



department for
**culture, media
and sport**

EXPORT of OBJECTS of CULTURAL INTEREST 2008-09

Appendices

Appendix A

History of export controls in the UK

The reasons for controlling the export of what are now known as cultural goods were first recognised in the UK at the end of the 19th and beginning of the 20th centuries. Private collections in the UK had become the prey of American and German collectors and it was apparent that many were being depleted and important works of art sold abroad at prices in excess of anything that UK public collections or private buyers could afford. It was against this background that the National Art Collections Fund was established in 1903, to help UK national and provincial public collections to acquire objects that they could not afford by themselves.

Until 1939 the UK had no legal controls on the export of works of art, books, manuscripts and other antiques. The outbreak of the Second World War made it necessary to impose controls on exports generally in order to conserve national resources. As part of the war effort, Parliament enacted the Import, Export and Customs Powers (Defence) Act 1939, and in addition the Defence (Finance) Regulations, which were intended not to restrict exports but to ensure that, when goods were exported outside the Sterling Area, they earned their proper quota of foreign exchange. In 1940, antiques and works of art were brought under this system of licensing.

In 1950 the then Labour Chancellor of the Exchequer, Sir Stafford Cripps, established a committee under the Chairmanship of the First Viscount Waverley "to consider and advise on the policy to be adopted by His Majesty's Government in controlling the export of works of art, books, manuscripts, armour and antiques and to recommend what arrangements should be made for the practical operation of policy". The Committee reported in 1952 to R A Butler, Chancellor in the subsequent Conservative administration, and its conclusions still form the basis of the arrangements in place today.

Current export controls

The export controls are derived from both UK and EU legislation. The UK statutory powers are exercised by the Secretary of State under the Export Control Act 2002. Under the Act, the Secretary of State for Culture, Media and Sport has made the Export of Objects of Cultural Interest (Control) Order 2003. Export Controls are also imposed by Council Regulation (EEC) No 3911/92 as amended, on the export of cultural goods. The control is enforced by HM Revenue and Customs on behalf of the Department for Culture, Media and Sport (DCMS). If an item within the scope of the legislation is exported without an appropriate licence, the exporter and any other party concerned with the unlicensed export of the object concerned may be subject to penalties, including criminal prosecution, under the Customs and Excise Management Act 1979.

The Reviewing Committee on the Export of Works of Art and Objects of Cultural Interest

An independent Reviewing Committee on the Export of Works of Art was first appointed in 1952 following the recommendations of the Waverley Committee. It succeeded an earlier committee of the same name established in 1949, comprising museum directors and officials, which heard appeals against refusals and, from 1950, all cases where refusals were recommended. The Committee's terms of reference, as set out in the Waverley Report, were:

- i) to advise on the principles which should govern the control of export of works of art and antiques under the Import, Export and Customs Powers (Defence) Act 1939
- ii) to consider all the cases where refusal of an export licence for a work of art or antique is suggested on grounds of national importance
- iii) to advise in cases where a Special Exchequer Grant is needed towards the purchase of an object that would otherwise be exported

iv) to supervise the operation of the export control system generally.

These were subsequently revised following the recommendations of the Quinquennial Review, which also recommended that the Committee's name be expanded by adding 'and Objects of Cultural Interest'. (See Appendix B for revised terms of reference.)

The Committee is a non-statutory independent body whose role is to advise the Secretary of State whether a cultural object which is the subject of an application for an export licence is of national importance under the Waverley criteria (so named after Viscount Waverley), which were spelt out in the conclusions of the Waverley Report. The Committee consists of eight full members, appointed by the Secretary of State for Culture, Media and Sport, seven of whom have particular expertise in one or more relevant fields (paintings, furniture, manuscripts etc), and a Chairman. A list of members during the year covered by this report is at the front of this report and brief details of members are included at Appendix C.

The Waverley criteria

The Waverley criteria are applied to each object the Committee considers.

History	Aesthetics	Scholarship
Is it so closely connected with our history and national life that its departure would be a misfortune?	Is it of outstanding aesthetic importance?	Is it of outstanding significance for some particular branch of art, learning or history?
Waverley 1	Waverley 2	Waverley 3

The categories are not mutually exclusive and an object can, depending on its character, meet one, two, or three of the criteria.

The Committee reaches a decision on the merits of any object which the relevant expert adviser draws to its attention.

A hearing is held at which both the expert adviser and the applicant submit a case and can question the other party. The permanent Committee members are joined for each hearing by independent assessors (usually three), who are acknowledged experts in the field of the object under consideration. They temporarily become full members of the Committee for the duration of consideration of the item in question.

If the Committee concludes that an item meets at least one of the Waverley criteria, its recommendation is passed on to the Secretary of State. The Committee also passes on an assessment of the item's qualities and a recommendation as to the length of time for which the decision on the export licence should be deferred, to provide UK institutions and private individuals with a chance to raise the money to purchase the item to enable it to remain in this country. It is the Secretary of State who decides whether an export licence should be granted or whether it should be deferred, pending the possible receipt of a suitable matching offer from within the UK which will lead to the refusal of the licence if it is turned down.

Since the Committee was set up in 1952, many important works of art have been retained in the UK as a result of its intervention. These embrace many different categories and, to take an illustrative selection, include paintings such as Titian's *The Death of Actaeon* (1971), Raphael's *The Madonna of the Pinks* (2004) and, from the British school, Reynolds' *The Archers* (2005). Also sculpture – including *The Three Graces* by Canova (1993); antiquities – for example a 'jadeite' Neolithic axe-head brought into Britain c.4000 BC (2007); porcelain – a 102-piece Sevres Dinner Service presented to the Duke of Wellington (1979); furniture – a lady's secretaire by Thomas Chippendale (1998); silver – a Charles II two-handled silver porringer and cover, c. 1660, attributed to

the workshop of Christian van Vianen (1999); textiles – a felt appliqué and patch-worked album coverlet made by Ann West in 1820 (2006) and manuscripts, for example the Foundation Charter of Westminster Abbey (1980) and the *Macclesfield Psalter* (2005). This short list clearly demonstrates the immense cultural and historic value of what has been achieved.

Unfortunately, and perhaps almost inevitably, some have got away. Noteworthy examples include *David Sacrificing before the Ark* by Rubens (1961), *A Portrait of Juan de Pareja* by Velasquez (1971), *Sunflowers* by Van Gogh (1986), and *Portrait of an Elderly Man* by Rembrandt (1999). Among items other than pictures that were exported are The Burdett Psalter (1998), The World History of Rashid al-Din (1980), The Codex Leicester by Leonardo da Vinci (1980), and the *Jenkins* or 'Barberini' *Venus* (2003) which are all of the highest quality in their field. By any measure these are all losses to the UK of items of world significance.

Appendix B

Terms of reference of the Reviewing Committee on the Export of Works of Art and Objects of Cultural Interest

The Committee was established in 1952, following the recommendations of the Waverley Committee in its report in September of that year. Its terms of reference are:

- i)** to advise on the principles which should govern the control of export of objects of cultural interest under the Export Control Act 2002 and on the operation of the export control system generally

- ii)** to advise the Secretary of State on all cases where refusal of an export licence for an object of cultural interest is suggested on grounds of national importance

- iii)** to advise in cases where a special Exchequer grant is needed towards the purchase of an object that would otherwise be exported.

Appendix C

Membership of the Reviewing Committee on the Export of Works of Art and Objects of Cultural Interest during 2008-09

LORD INGLEWOOD (CHAIRMAN)

Lord Inglewood, previously Richard Vane, has been called to the Bar and is also a Chartered Surveyor. Between 1989-94 and 1999-2004 he was Conservative Spokesman on Legal Affairs in the European Parliament. He has chaired the Development Control Committee of the Lake District Planning Board and is Chairman of Cumbrian Newspaper Group, and of Carr's Milling Industries plc. He was Parliamentary Under Secretary of State in the Department of National Heritage between 1995-97. In 1999 he was elected an hereditary member of the House of Lords, and a fellow of the Society of Antiquaries (FSA) in 2003. He owns and lives at Hutton-in-the-Forest, his family's historic house in Cumbria.

Appointed 1 December 2003;
appointment expires 30 November 2011

PROFESSOR DAVID EKSERDJIAN

Professor of the History of Art and Film, University of Leicester. He is an expert on Italian renaissance paintings and drawings and the author of *Correggio* (1997) and *Parmigianino* (2006). Formerly a Fellow of Balliol College Oxford (1983-86) and Corpus Christi College, Oxford (1987-91), he worked in the Old Master Paintings and Master Drawings departments at Christie's in London from 1991-97, and, in addition, from 1992 was the Head of European Sculpture and Works of Art Department there. He was editor of *Apollo* magazine from 1997-2004. He has organised and contributed to the catalogues of numerous exhibitions, including *Old Master Paintings from the Thyssen-Bornemisza Collection* (Royal Academy, 1988) and *Andrea Mantegna* (Royal Academy, London and Metropolitan Museum of Art, New York, 1992). In 2004 he was made an Honorary Citizen of the town of Correggio. In September 2006 he became a Trustee of the National Gallery, and since 2008 he has been the National Gallery's Liaison Trustee on the Tate Board.

Appointed 14 November 2002;
appointment expires 13 November 2010

JOHNNY VAN HAEFTEN

Chairman and Managing Director of Johnny Van Haeften Ltd, the gallery specialising in 17th-century Dutch and Flemish Old Master pictures, which he has run for 32 years, since leaving Christie's. He is also on the Board of Trustees and the Executive Committee of The European Fine Art Foundation and is an adviser to the Fine Art Fund. He was Vice Chairman of the Society of London Art Dealers, is a former council member of the British Antique Dealers Association, and a former Chairman of Pictura, the pictures section of the European Fine Art Fair in Maastricht.

Appointed 28 June 2001;
appointment expires 2 June 2011

SIMON SWYNFEN JERVIS

Currently a Director and Trustee of *The Burlington Magazine*, Chairman of the Furniture History Society, Chairman of the Walpole Society and Chairman of the Leche Trust. He previously held the posts of Acting Keeper and then Curator of the Department of Furniture at the Victoria and Albert Museum, before becoming Director of the Fitzwilliam Museum, Cambridge (1989-95). He then served as Director of Historic Buildings at the National Trust (1995-2002). He is also an Honorary Vice President of the Society of Antiquaries of London, a Life Trustee and currently Chairman of the Trustees of Sir John Soane's Museum, and a member of the Advisory Council of The Art Fund.

Appointed 10 April 2007;
appointment expires 9 April 2011

DR CATHERINE JOHNS

Former curator of the Romano-British collections at the British Museum. She was trained in prehistoric and Roman Archaeology, and has published and lectured extensively, especially on Roman provincial art, jewellery and silver. Her publications include *Sex or Symbol; erotic images of Greece and Rome* (1982), *The jewellery of Roman Britain* (1996), *Horses: History, Myth, Art* (2006), *Dogs: History, Myth, Art* (2008), museum catalogues of Roman treasure finds, and more than a hundred articles in scholarly journals. She has served on the committees of the Society of Antiquaries, the Roman Society, and the British Archaeological Association, and is a former Chair of the Society of Jewellery Historians.

Appointed 19 February 2003;
appointment expires 18 February 2011

TIM KNOX

Director of Sir John Soane's Museum from 1 May 2005. Head Curator of the National Trust from 2002-05 and its Architectural Historian previously. Between 1989 and 1995 he was Assistant Curator at the Royal Institute of British Architects Drawings Collection. He is a Trustee of the Pilgrim Trust and of the Stowe House Preservation Trust. He was appointed Historic Buildings Adviser to the Foreign and Commonwealth Office in 2005 and is a member of the Conseil scientifique de l'établissement public du musée et du domaine national de Versailles. He was a founding member of the Mausolea and Monuments Trust, its Chairman 2000-05, and its Patron since 2008. He regularly lectures and writes on aspects of architecture, sculpture and the history of collecting, most recently a monograph on *Sir John Soane's Museum, London* (2009).

Appointed 14 March 2002;
appointment expires 13 March 2010

PROFESSOR PAMELA ROBERTSON

Senior Curator of the Hunterian Art Gallery, University of Glasgow (since 1998). She was appointed Professor of Mackintosh Studies in 2003. She is a Fellow of the Royal Society of Edinburgh, a Governor of the Glasgow School of Art, and a Vice-Convenor of the Interiors and Collections Advisory Panel of the National Trust for Scotland. Previously, she was a member of the Historic Buildings Council for Scotland (1998-2002) and Chair of the Charles Rennie Mackintosh Society (2003-06). She has organised a range of exhibitions and her publications include *Charles Rennie Mackintosh: The Architectural Papers* (ed. 1990); *Charles Rennie Mackintosh: Art is the Flower* (1995); *The Chronycle: The Letters of C.R. Mackintosh to Margaret Macdonald Mackintosh* (2001) and *Doves and Dreams: The Art of Frances Macdonald and J. Herbert McNair* (2006).

Appointed 2 December 2003;
appointment expires 30 November 2011

DR CHRISTOPHER WRIGHT

Dr Christopher Wright joined the Department of Manuscripts, British Library, in 1974 and was Head of Manuscripts from 2003 until his retirement in October 2005. He is a Fellow of the Society of Antiquaries (2002) and a Fellow of the Royal Historical Society (1982). His publications include *George III* (2005) and, as editor, *Sir Robert Cotton as Collector: Essays on an Early Stuart Courtier* (1997). From 1989 to 1999, he was editor of the *British Library Journal*. He served as a Trustee of the Sir Winston Churchill Archives Trust, Cambridge (2001-05) and was on the Council of the Friends of the National Libraries (2003-06). From August 2005 he has been a Trustee of 'The Handwriting of Italian Humanists'. In October 2005, he was appointed to the Acceptance in Lieu Panel of the Museums Libraries and Archives Council.

Appointed 20 November 2006;
appointment expires 19 November 2010

Appendix D

List of independent assessors who attended meetings during 2008-09

William Agnew , W Agnew & Co Ltd	Case 4
Charles Beddington , Charles Beddington Ltd	Case 13
Paul Bidwell , Senior Manager, Tyne & Wear Museums Archaeology	Case 20
Professor John Bowen , Department of English and Related Literature, University of York	Case 9
Dr Iain Brown , Principal Manuscripts Curator, National Library of Scotland	Case 2 & 9 & Manuscript case
Peter Brown , Director, Fairfax House	Case 14
Anne Buddle , Head of Collections Management, National Galleries of Scotland	Case 22
Dr Michael Burden , Dean, Reader in Music, and Fellow in Opera Studies, New College Oxford	Case 7
Dr Ewan Campbell , Senior Lecturer, Advisor of Studies, University of Glasgow	Case 19
Alison Carter , Senior Keeper of Art and Design, Historic Dress and Textiles, Hampshire Museums & Archives Service	Cases 15 & 16
Mrs. Elizabeth Conran OBE , formerly Curator, Bowes Museum	Case 12
Professor Rosemary Cramp , Emeritus Professor of Archaeology, University of Durham	Case 19
Aileen Dawson , Curator, Department of Prehistory and Europe, The British Museum	Case 5
James Ede , Charles Ede Ltd	Case 20
Dr. Mark Evans , Senior Curator of Paintings, Victoria and Albert Museum	Case 12
Dr Emma Francis , Department of English, University of Warwick	Manuscript case
Oliver Fairclough , Keeper of Art, Amgueddfa Cymru – National Museum Wales	Case 11
David Ganz , Professor of Palaeography, King's College London	Case 18
Philippa Glanville , Independent Silver Scholar	Case 1

Gareth Griffiths , Director of British Empire & Commonwealth Museum	Case 22
John Griffiths , formerly Curator of Horology, Prescot Museum	Case 6
Dr John Guy , Fellow, University of Cambridge	Case 21
Adam Gwilt , Curator of the Bronze & Iron Age Collections, Amgueddfa Cymru – National Museum Wales	Case 17
Rosemary Harden , Fashion Museum, Bath	Cases 15 & 16
Dr Marjory Harper , Reader, School of Divinity, History and Philosophy, University of Aberdeen	Case 2
Jonathan Harris , retired from Harris Lindsay Ltd	Case 14
Dr Martin Henig , Institute of Archaeology, Oxford University	Case 20
Peter Hughes , formerly Head Curator, Wallace Collection	Case 10
Colin Haselgrove , Head of School of Archaeology and Ancient History, University of Leicester	Case 17
Colin Harrison , Assistant Keeper, Ashmolean Museum	Case 3
Ted Hofmann , Bernard Quaritch Ltd	Case 9
Alastair Laing , Adviser on Pictures and Sculptures, The National Trust	Case 4 & 5
Martin Levy , Chairman of H. Blairman & Sons	Case 10, 11 & 14
Lowell Libson , Lowell Libson Ltd	Case 12
Stephen Lloyd , Senior Curator, Scottish National Galleries	Case 13
Jonathan Marsden , Deputy Surveyor of the Queen's Works of Art	Case 4
Daniel Morris , Corfield Morris	Case 11
Francis Norton , SJ Philips Ltd	Case 1
Anthony Payne , Independent Book Antiquarian	Case 21

Nicholas Poole-Wilson , Bernard Quaritch Ltd	Case 7
Professor Sir Curtis Price , Principal, Royal Academy of Music	Case 7
Simon Ray , Simon Ray Indian & Islamic Works of Art	Case 22
Dr Mark Redknap , Curator of Medieval & Later Archaeology, Amgueddfa Cymru – National Museum Wales	Case 19
Dr Nigel Rigby , Head of Research, National Maritime Museum	Case 1
Derek Roberts , retired Antiquarian, Horologist and Curator	Case 6
Sir Hugh Roberts , Director of the Royal Collection, Surveyor of the Queen’s Works of Art	Case 10
Professor Nicholas Rodger , Senior Research Fellow, All Souls College, Oxford	Case 21
David Scrase , Assistant Director, Collections, The Fitzwilliam Museum	Case 8
Professor David Solkin , Dean and Deputy Director, Courtauld Institute of Art	Case 3
Kerry Taylor , Kerry Taylor Auctions	Cases 15 & 16
Michael Tollemache , Michael Tollemache Fine Art	Case 3
Joanna van der Lande , Independent, ex-Bonhams	Case 17
Rowan Watson , Senior Curator, National Art Library, Victoria and Albert Museum	Case 18
Catherine Whistler , Senior Assistant Keeper, Ashmolean Museum	Case 13
Sir Christopher White , formerly Director, Ashmolean Museum	Case 8
Clovis Whitfield , Whitfield Fine Art	Case 8
John Wilson , John Wilson Manuscripts Ltd	Case 18 & Manuscript Case
John Winter , Trinity Fine Art	Case 5
Joan Winterkorn , Bernard Quaritch Ltd	Case 2
Anthony Woodburn , Anthony Woodburn Fine Antique Clocks	Case 6

Appendix E

Value of items placed under deferral (1999-2000 to 2008-09) (i) for which permanent licences were issued and (ii) where items were purchased by UK institutions or individuals

(1) Year	(2) Value of items where a decision on the licence application was deferred (£m)	(3) Value (at deferral) of cases in (2) where items were licensed for export (£m)	(4) Value of items in (3) as % of (2)	(5) Value of items in (2) that were not licensed for export (£m)	(6) Value (at deferral) of cases in (2) where items were purchased by UK institutions or individuals ¹ (£m)	(7) Value of items in (6) as % of (2)
1999-2000	9.5	5.0	53	4.5 ²	0.5	5
2000-01	19.3	12.6	65	6.6 ³	3.7	19
2001-02	18.9 ⁴	11.4 ⁵	60	7.5 ⁶	5.4 ⁷	29
2002-03	74.9	23.2	31	51.7 ⁸	39.2	52
July 2003- April 2004	7.7	1.0	13	6.8	6.8	88
2004-05	46.4	30.2	65	16.2 ⁹	5.8	13
2005-06	15.6	7.3	47	8.3	8.3	53
2006-07	24.5	10.7 ¹⁰	43	11.8	7.0	29
2007-08	15.3	12.8	84	2.5	1.4	9
2008-09	15.7	14.2	90	1.5	1.5	10
Totals	248.7	128.4	51.8	117.4	79.6	32.1

¹ This only includes items purchased by individuals who agreed to guarantee satisfactory public access, conservation and security arrangements.

² Includes value of four cases (£4,060,642.50) where the licence application was withdrawn during the deferral period.

³ Includes value of four cases (£2,964,362.50) where the licence application was withdrawn during the deferral period.

⁴ Excludes one case where the item was originally found to be Waverley but subsequently found to have been exported into the UK within the last 50 years.

⁵ Excludes value of one case (£2,000,000) where a licence was issued, but the owner subsequently sold the items to a UK institution and one case (£65,868.75) where it was subsequently discovered the items had not been in the UK for 50 years, so a licence was issued in accordance with normal policy.

⁶ Includes value of two cases (£237,607.50) where a matching offer was refused and the Secretary of State therefore refused an export licence, one case (£2,000,000) where a licence was issued but the owner subsequently sold the items to a UK institution and one case (£1,815,750) where the licence application was withdrawn although no matching offer was made.

⁷ Includes value of one case (£2,000,000) where a licence was issued but the owner subsequently sold the item to a UK institution.

⁸ Includes value of two cases (£12,543,019.38) where a matching offer was refused and the Secretary of State therefore refused an export licence.

⁹ Includes value of five cases (£10,422,776) where the application was withdrawn during the deferral period.

¹⁰ Excludes one case where the item was originally found to be Waverley but subsequently found to have been exported into the UK within the last 50 years.

Appendix F

Items licensed for export after reference to expert advisers as to national importance:
1 May 2008 – 30 April 2009

Category	Advising authority	No of items	Total value (£)
Arms and armour	Royal Armouries, Leeds, Associate Director	12	637,131
Books, maps etc	British Library, Keeper of Printed Books, Head of Map Collections	44	7,704,330
Books (natural history)	Royal Botanic Gardens, Kew, Head of Library and Archives	1	25,000
Clocks and watches	British Museum, Keeper of Clocks and Watches	27	4,930,868
Coins and medals	British Museum, Keeper of Coins and Medals	752	1,657,405
Drawings: architectural, engineering and scientific	Victoria and Albert Museum, Keeper of Word & Image Department	136	50,471,700
Drawings, prints, water-colours	British Museum, Keeper of Prints and Drawings	255	73,658,506
Egyptian antiquities	British Museum, Keeper of Egyptian Antiquities	7	4,836,598
Ethnography	British Museum, Keeper of Ethnography	38	9,722,432
Furniture and woodwork	Victoria and Albert Museum, Keeper of Furniture Textiles & Fashion Department	154	42,128,74
Greek and Roman antiquities	British Museum, Keeper of Greek and Roman Antiquities	11	5,869,457
Indian furniture	Victoria and Albert Museum, Senior Curator of Asian Department, South & South East Asian Collection	1	80,86
Japanese antiquities	British Museum, Department of Asia	9	1,025,500
Manuscripts, documents and archives	British Library, Curator, Department of Manuscripts	1,465	127,213,057
Maritime material, including paintings	National Maritime Museum, Director of Collections	10	545,000
Oriental antiquities (except Japanese)	British Museum, Department of Asia	53	15,848,469
Oriental furniture	Victoria and Albert Museum, Senior Curator of Asian Department, Chinese Collection	44	12,398,095
Paintings, British, modern	Tate Gallery	296	252,276,745
Paintings, foreign	National Gallery, Director	232	498,191,923
Paintings, miniature	Victoria and Albert Museum, Senior Curator of Painting Section, Word & Image Department	16	444,687
Paintings, portraits of British persons	National Portrait Gallery, Director	62	18,377,636
Photographs	National Media Museum, Head	41	8,237,005
Pottery	Victoria and Albert Museum, Head of Ceramics and Glass Department	33	3,740,961

Category	Advising authority	No of items	Total value (£)
Prehistory & Europe (inc. archaeological material, Medieval and later antiquities & metal detecting finds)	British Museum, Keeper of Prehistory and Europe Department of Portable Antiquities and Treasure (metal detecting finds)	19,087	12,678,733
Scientific and mechanical material	Science Museum, Head of Collections	4	809,388
Sculpture	Victoria and Albert Museum, Senior Curator of Sculpture, Metalwork, Ceramics and Glass Department Tate Gallery (20th-century Sculpture)	79	63,519,985
Silver and weapons, Scottish	National Museum of Scotland, Director	0	-
Silver, metalwork and jewellery	Victoria and Albert Museum, Senior Curator of Sculpture, Metalwork, Ceramics and Glass Department	168	36,671,619
Tapestries, carpets (and textiles)	Victoria and Albert Museum, Senior Curator of Furniture, Textiles and Fashion Department	36	2,874,517
Toys	Bethnal Green Museum of Childhood, Head	0	-
Transport	Heritage Motor Centre	30	17,937,499
Wallpaper	Victoria and Albert Museum, Senior Curator of Prints Section, Word & Image Department	0	-
Western Asiatic antiquities	British Museum, Keeper of Ethnography	19	4,728,998
Zoology	Natural History Museum, Director of Science (stuffed specimens)	0	-
Totals		23,123	1,279,318,431

Appendix G

Applications considered and deferred on the recommendation of Reviewing Committee on the Export of Works of Art and Objects of Cultural Interest: 1999-2000 to 2008-09

Year	Number of Waverley items granted a permanent export licence	Value of Waverley items granted a permanent export licence (£)	Number of Waverley items purchased during deferral	Total amount spent on Waverley items purchased during deferral (£)	Number of Waverley items supported by HLF/NHMF	Support by HLF/NHMF (£)	Number of Waverley items supported by The Art Fund	Support by The Art Fund (£)	Number of Waverley items supported by MLA/V&A Purchase Grant Fund	Support by MLA/V&A Purchase Grant Fund (£)
1999-2000	3	5,024,833	6	491,027	2	140,100	3	131,500	2	42,290
2000-01	7	12,367,972	23 ¹	3,168,087	6	1,780,630 ²	7	690,701 ³	2	5,012
2001-02	5 ⁴	11,436,169	21	2,944,208	18	1,627,956	19	69,395	3	78,000
2002-03	9	23,191,548	12	26,173,106	7	14,283,115	9	905,184	1	30,000
July 2003-April 2004	2	1,000,000	5	2,237,604	1	110,000	2	79,000	1	40,000
2004-05	10	30,193,090	10	5,825,135	4	2,577,000	4	975,000	1	3,500
2005-06	8	7,285,012	9	8,278,510	4	855,200	5	308,330	3	32,330
2006-07	5 ⁵	10,709,778	12	7,009,075	4	1,944,032	3	700,275	2	40,000
2007-08	7	12,770,031	8	1,431,256	6	471,986	6	248,750	2	50,000
2008-09	7	14,186,010	9	1,521,684	2	378,000	10	329,277	7	118,500

¹ Including a series of 13 related finds.

² Offers of grants were made for a further two items by the NHMF. In both cases, the licence applications were withdrawn.

³ Offers of grants were made for a further two items by The Art Fund. In both cases, the licence applications were withdrawn.

⁴ A licence was issued for a further item, but it was subsequently sold to a UK institution.

⁵ Includes one item where the licence was issued following receipt of satisfactory proof that it had been imported into the UK within the last 50 years.

Year	Item	Purchaser	Price (£)	Support by HLF/NHMF (£)	Support by The Art Fund (£)	Support by MLA/V&A Purchase Grant Fund (£)
1999-2000	A manuscript, the <i>Swan Roll</i> , c.1500	Norfolk Record Office	34,870	0	0	17,290
1999-2000	A Romano-British pottery vessel, AD 200-250	British Museum	3,850	0	0	0
1999-2000	An Anglo-Saxon silver gilt and niello mount from a sword scabbard	British Museum	9,000	0	4,500	0
1999-2000	An English hand-knotted carpet, c.1600	Burrell Collection	297,969.56	0	75,000	0
1999-2000	A George III period metal mounted and stained beech model of a <i>Carronade</i> , a type of gun-howitzer, 1779	Falkirk Council Museum Services	43,000	0	0	0
1999-2000	A pastel portrait, <i>One of the Porters of the Royal Academy</i> , by John Russell RA (1745-1806)	Samuel Courtauld Trust	102,337.50	0	52,000	25,000
2000-01	Archival papers of Sir James Mackintosh (1765-1832)	British Library	115,000	0	0	0
2000-01	A parcel-gilt reliquary figure of Saint Sebastian, dated 1497	Victoria & Albert Museum	1,455,536.27	(NHMF) 1,111,530	282,947	0
2000-01	A Roman agate intaglio engraved with the bust of Octavian as Mercurius, known as the <i>Ionides Octavian Gem</i> , 35-25 BCE	British Museum	240,914.09	0	96,000	0
2000-01	A German armorial travelling desk, dated 1683	Victoria & Albert Museum	120,719.17	(NHMF) 58,400	34,247	0
2000-01	A George II mahogany hall chair made for Richard Boyle, third Earl of Burlington, c.1730	English Heritage	169,093.75	(NHMF) 85,000	45,000	0
2000-01	A series of letters by George Eliot (1819-80)	British Library	17,918.75	0	0	0
2000-01	The personal archive of Charles Francis Annesley Voysey (1857-1941)	Royal Institute of British Architects	25,000	(HLF) 18,700	0	3,550
2000-01	Middle Bronze Age palstave axe heads from the Marnhull hoard, Dorset, 1400-1250 BCE	Dorset County Museum	3,215	0	0	1,462
2000-01	An Egyptian limestone relief, c.1295-1069 BCE	British Museum	82,507	0	82,507	0
2000-01	A Roman marble statue of a Molossian hound, called <i>The Dog of Alcibiades</i> , second century AD	British Museum	679,683.14	(HLF) 362,000	100,000	0

Year	Item	Purchaser	Price (£)	Support by HLF/NHMF (£)	Support by The Art Fund (£)	Support by MLA/V&A Purchase Grant Fund (£)
2000-01	Three English 15th-century wooden figures	Victoria & Albert Museum	258,500	(HLF) 145,000	50,000	0
2001-02	A watercolour, <i>Near Beddgelert (A Grand View of Snowdon)</i> , by Thomas Girtin, c.1799	National Museums and Galleries of Wales	300,000	0	70,000	0
2001-02	A bronze and ormolu hanging light by James Deville (1776-1846), from Gawthorpe Hall	National Trust	110,568.75	0	47,784	15,000
2001-02	A pair of George III carved stone sphinxes	Birmingham Museums and Art Gallery	285,485.25	(HLF) 117,500	79,936	43,000
2001-02	A drawing, <i>Study for the Institution of the Eucharist</i> , by Federico Barocci (1528/35-1612)	Fitzwilliam Museum	945,000	(HLF) 700,000	225,000	0
2001-02	Albumen prints and glass negatives by Charles Lutwidge Dodgson, 'Lewis Carroll' (1832-98)	National Museum of Photography, Film and Television/National Portrait Gallery	582,919.38	(NHMF) 471,500	100,000	0
2001-02	Two Late Bronze Age gold hair rings, c.1100-750 BCE	Ashmolean Museum	4,700	0	0	0
2001-02	Pair of George II walnut upholstered side chairs by William Hallett	Leeds Museums and Galleries for Temple Newsam House	70,050	(HLF) 20,000	10,000	20,000
2001-02	The Kelso Archive, c.1750-1850	Scottish Borders Council	59,010	(HLF) 36,600	0	0
2001-02	The Archive of Walter Crane (1845-1915)	Whitworth Art Gallery and John Rylands Library of the University of Manchester	376,475	(HLF) 282,356	36,675	0
2001-02	A 15th-century Middle English physician's handbook	Wellcome Trust	210,000	0	0	0
2002-03	A pair of George IV ormolu and mother of pearl black and gilt japanned papier-mache vases by Jennens and Bettridge, the mounts attributed to Edward Holmes Baldock	Temple Newsam House, Leeds	185,000	(HLF) 95,000	35,000	30,000
2002-03	Meissen porcelain figure of a crouching king vulture	Victoria and Albert Museum	510,688	(HLF) 383,000	75,000	0
2002-03	A miniature photo album by Mary Dillwyn	National Library of Wales	49,165	0	9,165	0
2002-03	A portrait, <i>The Lieutenant General, the Hon. Robert Monckton</i> , by Benjamin West	National Army Museum	539,130.95	(HLF) 349,436	0	0

Year	Item	Purchaser	Price (£)	Support by HLF/NHMF (£)	Support by The Art Fund (£)	Support by MLA/V&A Purchase Grant Fund (£)
2002-03	A Roman well-head, the Guilford Puteal, c.100 BCE	British Museum	294,009.30	0	108,000	0
2002-03	An armchair and dressing table by Marcel Breuer	Victoria and Albert Museum	Chair 41,790 Table 44,248	0	43,019 for both	0
2002-03	A portrait, <i>Richard Arkwright junior with his wife Mary and daughter Anne</i> , by Joseph Wright of Derby	Derby Museum and Art Gallery	1,217,500	(HLF) 999,500	55,000	0
2002-03	Letters and diaries of Claudius James Rich (1787-1821)	British Library	61,575	0	0	0
2002-03	A miniature of the nativity, attributed to Jean Bourdichon	Victoria and Albert Museum	250,000	(NHMF) 187,500	30,000	0
2002-3	A bronze incense burner attributed to Desiderio da Firenze	Ashmolean museum	980,000	(NHMF) 768,679	150,000	0
2002-03	A painting by Raphael, <i>The Madonna of the Pinks</i>	National Gallery	22,000,000	(HLF) 11,500,000	400,000	0
2003-04	A Regency carved mahogany centre table designed by Thomas Hope for his house in Duchess Street, c.1805	Victoria and Albert Museum	100,000	0	44,000	0
2003-04	A painting by Annibale Carracci, <i>The Holy Family with the Infant Saint John the Baptist ('The Montalto Madonna')</i> , 1597-1600	National Gallery	805,280	0	0	0
2003-04	Four silver wine coolers: one pair by Robert Joseph Auguste of Paris and one pair by Parker & Wakelin of London	Private Purchaser	1,098,513.68	0	0	0
2003-04	A Siena marble table made for William Beckford.	Beckford Tower Trust	220,000	110,000	35,000	40,000
2003-4	The Archive of G King & Son	Norwich Town Close Estate Charity	13,810	0	0	0
2004-5	A linen doublet, 1650s	National Museums of Scotland	25,935	0	0	0
2004-5	An Iron Age coin	British Museum	2,000	0	0	0
2004-5	The Macclesfield Psalter	Fitzwilliam Museum	1,685,600	(NHMF) 860,000	500,000	0
2004-5	A multi-gem Cartier bandeau	Private purchaser	300,000	0	0	0
2004-5	A pink satin and black bugle beaded bodice	Manchester City Galleries	12,350	0	0	3,500
2004-5	A marble sculpture by Benedetto Pistrucchi	The Rothschild Foundation	176,250	0	0	0

Year	Item	Purchaser	Price (£)	Support by HLF/NHMF (£)	Support by The Art Fund (£)	Support by MLA/V&A Purchase Grant Fund (£)
2004-5	The Melchett cast-iron fire basket	Victoria and Albert Museum	66,000	0	25,000	0
2004-5	A drawing of Mary Hamilton by Sir Thomas Lawrence	Victoria and Albert Museum	165,000	(NHMF) 75,000	50,000	0
2004-5	A painting by Sir Joshua Reynolds, <i>The Archers</i>	Tate	3,200,000	(NHMF) 1,600,000	400,000	0
2004-5	A Charles II silver dish	Royal College of Physicians	192,000	(NHMF) 42,000	0	0
2005-6	An Anglo-Saxon gold coin of King Coenwulf of Mercia	The British Museum	357,832	(NHMF) 225,000	60,000	0
2005-6	Seven silver pieces (six off-cuts from silver ingots and a stamped arm-ring fragment)	The Ulster Museum	1,000	0	0	0
2005-6	A medieval bronze jug	Luton Museums Service	750,000	(NHMF) 568,000	137,500	0
2005-6	The Codex Stosch	The British Architectural Library	274,418	0	100,000	0
2005-6	A pair of paintings by Giovanni Antonio II Canaletto, <i>View of the Grand Walk, Vauxhall</i> and <i>The Rotunda, Ranelagh</i>	Compton Verney	6,000,000	0	0	0
2005-06	The silver cup by Solomon Hougham presented to Captain Philip Bowes Vere Broke	Ipswich Borough Council Museums Service	84,000	(HLF) 42,000	0	30,000
2005-06	A medieval figure of a bronze equestrian knight	The Bassetlaw Museum	34,000	(HLF) 20,200	10,000	1,200
2005-06	A Roman millefiori disc	Oxfordshire Museums Service	2,260	0	830	1,130
2005-06	A portrait of Louis XVI by Callet in a frame by Buteux	The Alice Trust	775,000	0	0	0
2006-07	The archive of Reverend William Gunn	Norfolk Record Office	83,050	(HLF) 50,000	0	£15,000
2006-07	Anglo-Saxon gilded mount with interlace decoration	The Fitzwilliam Museum	7,000	0	0	0
2006-07	Anglo-Saxon great square-headed brooch	National Museums Liverpool	15,000	(HLF) 7,500	0	0
2006-07	A watercolour painting by J M W Turner, <i>The Blue Rigi, Lake of Lucerne, Sunrise, 1842</i>	Tate	5,832,000	(NHMF) 1,950,000	500,000	0
2006-07	A collection of manuscript and printed maps cut as jigsaws and housed in a mahogany cabinet	Historic Royal Palaces & V&A Museum of Childhood	120,000	0	120,000	0
2006-07	An 18th-century mantua and petticoat	Historic Royal Palaces	80,275	0	80,275	0

Year	Item	Purchaser	Price (£)	Support by HLF/NHMF (£)	Support by The Art Fund (£)	Support by MLA/V&A Purchase Grant Fund (£)
2006-07	A felt appliqué and patch-worked album coverlet made by Ann West in 1820	Victoria and Albert Museum	34,450	0	0	0
2006-07	Diaries, correspondence and manuscript volumes of Mary Hamilton	John Rylands University Library	123,500	(NHMF) 4,750	0	25,000
2006-07	A Neolithic 'jadeite' axe-head	Dorset County Museum	24,000	(NHMF) 14,000	0	8,000
2006-07	A Guild Roll of the Guild of St Mary	Nottinghamshire Archives	6,600	0	0	3,300
2006-07	A 15th-century illuminated manuscript of the Hours of the Passion	The British Library	635,200	0	250,000	0
2006-07	An 18th-century Union flag	National Maritime Museum	48,000	0	0	0
2007-08	A carved and marquetry bookcase supplied by Gillows of Lancaster to Mrs Hutton Rawlinson, 1772	Lancashire County Museums Service	260,000	(NHMF) 92,000	100,000	30,000
2007-08	A 13-bore silver-mounted flintlock gun	The Royal Armouries	115,000	(NHMF) 57,500	28,750	0
2007-08	A portrait by J S C Schaak, <i>General Wolfe</i>	National Army Museum	300,000	(NHMF) 80,000	15,000	0
2007-08	A copy of the warrant for the execution of Mary Queen of Scots	Lambeth Palace Library	72,485.50	(NHMF) 17,485.50	0	20,000
2007-08	A ledger kept by a 17th-century lead merchant in the Peak District	Derbyshire Record Office	3,770	0	0	0
2007-08	An early English brass astrolabe quadrant	The British Museum	350,000	(NHMF) 125,000	50,000	0
2007-08	The Dering Roll	The British Library	192,500	(NHMF) 100,000	40,000	0
2007-08	A painting by John Thomas Seton, <i>Portrait of Alexander Dalrymple</i>	National Museums Scotland	137,500	0	15,000	0
2008-09	A bust of Charles Townley by Joseph Nollekens	Towneley Hall Art Gallery and Museum	308,750	(NHMF) 178,000	93,750	0
2008-09	William Hamilton Shortt Regulator	National Museums Liverpool	72,218	0	0	0
2008-09	A manuscript of Cavalli's opera <i>Erismena</i> in English translation	The Bodleian Library	85,000	0	0	30,000
2008-09	A pair of Queen Anne giltwood stools	The Victoria and Albert Museum	337,250	0	125,000	0

Year	Item	Purchaser	Price (£)	Support by HLF/NHMF (£)	Support by The Art Fund (£)	Support by MLA/V&A Purchase Grant Fund (£)
2008-09	Nine dresses designed by Madeleine Vionnet	The Bowes Museum, Fashion Museum Bath, Victoria and Albert Museum	351,398.81	0	102,527	71,000
2008-09	A Celtic bronze mirror	Canterbury City Council for the Museum of Canterbury	35,000	0	8,000	17,500
2008-09	A Romano-British statuette of a horse and rider	The British Museum	22,066.81	0	0	0
2008-09	A manuscript journal and charts of Sir John Narbrough	The British Library	310,000	(NHMF) 200,000	0	0

Appendix H

Composition of the Advisory Council on the Export of Works of Art and Objects of Cultural Interest

The Chairman of the Reviewing Committee is the Chairman of the Advisory Council and the membership is as follows:

(i) the independent members of the Reviewing Committee *ex officio*

(ii) the departmental assessors on the Reviewing Committee (representatives of the Department for Culture, Media and Sport, Department for Business, Enterprise and Regulatory Reform, HM Treasury, Foreign and Commonwealth Office, HM Revenue and Customs, Scottish Government Department for Culture, National Assembly for Wales Department for Culture and Northern Ireland Department for Culture)

(iii) the Directors of the English and Scottish national collections, the National Museum Wales, the National Museums Northern Ireland, and the Librarians of the National Libraries of Wales and Scotland

(iv) the expert advisers to the Department for Culture, Media and Sport, to whom applications for export licences are referred, other than those who are members by virtue of (iii) above

(v) eight representatives of non-grant-aided museums and galleries in England, Scotland, Wales and Northern Ireland, nominated by the Museums Association

(vi) representatives of: Arts Council England; Arts Council of Northern Ireland; Arts Council of Wales; Association of Independent Museums; National Museum Directors' Conference; Friends of the National Libraries; Heritage Lottery Fund; The National Archives; National Archives of Scotland; The Art Fund; National Fund for Acquisitions; National Heritage Memorial Fund; National Trust; National Trust for Scotland; Pilgrim Trust; MLA/V&A Purchase Grant Fund; MLA PRISM Grant Fund for the Preservation of Scientific and Industrial Material

(vii) representatives of: British Academy; British Records Association; Canadian Cultural Property Export Review Board (*observer status*); Chartered Institute of Library and Information Professionals (CILIP); Council for British Archaeology; Historic Houses Association; Historical Manuscripts Commission; Museums Libraries and Archives Council (MLA); Royal Academy of Arts; Royal Historical Society; Royal Scottish Academy; Scottish Records Association; Society of Antiquaries of London; Society of Archivists; Society of College, National and University Libraries

(viii) representatives of the trade nominated by: Antiquarian Booksellers' Association (*two*); Antiquities Dealers' Association (*two*); Association of Art and Antique Dealers (*two*); Bonhams; British Antique Dealers' Association (*three*); British Art Market Federation; British Numismatic Trade Association (*two*); Christie's; Fine Art Trade Guild; Society of London Art Dealers (*two*); Society of Fine Art Auctioneers; Sotheby's.

Appendix J

Further reading

The Export of Works of Art etc. Report of a Committee appointed by the Chancellor of the Exchequer (HMSO, 1952)

Export Licensing for Cultural Goods: Procedures and Guidance for Exporters of Works of Art and other Cultural Goods (Museums, Libraries and Archives Council, 2009)

Export Control Act 2002 (HMSO)

The Export of Objects of Cultural Interest (Control) Order 2003 (SI 2003 No. 2759)

Council Regulation (EEC) No 3911/92 of 9 December 1992 on the export of cultural goods

Quinquennial Review of the Reviewing Committee on the Export of Works of Art (DCMS, December 2003)

Response to the Quinquennial Review of the Reviewing Committee on the Export of Works of Art (DCMS, December 2004)

Goodison Review – Securing the Best for our Museums: Private Giving and Government Support (HM Treasury, January 2004)

Dealing in Cultural Objects (Offences) Act 2003 (HMSO)

Combating Illicit Trade: Due diligence guidelines for museums, libraries and archives on collecting and borrowing cultural material (DCMS, October 2005)

Contracting Out (Functions in Relation to Cultural Objects) Order 2005 – Statutory Instrument 2005 No. 1103

Saved! 100 Years of the National Art Collections Fund (Richard Verdi, Scala Publishers Ltd, 1999)

Appendix K

Membership of the Working Party on Manuscripts, Documents and Archives during 2008-09

Dr Christopher Wright, Chairman

Henry Yallop, Secretary, Museums, Libraries and Archives Council

Julia Brettell, MLA/V&A Purchase Grant Fund

Dr Patricia Croot, Institute of Historical Research

Katherine Doyle, PRISM Grant Fund, Museums, Libraries and Archives Council

Dr Frances Harris, Head of Modern Historical Manuscripts, British Library

Norman James, The National Archives

Nick Kingsley, The National Archives

Maria Mourin, Cultural Property Unit, DCMS

David Park, Bonhams

Andrew Petherick, Head of Export Licensing Unit, Museums, Libraries and Archives Council

Julian Rota, Antiquarian Booksellers Association,

Anastasia Tennant, Deputy Head of Acquisition, Export and Loans Unit, Museums, Libraries and Archives Council

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