

**DIRECTIONS GIVEN TO THE NATIONAL LOTTERY CHARITIES BOARD AND THE NEW OPPORTUNITIES FUND UNDER SECTION 26(1) OF THE NATIONAL LOTTERY ETC. ACT 1993**

The Secretary of State for Culture, Media and Sport, in exercise of the power conferred on her by section 26(1) of the National Lottery etc. Act 1993 and having consulted the National Lottery Charities Board and the New Opportunities Fund (“the Funds”) pursuant to section 26(5) of that Act and having consulted the National Assembly for Wales, Scottish Ministers and Northern Ireland Department of Culture, Arts and Leisure, hereby gives the following directions to the Funds:

1. In these Directions any reference to a section is a reference to a section of the National Lottery etc. Act 1993.

**General Directions**

2. The Funds shall take into account the following matters in determining the persons to whom, the purposes for which and the conditions subject to which the Funds distribute any money under section 25(1):
  - A. The need to ensure that money is distributed under section 25(1) for projects which promote the public good or charitable purposes and which are not intended primarily for private gain.
  - B. The need to ensure that money is distributed under section 25(1) to projects which make real and sustainable improvements to the quality of life of local communities.
  - C. The need to ensure that the Funds achieve over time the distribution of money to projects in each country of the United Kingdom.
  - D. The need to be innovative and to take risks in distributing money under section 25(1) balanced with the need to manage risk in a manner commensurate with type of project and applicant.
  - E. The need to ensure that the Funds, taking into account their assessment of needs and any priorities they have identified in their strategies, achieve over time the distribution of money to a reasonably wide spread of recipients, including small organisations, those organisations operating at a purely local level, social enterprises, and organisations with a base in the United Kingdom and working overseas.

- F. The need to ensure that the Funds achieve over time the distribution of money reasonably equally between the expenditure on or connected with:
  - (i) the promotion of community learning in order to create opportunities
  - (ii) the promotion of community safety and cohesion; and
  - (iii) the promotion of physical and mental well being.
- G. The needs of children and young people.
- H. The need to further the objectives of sustainable development.
- I. The need to set specific time limits on the periods in respect of which grants are payable, whether for capital or revenue expenditure.
- J. The need:
  - (i) in all cases, for applicants to demonstrate the financial viability of the project for the period of the grant;
  - (ii) where capital funding is sought:
    - (a) for a clear business plan incorporating the need for resources to be available to meet any running and maintenance costs associated with each project for a reasonable period, having regard to the size and nature of the project; and
    - (b) to ensure that project evaluation and management process for major projects match those of the Office of Government Commerce's Gateway Reviews.
  - (iii) in other cases, for consideration to be given to the likely availability of other funding to meet any continuing costs for a reasonable period after completion of the Lottery award, taking into account the size and nature of the project, and for Lottery funding to be used to assist progress towards viability wherever possible.
- K. The desirability of working with other organisations, including other distributors, where this is an effective means of delivering elements of their strategies.
- L. The need to ensure that the Funds have such information as they consider necessary to make decisions on each application, including independent expert advice where required.
- M. The need to require an element of partnership funding and/or contributions in kind from other sources commensurate with the reasonable ability of different kinds of applicants, or applicants in particular areas to obtain such support.
- N. The need to include a condition in all grants to acknowledge Lottery funding using the common Lottery branding.

- O. The need to involve the public in making policies, setting priorities and making grants.
3. The National Lottery Charities Board shall take into account the following matters in determining the persons to whom, the purposes for which and the conditions subject to which the Funds distribute any money under section 25(1):
- A. the need to promote community learning in order to create opportunities;
  - B. the need to promote community safety and cohesion; and
  - C. the need to promote physical and mental well being.

### **Transformational Grants**

4. In relation to the transformational grant joint scheme, the Funds shall take into account the following matters in determining the persons to whom, the purposes for which and the conditions subject to which the Funds distribute any money under section 25(1):
- A. The need for money to be distributed for the purpose of capital expenditure on projects and only to be otherwise distributed where the money:
    - (i) is distributed for the purposes of endowments or in the form of revenue grants where:
      - (a) such endowments or grants are associated with a capital project, in respect of which money has been or is proposed to be distributed; and
      - (b) such endowments or grants support the delivery of the project outcomes, increase accessibility, reduce barriers to entry and ensure that such projects are sustainable; or
    - (ii) is distributed for development funding to projects which have been assessed as suitable for such funding.
  - B. The need to transform and revitalise communities and the physical environment.
  - C. The need for widespread public participation in the decision to award grants which:
    - (i) involve consultation, voting systems or other suitable mechanisms;
    - (ii) are free from bias, corruption and manipulation; and
    - (iii) involve partnerships with broadcasting, electronic, print and other media.

Signed on behalf of the Secretary of State for Culture, Media and Sport

[Name  
Position

date]

## **DIRECTIONS GIVEN TO THE NATIONAL LOTTERY CHARITIES BOARD AND THE NEW OPPORTUNITIES FUND UNDER SECTIONS 26(1) AND 43C(1) OF THE NATIONAL LOTTERY ETC. ACT 1993**

The Secretary of State for Culture, Media and Sport, in exercise of the powers conferred on her by sections 26(1) and 43C(1) of the National Lottery etc. Act 1993 and having consulted the National Lottery Charities Board and the New Opportunities Fund (“the Funds”) pursuant to section 26(5) of that Act and having consulted the New Opportunities Fund pursuant to section 43C(2), hereby gives the following directions to the Funds:

1. In these Directions any reference to a section is a reference to a section of the National Lottery etc. Act 1993.

### **Distribution in relation to England**

2. The Funds shall take into account the following matters in determining the persons to whom, the purposes for which and the conditions subject to which the Funds distribute any money under section 25(1) in relation to England:
  - A. The need to have regard to the interests of England as a whole, the interests of different parts of England and the relative population sizes of, and the scope for reducing economic and social deprivation in, the different parts of England.
3. The National Lottery Charities Board shall take into account the need to ensure that one or more of the following outcomes are achieved in determining the persons to whom, the purposes for which and the conditions subject to which the Funds distribute any money under section 25(1) in England; and the New Opportunities Fund, pursuant to section 43C(1), shall distribute money under section 25(1) in relation to England to projects which are intended to achieve one or more of the following outcomes:
  - A. People having better chances in life, with better access to training and development to improve their life skills.
  - B. Stronger communities, with more active citizens, working together to tackle their problems.
  - C. Improved rural and urban environments, which communities are better able to access and enjoy.
  - D. Healthier and more active people and communities.
4. The Funds shall take into account the need to ensure that one or more of the following priorities are met in determining the persons to whom,

the purposes for which and the conditions subject to the Funds distribute any money under section 25(1) in relation to England:

- A. Improving family skills.
- B. Improving literacy, numeracy, ICT and creative skills.
- C. Developing consumer skills, including finance and debt management.
- D. Developing basic business skills, particularly for social and creative enterprise.
- E. Developing employability, including through improving communication and problem solving skills.
- F. Developing life skills, including skills which help improve personal independence and interactions with others.
- G. Supporting the training needs of volunteers.
- H. Celebrating community identity, culture, diversity and achievements.
- I. Strengthening volunteering and voluntary sector infrastructure.
- J. Building capacity for community engagement.
- K. Broadening young people's experiences and raising expectations.
- L. Reducing isolation, for example for older people, disabled people and their carers.
- M. Supporting citizenship, leadership and local planning and delivery
- N. Ensuring safer places, free from anti-social behaviour and crime.
- O. Better designed, clean and well-maintained public places and public art.
- P. Increasing community usage of local parks, play facilities, public places, community building and the countryside.
- Q. Enabling communities to tackle local environmental problems and improve their local environment.
- R. Promoting environmental awareness through educational projects.

- S. Increasing community participation in sport, dance, play and physical and creative activity.
- T. Developing new approaches to mental health problems, including through education, public awareness and the arts.
- U. Improving opportunities for healthier eating and promoting the relationship between methods of production and the environment.
- V. Addressing health inequalities through educational and information projects.

Signed on behalf of the Secretary of State for Culture, Media and Sport

[Name  
Position

date]