

**DFID - PLAN INTERNATIONAL UK
PARTNERSHIP PROGRAMME
AGREEMENT
2006-2011**

June 2006

SUMMARY

DFID agrees Partnership Programme Agreements (PPAs) with civil society organisations with which it has significant working relationships and shared objectives

Plan is one of the leading international humanitarian and children's development organisations working with more than 90,000 communities in Africa, Asia and Latin America.

Overall goal: promoting children and young people's participation in better governance which addresses the wellbeing of children living in poverty.

This will be achieved through:

- greater participation and representation of young citizens in governance;
- increase in accessible and accountable basic services through young citizens' participation;
- increased inclusion and more effective protection for the most vulnerable.

The PPA is a 5 year arrangement. Total DFID funding for the first two years of the PPA will be £3,150,000. Funding for the last three years will be agreed during the initial two year period.

1. INTRODUCTION

1.1 DFID agrees Partnership Programme Agreements (PPAs) with civil society organisations with which it has significant working relationships and shared objectives. PPAs are strategic level agreements, which set out the overall framework for DFID's work with the organisation linked to strategic funding. Individual DFID departments and country programmes can negotiate separate arrangements for collaboration, including financial support for specific activities within the overall PPA framework.

1.2 PPAs are intended to stimulate more effective collaboration in agreed areas, whilst recognising the independence of each partner to pursue their own policies, priorities and approaches and to concentrate on their core competencies. The PPA between Plan International UK and DFID aims to provide a framework for the open sharing of views and for constructive dialogue on major development issues.

2. OVERVIEW OF PLAN INTERNATIONAL UK

2.1 Plan is one of the leading international humanitarian and children's development organisations. Plan has no religious or political affiliations. Plan operates in 62 countries: in 16 developed countries and 46 developing countries. Plan works with more than 90,000 communities worldwide: the majority being in remote rural areas. Plan's work benefits around 10 million children in Africa, Asia and Latin America.

2.2 Plan's vision is of a world in which all children realise their full potential, in societies that respect people's rights and dignity. Plan's mission is to achieve lasting improvements in the quality of life of children in developing countries through a process that unites people across cultures and adds meaning and value to their lives by:

- enabling deprived children, their families and their communities to meet their basic needs and to increase their ability to participate in and benefit from their societies;
- fostering relationships to increase understanding and unity among people of different cultures and countries; and
- promoting the rights and interests of the world's children.

2.3 Plan International UK is the third largest office within the Plan network in terms of financial turnover, with a gross income of £31million in 2005. The Plan network's 2005 annual income was £275 million. Plan International UK raises approximately £26.3million annually from the British public. Key information on Plan can be found in Annex 1.

2.4 The work of Plan is based on the principles set out in the UN Convention on the Rights of the Child. Plan recognises children and young people as key powerful social actors and drivers of change and Plan is committed to helping them realise their potential.

2.5 Plan's strategy to combat poverty focuses on six integrated areas of work: health, education, habitat, livelihoods, disaster risk reduction and emergency response, and building relationships between developing and developed countries. The latter is achieved through development education and advocacy, including political lobbying, on child rights and child poverty.

2.6 Plan ensures children, families and communities are active and leading participants in their own development. Child centred programmes work with the poorest children and address issues identified by them. Plan works with a large number of local partners in the developing world and aims to strengthen their work through training, developing expertise and the transfer of skills – particularly the skills required to engage children in the development process. Plan supports local community groups and organisations in marginalized areas to improve health, education and water and sanitation services; and to increase accountability and good governance. Plan's programmes build on local knowledge to address the many needs facing individuals and communities. This work takes place through partnerships between civil society and governments at all levels to help achieve positive change. The process of change involves developing models of good practice that are scaled up nationally and internationally.

2.7 Decision-making within Plan International takes place through transparent and accountable processes by which children, their families and carers, and their community organisations identify their needs before being involved in the planning, implementing, monitoring and evaluation of programmes. Volunteers and staff at all levels seek to respond to and support these initiatives. Plan's programme activities originate at the local level through *Community Development Plans*. These are then used to form the basis of Plan's national strategies set within its *Country Strategic Plans*. These, in turn, feed into regional strategies and ultimately lead to the development of Plan's global policies on issues of child protection, disaster risk reduction and equality between men and women/boys and girls. Learning and good practice is shared and replicated between the regions where Plan operates and across the whole organisation.

2.8 Plan's legitimacy derives from and to the millions of people, in particular children, across the world who benefit from and support Plan's work. Worldwide, these include the over 60,000 people who volunteer for Plan; and the over one million Plan supporters, of whom more than 120,000 are from within the UK.

2.9 Plan has forged its trusted and respected reputation from its over 68 years' experience of development work, and the quality of its relationships and partnerships with governments, civil society organisations and development actors. By keeping children, families and poor communities at the centre of its work, Plan has been able to maintain the highest levels of accountability to its beneficiaries. When a programme is audited, the views of a cross-section of children, families and community members, Plan's primary stakeholders, are obtained. Accountability to Plan's secondary stakeholders, its supporters and donors, is delivered through regular and detailed communications and reporting, drawn on Plan's comprehensive monitoring and evaluation and auditing systems. Plan's management system gathers in depth information from poor

communities and tracks changes in poverty through the use of 30 specific indicators, and is used in all Plan programme countries. This information serves as the basis for ensuring quality and effectiveness from planning through to implementation and reporting, always in collaboration with communities.

3. RATIONALE FOR PARTNERSHIP

3.1 Plan International UK will benefit from the Partnership Programme Agreement through the extra resources, improved policy dialogue, sharing of expertise, institutional development and strategic linkages.

3.2 A PPA will allow Plan UK to:

- enable more children and youth to directly influence decision making at all levels including local and national governments and international agencies - including the British government through DFID;
- work with its individual supporters and other members of the public more actively in the development debate;
- better document programme experiences and share learning to enable Plan to contribute more effectively to policy debates on good governance and poverty reduction.

3.3 Similarly, Plan's Programme Partnership Agreement will contribute to DFID's work by:

- sharing experience and knowledge on child-centred work and analysis;
- working with DFID to raise awareness of child rights and building the capacity of children and young people to participate in decision making so that their voices are heard and that they can advocate for change;
- mobilising communities to secure greater access to services and thereby attain MDG targets in developing countries (including in difficult environments and failing states) and to reduce disaster risk and respond effectively to emergencies.

4. PPA OBJECTIVES

Overall goal: promoting children and young people's participation in better governance which addresses the wellbeing of children living in poverty.

Good Governance

Outcome

4.1 Greater participation and representation of young citizens in governance.

Description

4.2 The active involvement of young citizens in decisions that affect them is their right. It also teaches them the skills and attitudes to equip them to become good citizens, and results in better policies and outcomes. Good governance cannot be achieved without

the genuine participation of young citizens through formal and informal mechanisms. Children across the developing world already make significant contributions to the household economy. Moreover they constitute a significant proportion of the population in poor countries: over 50% in Sub-Saharan Africa.

4.3 Plan will:

- develop and document methodologies and toolkits to promote, monitor and evaluate effective formal and informal participation of young citizens in governance;
- build the capacity of local civil society organizations to influence governments in Plan's programme countries to adopt and implement child rights legislation and policies;
- enhance the capacity of civil society to enable young citizens to get involved in decision making and advocate for themselves at local, national and international levels;
- connect young people across the world through development education for increased awareness and understanding of global citizenship;
- Plan International UK will support the continued capacity building of Plan to document and disseminate best practice and deliver research-based policy engagement and formulation.

4.4 DFID will:

- consult Plan International UK, when appropriate, on policy issues relating to the role of young citizens in governance;
- share relevant work with Plan International UK relating to the role of young citizens in governance;
- support the involvement of young people in line with its existing policy commitments;
- support relevant policy development and learning and evaluation exercises;
- disseminate relevant tools, methodologies and guidance developed by Plan International UK within DFID;
- provide input into Plan International UK's development education strategies and projects.

Basic Services

Outcome

4.5 Increase in accessible and accountable basic services through young citizens' participation.

Description

4.6 Good health and education and a safe and secure environment are the pre-conditions for children to survive, develop and reach their potential – thereby reducing poverty. Yet, universal access to basic services in most developing countries remains

unmet MDG targets. Innovative strategies to address the MDGs' shortfalls include supporting children and youth to claim their rights, and to influence the way services are being delivered to them.

Children can offer unique insight to effective service delivery as end-users, and are themselves the largest number of beneficiaries. Duty bearers, in return, particularly at local level, require support to provide accessible and responsive services which meet the particular needs of this key target group.

4.7 Plan will:

- share best practices and improve learning across the Plan network on the impact of young people's participation in service delivery (through analysis and research);
- promote piloting of innovative service delivery models that integrate youth participation, including in fragile states and difficult environments;
- support capacity building of local governments and local communities in delivery of effective and accountable services;
- strengthen advocacy work on improving access to health care, education, and water and sanitation services;
- Plan International UK will support the continued capacity building of Plan to document and disseminate best practice and deliver research-based policy engagement and formulation.

4.8 DFID will:

- provide input to research, workshops and seminars when appropriate;
- disseminate relevant tools, methodologies and guidance developed by Plan International UK within DFID and use these to inform DFID's work on voice and accountability;
- consult, when appropriate, Plan International UK on policies relating to equitable access to basic services;
- share relevant work relating to equitable access to basic services.

Tackling issues that make children vulnerable

Outcome

4.9 Increased inclusion and more effective protection for the most vulnerable.

Description:

4.10 Discrimination caused by ethnicity, religion, disability, poverty or social status affects many vulnerable children and their families in developing countries – leading to their exclusion from society, the economy and political life, and to the perpetuation of widespread poverty. The erosion of traditional support structures such as the family, under the impact of factors such as globalisation, migration and HIV and AIDS, are increasing the number of children at risk.

4.11 Plan will:

- develop, document and share methodologies and strategies to secure social inclusion;
- contribute to the development of social protection systems in five countries;
- strengthen Plan's work on reducing the vulnerability to HIV and AIDS of children and young people at risk;
- build the capacity of civil society to influence national governments for the development of comprehensive social protection systems in developing countries;
- Plan International UK will support the continued capacity building of Plan to document and disseminate best practice and deliver research-based policy engagement and formulation.

4.12 DFID will:

- consult Plan International UK, when appropriate, on social protection and exclusion;
- share relevant work, tools, methodologies and guidance with Plan International UK;
- provide input to workshops and seminars when appropriate;
- continue to engage regularly with Plan International UK in the UK and at country level on issues relating to children affected by HIV/AIDS (in the UK this will be mainly through the AIDS Consortium on AIDS and International Development, and at country level through similar NGO consortia, networks and national taskforces for HIV/AIDS and vulnerable children);
- commission research on children affected by HIV/AIDS to inform policy and programme development and disseminate research through symposia, conferences and the Aids portal.

Mainstreaming Child-Centredness

Outcome

4.13 Institutional Capacity of Plan International UK and DFID to address child poverty are strengthened

Description

Plan International UK will strengthen its institutional capacity to deliver child centred programmes, research and analysis as related to poverty reduction. Plan aims to use its partnership with DFID to help incorporate children and young people's participation across DFID's work.

Plan will:

- improve documentation and share good practices across the Plan network and organisational partners;
- support organisational change for more effective programme delivery;
- collaborate with DFID and others on research to inform policy development;

- provide technical assistance to DFID on children's issues and in particular children's participation;
- develop processes for systematic engagement with other agencies, especially DFID and PPA partners, including at country and regional levels.

DFID will:

- engage in dialogue on policies with Plan International UK, in particular on children and young people's participation, and share relevant work that DFID is commissioning;
- provide input to workshops and seminars;
- support policy development and learning and evaluation exercises;
- share learning within DFID on child and youth participation.

5. MANAGEMENT AND MONITORING ARRANGEMENTS

Funding and Term of Agreement

5.1 The PPA is a 5 year arrangement commencing 01/07/2006 and ending 31/03/2011. Total DFID funding (contribution) for the first 2 years of the PPA will be £3,150,000 (three million and one hundred and fifty thousand pounds). Funding for the last three years will be agreed during the initial 2 year period. The contribution will be allocated within DFID financial years as follows:

Year	Funding
2006/07	£1,350,000
2007/08	£1,800,000
2008/09	To be confirmed
2009/10	To be confirmed
2010/11	To be confirmed

Memorandum of Understanding

5.2 A Memorandum of Understanding between DFID and Plan International UK will set out details of the financial and other administrative arrangements for this agreement.

Communication and Co-ordination

5.3 A Deputy Programme Manager in DFID's Information and Civil Society Department and Plan International UK's Programme Manager will be responsible for co-ordination of all matters relating to the MoU. Designated senior managers and advisers in Plan International UK will be the first point of contact on specific initiatives relating to their areas.

Accounting

5.4 Plan International UK will supply DFID with a copy of its annual audited accounts certified by an independent firm of professional auditors. The PPA funds will be shown as a separate receipt item within the accounts. The accounts will include a management assertion of the existence and satisfactory performance of procedures and controls to mitigate the major risks to which Plan International UK is exposed in administering its funds, including PPA funds. The accounts should be made available as soon as possible after the conclusion of DFID's financial year.

Monitoring

5.5 Overall progress on the PPA will be monitored through regular contact between DFID's Information and Civil Society Department and Plan International UK. Plan International UK will demonstrate progress towards outcomes through annual reporting, giving evidence based on the indicators included in the PPA. The annual report will be no more than 12 pages, documenting achievements with relevant case studies and, if appropriate, further information in annexes and will have an executive summary to reference. Formal evaluation processes will be discussed and agreed during the first two years of the PPA.

KEY INFORMATION ON PLAN INTERNATIONAL UK

Contact details

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Worldwide presence

Plan operates in 62 countries –in 16 developed countries and 46 developing countries. Plan has 4 Regional Offices: Plan West Africa Regional Office located in Senegal, Plan Region of Eastern and Southern Africa Office in South Africa, Plan Regional Office of the Americas in Panama, and Plan Asia Regional Office in Thailand. A Plan Europe Liaison Office is based in Belgium. Plan works with more than 90,000 communities worldwide, the majority being rural, and its work benefits around 10 million children in Africa, Asia and Latin America.

Plan National Organisations: Australia, Belgium, Canada, Denmark, Finland, France, Germany, Ireland, Japan, Norway, Rep. of Korea, Sweden, Spain, The Netherlands, United Kingdom, United States.

Plan Programme Countries:

Africa: Benin, Burkina Faso, Cameroon, Egypt, Ethiopia, Ghana, Guinea, Guinea Bissau, Kenya, Malawi, Mali, Mozambique, Niger, Senegal, Sierra Leone, Sudan, Tanzania, Togo, Uganda, Zambia, Zimbabwe

Asia: Bangladesh, Cambodia, China, East Timor, India, Indonesia, Nepal, Pakistan, Philippines, Sri Lanka, Thailand, Vietnam

Latin America: Bolivia, Brazil, Colombia, Dominican Republic, Ecuador, El Salvador, Guatemala, Haiti, Honduras, Nicaragua, Paraguay, Peru

Eastern Europe: Albania

Income and expenditure

Group Six Year History	2000	2001	2002	2003	2004	2005
Income and expenditure £'000						
Income						
Sponsor pledges	14,634	16,295	18,617	21,432	21,883	23,581
Contributions from official bodies	1,957	1,998	2,200	2,489	1,760	2,382
Other donations, appeals, trading subsidiary and Interest	1,813	2,904	3,545	4,473	4,201	5,220
Total Income	18,404	21,197	24,362	28,394	27,844	31,183
Expenditure						
Costs of generating voluntary income	3,086	3,717	3,773	4,385	4,530	5,205
Trading Subsidiary	95	106	109	169	145	178
Governance Costs	Not analysed for previous years				95	88
Direct charitable expenditure	14,924	17,430	19,829	20,178	26,226	24,985
Total Expenditure	18,105	21,253	23,711	24,732	30,996	30,456
Net (Outgoing)/ Incoming Resources	299	(56)	651	3,662	(3,152)	727
Percentage Increase						
<i>Sponsor pledges</i>	22%	11%	14%	15%	2%	8%
<i>Contributions from official bodies</i>	3%	2%	10%	13%	-29%	35%
<i>Other donations, appeals, trading subsidiary and Interest</i>	11%	60%	22%	26%	-6%	24%

DFID's Civil Society Department supported 10 of Plan's projects in 2005, providing £868,373 (2004 £651,307).

NB: Plan's fiscal year covers the period July-June

ANNEX B

<i>Outcome 1: Greater participation and representation of young citizens in governance</i>				
<u>Plan UK will...</u>	<i>DFID will...</i>	Indicators	Sources and Means of Verification	Risks/ assumptions
<p>Develop and document methodologies and toolkits to promote, monitor and evaluate effective formal and informal participation of young citizens in governance</p> <p>Build the capacity of local civil society organizations to influence governments in Plan’s programme countries to adopt and implement child rights legislation and policies.</p> <p>Enhance the capacity of civil society to enable young citizens to get involved in decision making and advocate for themselves at local, national and international levels</p> <p>Connect young people across the world through development education for increased awareness and understanding of global citizenship</p> <p>Support the continued capacity building of Plan to document and disseminate best practice and deliver research-based policy engagement and formulation.</p>	<p>Consult Plan International UK, when appropriate, on policy issues relating to the role of young citizens in governance.</p> <p>Share relevant work with Plan International UK relating to the role of young citizens in governance.</p> <p>Support the involvement of young people in line with its existing policy commitments</p> <p>Support relevant policy development and learning and evaluation exercises</p> <p>Disseminate relevant tools, methodologies and guidance developed by Plan International UK within DFID</p> <p>Provide input into Plan International UK’s development education strategies and projects</p>	<p>5 countries that have created spaces for children/youth and their associations/clubs/ parliaments and accommodate their views in local or national level plans</p> <p>5 countries with government policies, legislations, poverty reduction programmes and plans (e.g: PRSPs and sector plans) that demonstrate greater responsiveness to child rights</p> <p>Evidence of Plan supported youth networks (local, national or regional) that are functioning well in 5 countries</p> <p>Evidence of youth networks participation in local/national decision making in 5 countries</p>	<p>Sources of verification: Mapping exercises in target countries to create baseline</p> <p>Government legislation, Bills, policies, plans, PRSPs, sector plans</p> <p>Municipal/local government records (e.g. meeting/ consultation minutes)</p> <p>Community/ municipal/local/ national/ regional/ international government action plans</p> <p>Records of children’s parliaments/clubs/other School programmes and learning materials</p> <p>Means of Verification: Baseline surveys Municipal/local government diagnosis Evaluations/Surveys</p>	<p>Political situation in target countries remains stable</p> <p>Commitment towards the Convention of the Rights of the Child continues within governments</p> <p>Political will to address child poverty</p> <p>Constitutions support participatory governance and child/youth participation</p> <p>Child/youth participation is endorsed and supported as a means of achieving sustainable development</p>

Outcome 2: Increase in accessible and accountable basic services through young citizens' participation				
Plan UK will...	DFID will...	Indicators	Sources and Means of Verification	Risks/assumptions
<p>Share best practices and improve learning across the Plan network on the impact of young people's participation in service delivery (through analysis and research)</p> <p>Promote piloting of innovative service delivery models that integrate youth participation, including in fragile states and difficult environments</p> <p>Support capacity building of local governments and local communities in delivery of effective and accountable services</p> <p>Strengthen advocacy work on improving access to health care, education, and water and sanitation services</p> <p>Support the continued capacity building of Plan to document and disseminate best practice and deliver research-based policy engagement and formulation</p>	<p>Provide input to research, workshops and seminars when appropriate.</p> <p>Disseminate relevant tools, methodologies and guidance developed by Plan International UK within DFID and use these to inform DFID's work on voice and accountability</p> <p>Consult, when appropriate, Plan International UK on policies relating to equitable access to basic services</p> <p>Share relevant work relating to equitable access to basic services</p>	<p>3 Programme Countries with increased application of innovative child/youth friendly models for service delivery</p> <p>2 Programme Countries in fragile states and/or facing emergencies which adopt practices that reinforce the delivery of child/youth-friendly basic services</p> <p>National MDG targets for 2011 reached in 3 countries for indicators on child and maternal mortality rates, primary education and sustainable access to safe drinking water</p>	<p><i>Sources of verification:</i></p> <p>Municipal/local government records</p> <p>Records/reports of youth and children's groups/associations/parliaments</p> <p>National statistics and programme/policy plans</p> <p>Plan program country reports</p> <p><i>Means of verification:</i></p> <p>Information data at the municipal/local government level</p> <p>Consultations with young citizens</p> <p>Programme evaluations</p>	<p>Target groups are willing and capable of participation</p> <p>Public authorities are receptive to civil society participation</p> <p>Constitutions provide for participation of communities and civil society</p> <p>Regular and predictable financing from donors</p>

Outcome 3: Increased inclusion and more effective protection for the most vulnerable				
Activity		Indicators	Sources and Means of Verification	Risks/assumptions
Plan UK will...	DFID will...			
<p>Develop, document and share methodologies and strategies to secure social inclusion</p> <p>Contribute to the development of social protection systems in five countries</p> <p>Strengthen Plan's work on reducing the vulnerability to HIV and AIDS of children and young people at risk</p> <p>Build capacity of civil society to influence national governments for the development of comprehensive social protection systems in developing countries</p> <p>Plan International UK will support the continued capacity building of Plan to document and disseminate best practice and deliver research-based policy engagement and formulation.</p>	<p>Consult Plan International UK, when appropriate, on social protection and exclusion ,</p> <p>Share relevant work, tools, methodologies and guidance with Plan International UK</p> <p>Provide input to workshops and seminars when appropriate</p> <p>Continue to engage regularly with Plan International UK in the UK at country level on issues relating to children affected by HIV/AIDS. In the UK this will be mainly through the AIDS Consortium on AIDS and International Development, and at country level through similar NGO consortia, networks and national taskforces for HIV/AIDS and vulnerable children.</p> <p>Commission research on children affected by HIV/AIDS to inform policy and programme development. Disseminate research through symposia, conferences and the Aidsportal.</p>	<p>2 Plan Country Programmes that link HIV/AIDS programmes with social protection strategies</p> <p>5 target countries that have approved and fully financed national plans for vulnerable children</p>	<p>Sources of verification: Plan's Country Strategic Plans and Country Program Outlines Community and municipal/local government action plans National policies/ legislation PRSP progress reports MDGs Progress reports</p> <p>Means of verification: Baseline and Exclusion surveys Target country evaluations Target country reports</p>	<p>Governments demonstrate commitment towards eradicating child poverty and promoting child protection</p> <p>Legislation supports the rights of vulnerable and excluded children/youth</p> <p>Public policies support social protection</p>

Outcome 4: Institutional capacity of Plan and DFID to address the structural causes of poverty strengthened				
Activity		Indicators	Sources and Means of Verification	Risks/assumptions
Plan UK will...	DFID will...			
<p>Improve documentation and share good practices across the Plan network and organisational partners</p> <p>Support organisational change for more effective programme delivery</p> <p>Collaborate with DFID and others on research to inform policy development</p> <p>Provide technical assistance to DFID on children's issues and in particular children's participation</p> <p>Develop processes for systematic engagement with other agencies, especially DFID and PPA partners, including at country and regional levels</p>	<p>Engage in dialogue on policies with Plan International UK, in particular on children and youth's participation, and share relevant work that DFID is commissioning</p> <p>Provide input to workshops and seminars</p> <p>Support policy development and learning and evaluation exercises</p> <p>Share learning within DFID on child/youth participation</p>	<p>Increase in capacity of Plan/partner staff to tackle issues related to child poverty:</p> <ul style="list-style-type: none"> - # of trainings/workshops held - # of staff trained in Plan and partner organisations - # of training modules developed - # of policy reports produced - # of inter-agency meetings/conferences and joint-initiatives held at country/regional levels <p>Annual DFID budget allocation towards children's issues</p>	<p>Sources of verification:</p> <p>Plan Annual reports</p> <p>Research documents published</p> <p>Progress review of "Mapping support to children and older people"</p> <p><i>Means verification:</i></p> <p>Project progress reports</p> <p>Evaluation Studies</p> <p>Workshop reports</p> <p>Plan documentation</p>	<p>Plan and its partners are willing to undertake structural and policy transformation</p> <p>DFID is committed to its Action Plan Learning to Listen</p>

