

Annex 1 - Performance Assessment Framework for CABS

| No | Issue | Indicator | Baseline | Targets | Notes |
|----|---|---|---|---|---|
| 1 | Macro-economic stability | Macroeconomic and Fiscal Performance | NA | Being on track with IMF programme | Source: Quarterly IMF review |
| 2 | Budget comprehensiveness | Budget documents presented to Parliament cover donor funds to the Government | FY 2004/05: Lending agencies covered. Grant projects partly covered | FY 2006/07: Support from key donor agencies covered (see notes) | Source: MoF and Donors confirm that all their programme and project agreements signed with GoM are listed in the Annual Budget and that expenditures reflect donors' figures Assumption: Donors provide all the necessary information before budget preparation Definition: for FY 2006/07: World Bank (IBRD), AfDB and from HIPC, DFID, EU, Norway, Sweden, Germany, USAID, Global Funds. Possible agencies for FY 2007/08: All above covered + UN-system, JICA and CIDA |
| 3 | Budget implementation | Proportion of national budget spent on protected pro-poor expenditures (PPPEs) | FY 2002/03 =9.6% | FY 2004/05=18.9% (outturn) | Source: MoF Expenditure Report on quarterly basis. Quarterly IMF review MPRS Indicator no 23 The Funding for Corresponding HIPC AAP indicators are "Poverty-reducing expenditures are clearly defined" and "Good-quality classification of poverty reducing spending is reflected in the in-year budget reports" PPPEs comprise of personal emoluments, other recurrent transactions and development. |
| 4 | Budget implementation – Debt management | Interest payment as % of total GoM expenditure | FY 2003/04 = 25% | 22.5% or less for FY 2004/05 | Source: Consolidated accounts for FY 2004/05 – MoF MPRS Indicator no 20 Interest payment remains very high (25% of total expenditures). GoM is committed toward reducing interest rate payment through reduced borrowing, repayment of domestic debt and restructuring of debt. Definition: Government Interest payment on foreign and domestic debt as a % of total budget expenditures. |
| 5 | Primary Education | Pupil per qualified teacher ratio in primary school in rural areas | 1: 118 (2001) | 1: <104 (2005) | Source: Ministry of Education Annual Statistics Cf. MPRS Indicator no 35 (which is <i>not</i> specified for rural areas) MPRS M&E Master Plan target for end 2005 is 85 (for both rural and urban areas) Definition: number of pupil divided by number of teacher. |
| 6 | Education - Gender | Primary School Net Enrolment Rate (Male) | 81% – 2001/2 | 90% or (+) year 2005 | Source: MoF to provide data. Original statistics from MoE – EMIS related to school year 2005 starting January 2005. MPRS Indicator no 32 Definition: Net primary enrolment ratio is the ratio of the number of children of official school age (as defined by the national education system) who are enrolled in school to the population of the corresponding official school age. Based on the International Standard Classification of Education, 1997 (ISCED97). (UNESCO Institute for Statistics). |
| 7 | Education - Gender | Primary School Net Enrolment Rate (Female) | 81% - 2001/2 | 90% or (+) year 2005 | Source: MoF to provide data. Original statistics from MoE – EMIS related to school year 2005 starting January 2005. Definition : see no 6 – for female. MPRS Indicator no 32 |

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| 8 | Education - Gender | Primary School Drop Out Rate for up to Standard Five (Male) | 20.68% – 2004 | 18.50% or (-) Year 2005 | Source: MoF to provide data. Original statistics from MoE – EMIS related to school year 2005 starting January 2005. Definition : % of pupils who withdrew from school before reaching standard 5 (for male) MPRS Indicator no 33 |
| 9 | Education - Gender | Primary School Drop Out Rate for up to Standard Five (Female) | 23.05% – 2004 | 21.50% or (-) Year 2005 | Source: MoF to provide data. Original statistics from MoE – EMIS related to school year 2005 starting January 2005. Definition : see no 8 - for female. MPRS Indicator no 33 |
| 10 | Budget implementation - Education | (a) Education ORT outturn as % of total discretionary outturn: in relation to: (b) education approved ORT as % of total approved discretionary expenditure | N/A | (a) ≥ (b) | Source: MoF - Consolidated accounts for FY 2004/05 Definition: Protection of Educ ORTs should fiscal constraints be experienced during the FY. ORTs will not be reduced by a higher % than the average reduction on discretionary expenditure. If discretionary disbursement in general are below budget, Educ. ORTs could be lower than the budget allocation but should not be lower as a share than the discretionary disbursement. If discretionary disbursement are above budget, Educ. ORTs should move along, thus expansion should not be at the cost of Educ. ORTs. Total discretionary outturn is total outturn less total statutory outturn less total development budget part 1 outturn Total approved discretionary expenditure is total approved expenditure less total statutory approved expenditure less total development budget part 1 |
| 11 | Budget Implementation - Health | (a) Health ORT outturn as % of total discretionary outturn: in relation to: (b) health approved ORT as % of total approved discretionary expenditure | N/A | (a) ≥ (b) | Source: MoF - Consolidated accounts for FY 2004/05 Definition: Protection of Health ORTs should fiscal constraints be experienced during the FY. ORTs will not be reduced by a higher % than the average reduction on discretionary expenditure. See definition for indicator 11, applied to health ORTs. |
| 12 | Health Services | Under 1 year immunisation by each antigen (BCG, Pentavalent III, Polio III, Measles) | 56% (2002) | Dec 2005: 80 % | Source: HMIS. All data as of end of Sept or Dec 05 to be provided by MoF. Original statistics from MoH through HMIS, NAC and DHS. Definition: Number of children fully immunized by their first birthday during the reporting period divided by the estimated under one population for the same year MPRS Indicator no 29 |
| 13 | Health – Maternal | Deliveries attended by skilled health staff | 58% - 2003 | 59% or (+) Sept/Dec 05 | Source: HMIS. All data as of end of Sept or Dec 05 to be provided by MoF. Original statistics from MoH through HMIS, NAC and DHS. MPRS Indicator no 30 MPRS indicator excludes Traditional Birth Attendance. Definition: Births attended by skilled health staff are the percentage of deliveries attended by personnel trained to give the necessary supervision, care, and advice to women during pregnancy, labour, and the postpartum period, to conduct deliveries on their own, and to care for the newborns. (World Health Organization) |
| 14 | Health – Access to | Out Patient Department (OPD) Utilisation Rate (per 1000 pop) in rural areas. | 960 2003 | 980 or (+) Sept/Dec 05 | Source: All data as of end of Sept or Dec 05 to be provided by MoF. Original statistics from MoH through HMIS. MPRS Indicator no 31 Definition: Out Patient Department (OPD) Utilization Rate (HMIS) with following definition: Number of OPD attendance divided by total population of the catchment area. |

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| | | | | | OPD utilization rate is the total number of OPD attendance and measure the patient load in a health center and hospital OPD. |
| 15 | Health/food security – Children | Prevalence of under 5 child malnutrition | 25% 1995-02 | 23% or (–) Sept/Dec 2005 | Source: All data as of end of Sept or Dec 05 to be provided by MoF. Original statistics from MoH through HMIS, NAC and DHS. MPRS Indicator no 5 Definition: percentage of children under five years old whose weight for age is less than minus two standard deviations from the median for the international reference population ages 0–59 months. |
| 16 | HIV/AIDS | HIV prevalence among adults 15-49 age group | 2003: 14.4% for age group 15-49 | 2004: 14.4% 2005: 14.2% 2006: 14 % | Source: HIV Sentinel Surveillance Survey MPRS Indicator no 13. Definition: Estimated number of HIV positive people divided by mid-year population among people aged 15 to 49 years expressed as a percentage. |
| 17 | Gender | Women in decision making positions | 12.8% = 2004 | ≥13% by September 2005 | Source: MoF with Ministry of Gender and Community Services data. Women in decision making positions target is 30% in 2005 (SADC target), and baseline in 2004 is 12.8 % according to Ministry of Gender and Community Services. MPRS Indicator no 12 Definition: Women in decision making positions in government sector, i.e. from P5 (Asst. Dir) to P2 (Principal Secretary) and in Parastatals (Deputy General Manager, Director or Chief Executive, Executive Secretary) |
| 18 | Budget execution | Improved compliance of expenditure outcomes with original objectives | Weak internal control environment has contributed to poor expenditure management | Internal Audit Policy approved by Cabinet by September 2005 | Source: FIMAG- Structural Adjustment Credit is facilitating the finalisation of this policy |
| 19 | Public Financial Management Reforms | Progress in implementing the Malawi Financial Accountability Action Plan | Limited implementation of MFAAP | Five key activities in the action plan implemented by February 2006 | Source: MoF/ MFAAP Secretariat Report(s) Follow up of the MFAAP related general condition. 5 Activities from MFAAP Secretariat Work Plan Activity Matrix: 1.(a.vii): Hold stakeholders awareness workshop 2.(5ii) : Maintain a Database of PFM projects 3. (6.i) : Develop and maintain a database of all MFAAP initiatives 4. (6iv): Categorise implementation status of all MFAAP activities. 5.(6vi) : Produce monthly progress reports |
| 20 | Audited Public Account. | An audited record of the financial outturn is presented to the legislature within six months of the end of the fiscal year | Audit reports not produced within 6 months of each financial year | NAO report on FY04/05 to Parliament by 02/06 | Source: Consolidated accounts for FY 2004/05 – MoF In line with Public Audit Act passed in August 2003. The process of public account scrutiny has suffered important delays in the past. The target is identical with IMF SMP structural benchmark no 2.3 (8 month from end of FY is a realistic target for FY04/05 given background (as opposed to 6 months in PFM act). |
| 21 | Accounting & | Share of GM bank accounts | 2002/03: 21% | 40% for | Source: MoF/Accountant General's Department on a monthly basis. The corresponding |

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| | Reporting | supported by bank reconciliation on a monthly basis | | 2003/04, 57% for 2004/05 85% for 2005/06 | HIPC AAP indicator is “Satisfactory reconciliation of fiscal and banking records is undertaken routinely” |
| 22 | Governance | More effective prosecution and prevention of corruption cases with more emphasis on grand corruption | Few Management Accountability workshops and institutional audits are currently carried out | 75% of Management Accountability Workshops (MAWs) and Institutional Audits are with organisations prioritised as being at high risk of corruption. 60% of ACB recommendations following MAWs/Audits implemented by public/private. 12 MAWs and Audits conducted annually | Source: Anti- Corruption Bureau (Periodic Monitoring and Evaluation Reports, Workshop Reports, Feedback from Institutions, Audit Reports). These indicators have been extracted from the Anti Corruption Bureau Strategic Framework Annex A. |
| | Investigations | Specialised teams formed Investigations completed | Specialised teams operational 80 % of investigations dockets completed within 90 days of file being allocated to an officer | 5 specialised teams complete 520 investigations conducted within 12 months | 5 Specialised teams formed 400 investigations completed in 12 months |
| | Prosecutions and Legal Department | Prosecutions conducted | 50 per cent of all cases completed and taken to court within 30 days of completing | 200 cases recommended for prosecutions in 12 months | 140 cases brought to court for trial and completed in 12 months |

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| | | | investigations | | |