation in the Regions	<p>Key questions are likely to include:</p> <ul style="list-style-type: none"> <li>• What is the evidence on levels of innovation in the regions? Can regional differences be explained simply by differences in industrial composition?</li> <li>• What are the key factors that contribute to a strong performance on innovation in countries, regions and sub-regions?</li> <li>• To what extent do regions and sub-regions possess the key attributes that lead to a good performance on innovation?</li> <li>• Building on the analysis of the key factors driving innovation, how can we most effectively strengthen the innovation performance of the regions?</li> </ul>	Nick Hallett	Meeting December 2004 to scope the project and decide on research to be undertaken

### UK Trade and Investment

Study	Key aims	DTI contact	Timing/status
Evaluation of UK trade and Investment	To evaluate the allocation of funds between export support and FDI in the UK.	Heather Booth di Giovanni	

### Europe and World Trade

Study	Key aims	DTI contact	Timing/status
Setting up and hosting a cross departmental website of gov'n't trade research	Provide a common listing and access to all departments (DFID, DTI, FCO, DEFRA, Treasury, etc) trade related research.	Matt Adey	March 2005
Trade in services: Potential UK benefits from WTO negotiations	Trends in UKs trade in services and importance of services to UK economy. Examine methodological issues: measurement problems, etc. Review literature, theory & evidence. Sectoral analysis.	Katie Feeney	Summer 2005
Offshoring	Update story of offshoring, incorporating new developments since Trade & Investment White Paper (July 2004), including: Review of academic research. Responses to 2004 consultation exercise. Policy developments.	Chris Alexander (economics), Malcolm McKinnon, Sara Brazendale (policy)	[Summer 2005 planned, but not confirmed]