

Annex D: Impact Assessment – UK Online Publications, which are available free of charge and without access restrictions

Summary: Intervention & Options

Department /Agency: Department for Culture Media and Sport	Title: Impact Assessment of UK Online Publications, which are available free of charge and without access restrictions	
Stage: Consultation	Version: 1.0	Date: 30 November 2006
Related Publications: NA		

Available to view or download at:

<http://www.culture.gov.uk>

Contact for enquiries:

www.culture.gov.uk/contact_us

Telephone:

What is the problem under consideration? Why is government intervention necessary?

The purpose of legal deposit is to ensure that the nation's published output (and thereby its intellectual record and future published heritage) is collected systematically, and as comprehensively as possible, both in order to preserve the material for the use of future generations. These proposals cover the deposit of United Kingdom non-print publications in microform and offline electronic media and UK Online Publications, which are available free of charge and without access restrictions; there is currently no system in place to allow for the collection of this

What are the policy objectives and the intended effects?

Following this consultation, and further consultation on the detail of the regulations as appropriate, our aim is to introduce affirmative secondary legislation to Parliament that will lead to regulations for publishers of relevant non-print publications to deposit one or more copies with the Libraries, as detailed in the following provisions. The objective is to preserve the material for future generation.

What policy options have been considered? Please justify any preferred option.

LDAP were set up in September 2005 to advise the Secretary of State on the implementation of the 2003 Act and to make recommendations. They have worked at arm's length from Government and have produced these recommendations.

They considered three options:

1. Permission-based Harvesting
2. Regulation-based Harvesting
3. Archiving Left to the Market

When will the policy be reviewed to establish the actual costs and benefits and the achievement of the desired effects? A full impact assessment will be done before regulations are made.

Ministerial Sign-off For Consultation Stage Impact Assessments:

I have read the Impact Assessment and I am satisfied that, given the available evidence, it represents a reasonable view of the likely costs, benefits and impact of the leading options.

Signed by the responsible Minister:

Margaret Hodge

..... Date:
04/12/09

Summary: Analysis & Evidence

Policy Option: 1	Description: LDL Permissions-based Harvesting and Archiving
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COSTS	ANNUAL COSTS		Description and scale of key monetised costs by 'main affected groups' Average total cost per annum to the Legal Deposit Libraries. These include technology, staffing, access and preservation costs.	
	One-off	Yr		
	£			
	Average Annual Cost (excluding one-off)			
	£ 496,959		Total Cost (PV)	£ 4.13m
Other key non-monetised costs by 'main affected groups'				

BENEFITS	ANNUAL BENEFITS		Description and scale of key monetised benefits by 'main affected groups'	
	One-off	Yr		
	£			
	Average Annual Benefit (excluding one-off)			
	£		Total Benefit (PV)	£
Other key non-monetised benefits by 'main affected groups' There will be some preservation of the nation's published heritage, but not in a systematic manner. It is estimated that this option will only allow up to 0.5% of material included in scope to be captured.				

Key Assumptions/Sensitivities/Risks

Price Base	Time Period	Net Benefit Range (NPV) £ -4.13m	NET BENEFIT (NPV Best estimate) £ 4.13m
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What is the geographic coverage of the policy/option?	UK and Ireland				
On what date will the policy be implemented?	TBC				
Which organisation(s) will enforce the policy?	British Library				
What is the total annual cost of enforcement for these	£ NA				
Does enforcement comply with Hampton principles?	Yes				
Will implementation go beyond minimum EU requirements?	Yes				
What is the value of the proposed offsetting measure per	£				
What is the value of changes in greenhouse gas emissions?	£				
Will the proposal have a significant impact on competition?	No				
Annual cost (£-£) per organisation (excluding one-off)	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; text-align: center;">Micro</td> <td style="width: 25%; text-align: center;">Small</td> <td style="width: 25%; text-align: center;">Medium</td> <td style="width: 25%; text-align: center;">Large</td> </tr> </table>	Micro	Small	Medium	Large
Micro	Small	Medium	Large		
Are any of these organisations exempt?	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; text-align: center;">No</td> <td style="width: 25%; text-align: center;">No</td> <td style="width: 25%; text-align: center;">N/A</td> <td style="width: 25%; text-align: center;">N/A</td> </tr> </table>	No	No	N/A	N/A
No	No	N/A	N/A		

Impact on Admin Burdens Baseline (2005 Prices)				(Increase - Decrease)
Increase	£	Decreases	£	Net
				£

Key: Annual costs and benefits: Constant Prices (Net) Present Value

Summary: Analysis & Evidence

Policy Option: 2

Description: Legal Deposit Library Regulation-based Harvesting and Archiving

COSTS	ANNUAL COSTS		Description and scale of key monetised costs by 'main affected groups' Average total cost per annum to the Legal Deposit Libraries. These include technology, staffing, access and preservation costs.
	One-off	Yr	
	£		
	Average Annual Cost (excluding one-off)		
	£ 1,132,286		Total Cost (PV) £ 9.42m
Other key non-monetised costs by 'main affected groups'			

BENEFITS	ANNUAL BENEFITS		Description and scale of key monetised benefits by 'main affected groups'
	One-off	Yr	
	£		
	Average Annual Benefit		
	£		Total Benefit (PV) £
Other key non-monetised benefits by 'main affected groups' To ensure that the nation's published output (and thereby its intellectual record and future published heritage) is collected systematically, and as comprehensively as possible, both in order to preserve the material for the use of future			

Key Assumptions/Sensitivities/Risks

Price Base	Time Period	Net Benefit Range (NPV) £ -9.42m	NET BENEFIT (NPV Best estimate) £ 9.42m
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What is the geographic coverage of the policy/option?	UK and Ireland			
On what date will the policy be implemented?	TBC			
Which organisation(s) will enforce the policy?	British Library			
What is the total annual cost of enforcement for these	£ NA			
Does enforcement comply with Hampton principles?	Yes/No			
Will implementation go beyond minimum EU requirements?	Yes			
What is the value of the proposed offsetting measure per	£			
What is the value of changes in greenhouse gas emissions?	£			
Will the proposal have a significant impact on competition?	No			
Annual cost (£-£) per organisation (excluding one-off)	Micro	Small	Medium	Large
Are any of these organisations exempt?	No	No	N/A	N/A

Impact on Admin Burdens Baseline (2005 Prices)			(Increase - Decrease)
Increase	£	Decreases	£
		Net	£

Key:

Annual costs and benefits: Constant Prices

(Net) Present Value

Summary: Analysis & Evidence

Policy Option: 3

Description: Archiving Left to the Market

COSTS	ANNUAL COSTS		Description and scale of key monetised costs by 'main affected groups' There is no costs to the Libraries as it is purely up to the publishers to archive their material. There will be no obligation on them to do so, so cost can not be calculated.
	One-off	Yr	
	£		
	Average Annual Cost (excluding one-off)		
	£ 0		Total Cost (PV) £
Other key non-monetised costs by 'main affected groups'			

BENEFITS	ANNUAL BENEFITS		Description and scale of key monetised benefits by 'main affected groups'
	One-off	Yr	
	£		
	Average Annual Benefit (excluding one-off)		
	£		Total Benefit (PV) £
Other key non-monetised benefits by 'main affected groups' Whilst some professional publishers do archive their own material, all the available evidence suggests that the vast majority of individual publishers of freely available online publications within the UK domain do not. Therefore a substantial proportion of			

Key Assumptions/Sensitivities/Risks

Price Base	Time Period	Net Benefit Range (NPV) £	NET BENEFIT (NPV Best estimate) £
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What is the geographic coverage of the policy/option?	UK and Ireland			
On what date will the policy be implemented?	TBC			
Which organisation(s) will enforce the policy?	NA			
What is the total annual cost of enforcement for these	£ NA			
Does enforcement comply with Hampton principles?	Yes/No			
Will implementation go beyond minimum EU requirements?	Yes/No			
What is the value of the proposed offsetting measure per	£			
What is the value of changes in greenhouse gas emissions?	£			
Will the proposal have a significant impact on competition?	No			
Annual cost (£-£) per organisation (excluding one-off)	Micro	Small	Medium	Large
Are any of these organisations exempt?	No	No	N/A	N/A

Impact on Admin Burdens Baseline (2005 Prices)			(Increase - Decrease)		
Increase	£	Decrease	£	Net	£

Key:

Annual costs and benefits: Constant Prices

(Net) Present Value

Specific Impact Tests: Checklist

Use the table below to demonstrate how broadly you have considered the potential impacts of your policy options.

Ensure that the results of any tests that impact on the cost-benefit analysis are contained within the main evidence base; other results may be annexed.

Type of testing undertaken	<i>Results in Evidence Base?</i>	<i>Results annexed?</i>
Competition Assessment	Yes/No	Yes/No
Small Firms Impact Test	Yes/No	Yes/No
Legal Aid	Yes/No	Yes/No
Sustainable Development	Yes/No	Yes/No
Carbon Assessment	Yes/No	Yes/No
Other Environment	Yes/No	Yes/No
Health Impact Assessment	Yes/No	Yes/No
Race Equality	Yes/No	Yes/No
Disability Equality	Yes/No	Yes/No
Gender Equality	Yes/No	Yes/No
Human Rights	Yes/No	Yes/No
Rural Proofing	Yes/No	Yes/No